# Key policy Information & PBA Design Document (PBA DD)

###### PUBLICATION DATE **11.06.2024**VERSION **v.0.1** RELATED SUPPORT

###### [- Policy Requirements and Procedure](https://globalgoals.goldstandard.org/107-par-programme-of-activity-requirements/)

This document contains the following sections

Section A – Description of PBA

Section B - Application of approved Gold Standard Methodology (ies) and/or demonstration of SDG Contributions

Section C – Duration and crediting period

Section D – Summary of Safeguarding Principles and Gender Sensitive Assessment

Section E – Summary of Local stakeholder consultation

Section F - Eligibility and criteria for PBA inclusion

[Appendix 1](#_Appendix_1_-) – Safeguarding Principles Assessment (mandatory)

Appendix 2 – Contact information of VPA Implementer (mandatory)

Appendix 3 – LUF Additional Information (VPA specific)

Appendix 4 – Design Changes

### KEY PROJECT INFORMATION

|  |  |
| --- | --- |
| Type of PBA | [ ]  Real case PBA[ ]  Regular PBA |
| Scale of PBANote that a PBA can be of one scale. Please select applicable scale accordingly.  | [ ] Microscale [ ] Small scale [ ] Large scale |
| Title of corresponding real case PBA (if applicable) |  |
| GS ID of real case PBA (if applicable)  |  |
| GS ID of PBA  |  |
| Title of PBA |  |
| Time of First Submission Date  |  |
| Date of Design Certification |  |
| Version number of the PBA-DD |  |
| Completion date of version |  |
| Coordinating/managing entity  |  |
| VPA Implementer (s) |  |
| Project Participants and any communities involved  |  |
| Host Country (ies) |  |
| GS ID and Title of applicable Design Certified PBA |  |
| GS ID and Title of applicable Performance Certified PBA |  |
| Activity Requirements applied | [ ]  Community Services Activities [ ]  Renewable Energy Activities[ ]  Land Use and Forestry Activities/Risks & Capacities[ ]  N/A  |
| Other Requirements applied |  |
| Methodology (ies) applied and version number |  |
| Product Requirements applied | [ ]  GHG Emissions Reduction & Sequestration [ ]  Renewable Energy Label [ ]  N/A  |
| PBA Cycle: | [ ]  Regular[ ]  Retroactive  |

**Land-use & Forest and Agriculture - Key Policy Information[[1]](#footnote-1)**

(delete below table if N/A)

|  |  |
| --- | --- |
| Scope: | [ ]  Forestry[ ]  Agriculture |
| Silvicultural system: | [ ]  Conservation (no use of timber)[ ]  Selective Harvesting[ ]  Rotation Forestry |
| Project Area (ha): |  |
| Eligible Area (ha): |  |
| 10% Set Aside Conservation area (ha): |  |
| Evidence that Project Area Boundary is clearly distinguishable in the field: |  |
| Planting Area |  |
| How many Modelling Units (MUs) are included in the eligible area: |  |
| Summary of New Areas added (copy and insert as needed): |
| Size (ha): |  |
| Date Added |  |

##### Table 1 – Estimated Sustainable Development Contributions

|  |  |  |  |
| --- | --- | --- | --- |
| SUSTAINABLE DEVELOPMENT GOALS TARGETED | SDG IMPACT (DEFINED IN B.6.) | ESTIMATED ANNUAL AVERAGE | UNITS OR PRODUCTS |
| 13 Climate Action (mandatory) |  |  |  |
|  |  |  |  |
|  |  |  |  |

1. DESCRIPTION OF PBA
	1. Purpose and general description of PBA

>>

* + 1. Eligibility of the PBA under approved PBP

>>

**Table 1 Eligibility for PBA inclusion as per PBP requirements**

|  |  |  |  |
| --- | --- | --- | --- |
| NO. | ELIGIBILITY CRITERION | DESCRIPTION/REQUIRED CONDITION | DESCRIPTION OF THE PBA IN RELATION TO THE CRITERIA,MEANS OF VERIFICATION AND SUPPORTING EVIDENCEFOR INCLUSION |
| 1 |  |  |  |
| 2 |  |  |  |
| 3 |  |  |  |
| … |  |  |  |

* + 1. Legal ownership of products generated by the PBA and legal rights to alter use of resources required to service the project

>>

* 1. Location of PBA

>>

* 1. Technologies and/or measures

>>

* 1. Scale of the PBA

>>

* 1. Funding sources of PBA

>>

1. APPLICATION OF APPROVED GOLD STANDARD METHODOLOGY (IES) AND/OR DEMONSTRATION OF SDG CONTRIBUTIONS
	1. Reference of approved methodology (ies)

>>

* 1. Applicability of methodology (ies)

>>

* 1. PBA boundary

>>

|  |  |  |  |
| --- | --- | --- | --- |
| Source | GHGs | Included? | Justification/Explanation |
| **Baseline scenario** | Source 1 | CO2 |  |  |
| CH4 |  |  |
| N2O |  |  |
| … |  |  |
| Source 2 | CO2 |  |  |
| CH4 |  |  |
| N2O |  |  |
| … |  |  |
| … | … |  |  |
| … |  |  |
| … |  |  |
| **Project scenario** | Source 1 | CO2 |  |  |
| CH4 |  |  |
| N2O |  |  |
| … |  |  |
| Source 2 | CO2 |  |  |
| CH4 |  |  |
| N2O |  |  |
| … |  |  |
| … | … |  |  |
| … |  |  |
| … |  |  |

* 1. Establishment and description of baseline scenario

>>

Use this table for Automatic Additionality Only – delete if N/A

* 1. Demonstration of additionality

>>

|  |  |
| --- | --- |
| Specify the methodology, activity requirement or product requirement that establishes deemed additionality for the proposed project (including the version number and the specific paragraph, if applicable). |  |
| Describe how the proposed VPA meets the criteria for deemed additionality. |  |
|  |  |

>>

* + 1. Prior Consideration

>>

* + 1. Ongoing Financial Need

>>

* + 1. Regulatory additionality

>>

* + 1. NDC alignment check

>>

* + 1. Paris temperature goal alignment check

>>

* + 1. Financial non-additionality risk

>>

* + 1. Investment analysis

>>

* + 1. Barrier analysis

>>

* + 1. Common practice

>>

* 1. Sustainable Development Goals (SDG) outcomes

Relevant Target/Indicator for each of the three SDGs

|  |  |  |
| --- | --- | --- |
| SUSTAINABLE DEVELOPMENT GOALS TARGETED | MOST RELEVANT SDG TARGET | SDG IMPACT |
| **INDICATOR (PROPOSED OR SDG INDICATOR)** |
|  |  |  |
|  |  |  |
|  |  |  |

* + 1. Explanation of methodological choices/approaches for estimating the SDG Impact

>>

* + 1. Data and parameters fixed ex ante

Copy the table for each piece of data and parameter; use headings to group parameter tables by SDG

 **SDG13**

|  |  |
| --- | --- |
| Data/parameter |  |
| Unit |  |
| Description |  |
| Source of data |  |
| Value(s) applied |  |
| Choice of data or Measurement methods and procedures  |  |
| Purpose of data |  |
| Additional comment |  |

 **(SDG n …**)

* + 1. Ex ante estimation of SDG Impact

>>

* + 1. Summary of ex ante estimates of each SDG outcome

|  |  |  |  |
| --- | --- | --- | --- |
| YEAR | BASELINE ESTIMATE | PROJECT ESTIMATE | NET BENEFIT |
| Year 1 |  |  |  |
| Year 2 |  |  |  |
| Year 2 |  |  |  |
| Year 4 |  |  |  |
| Year 5 |  |  |  |
| Year n (delete if N/A) |  |  |  |
| Total |  |  |  |
| **Total number of crediting years** |  |
| **Annual average over the crediting period** |  |  |  |
|  |  |  |  |

* 1. Monitoring plan
		1. Data and parameters to be monitored

(Copy the table for each piece of data and parameter; use headings to group parameter tables by SDG)

**SDG 13**

|  |  |
| --- | --- |
| Data / Parameter |  |
| Unit |  |
| Description |  |
| Source of data |  |
| Value(s) applied |  |
| Measurement methods and procedures |  |
| Monitoring frequency |  |
| QA/QC procedures |  |
| Purpose of data |  |
| Additional comment |  |

**(SDG n…)**

* + 1. Sampling plan

>>

* + 1. Other elements of monitoring plan

>>

1. DURATION AND CREDITING PERIOD
	1. Duration of project
		1. Start date of PBA

>>

* + 1. Expected operational lifetime of PBA

>>

* 1. Crediting period of project
		1. Start date of crediting period

>>

* + 1. Total length of crediting period

>>

1. SUMMARY OF SAFEGUARDING PRINCIPLES AND GENDER SENSITIVE ASSESSMENT
	1. Safeguarding Principles that will be monitored

A completed Safeguarding Principles Assessment is in [Appendix 1](#_APPENDIX_1_–), ongoing monitoring is summarised below.

|  |  |
| --- | --- |
| PRINCIPLES | MITIGATION MEASURES ADDED TO THE MONITORING PLAN |
| **Principle x.y** |  |
|  |  |
|  |  |

* 1. Assessment that project complies with GS4GG Gender Sensitive requirements

|  |  |
| --- | --- |
| Question 1 - Explain how the project reflects the key issues and requirements of Gender Sensitive design and implementation as outlined in the Gender Policy? |  |
| Question 2 - Explain how the project aligns with existing country policies, strategies and best practices |  |
| Question 3 - Is an Expert required for the Gender Safeguarding Principles & Requirements? |  |
| Question 4 - Is an Expert required to assist with Gender issues at the Stakeholder Consultation? |  |

1. SUMMARY OF LOCAL STAKEHOLDER CONSULTATION

The below is a summary of the 2 step GS4GG Consultation for monitoring purposes. Pleaserefer to the separate Stakeholder Consultation Report for a complete report on the initial consultation and stakeholder feedback round.

* 1. Summary of stakeholder mitigation measures

>>

* 1. Final continuous input / grievance mechanism

|  |  |
| --- | --- |
| METHOD | INCLUDE ALL DETAILS OF CHOSEN METHOD (S) SO THAT THEY MAY BE UNDERSTOOD AND, WHERE RELEVANT, USED BY READERS. |
| Continuous Input / Grievance Expression Process Book (mandatory) |  |
| GS Contact (mandatory) | help@goldstandard.org  |
| Other |  |

1. Eligibility and inclusion criteria for VPAs inclusion

>>

The below table shall be completed for all VPAs.

The CME shall provide clear description on how eligibility criteria set at real case VPAs are complied with for each real case and regular VPAs submitted for inclusion.

The CME shall not change the eligibility criteria and required condition set at real case VPAs. At the time of inclusion of regular VPAs, the CME shall only describe how the regular VPAs comply with the eligibility criterion.

|  |  |  |  |
| --- | --- | --- | --- |
| NO. | ELIGIBILITY CRITERION | DESCRIPTION/REQUIRED CONDITION | DESCRIPTION OF THE PBA IN RELATION TO THE CRITERIA, MEANS OF VERIFICATION/SUPPORTING EVIDENCEFOR INCLUSION |
| 1 |  |  |  |
| 2 |  |  |  |
| 3 |  |  |  |
| … |  |  |  |

### Appendix 1 - Safeguarding Principles Assessment

Complete the Assessment below and copy all Mitigation Measures for each Principle into [SECTION D](#check1) above. Please refer to the instructions in the [Guide to Completing](https://globalgoals.goldstandard.org/t-prereview-vpa-design-document/) this Form below.

|  |
| --- |
| **SOCIAL SAFEGUARDING PRINCIPLES** |
| Reference requirement  | Question | Response |
| **P.1 |Human Rights** |
| P.1.1.1 | | Does the project developer, its representatives and the Project disrespect internationally proclaimed human rights?  | [ ]  YES[ ]  NO |
| P.1.1.1 | | Is the project involved or complicit in violence or human rights abuses of any kind as defined in the Universal Declaration of Human Rights? | [ ]  YES[ ]  NO |
| P.1.1.2 | | Have local communities or individuals raised human rights concerns regarding the project (e.g., during the stakeholder engagement process, grievance processes, public statements)? | [ ]  YES[ ]  NO |
| P.1.1.3 | | Is there a risk that rights-holders (e.g., Project-affected stakeholders) do not have the capacity to claim their rights? | [ ]  YES[ ]  NO |
| P.1.1.3 | | Does this project undermine national or regional measures for the realisation of the right to development? | [ ]  YES[ ]  NO |
| If the answer to any of the questions above is "yes," please explain the reason and how the project will ensure compliance with applicable requirements. |
| *Please add text here…* |
| Would the project potentially involve or lead to: |
| P.1.1.1 | | adverse impacts on enjoyment of the human rights (civil, political, economic, social or cultural) of the affected population and particularly of marginalised groups? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.1.1.2 | | inequitable or discriminatory impacts on affected populations, particularly people living in poverty or marginalised or excluded individuals or groups, including persons with disabilities? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.1.1.3 | | restrictions in availability, quality of and/or access to resources or basic services, in particular to marginalised individuals or groups, including persons with disabilities? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.1.1.3 | | exacerbation of conflicts among and/or the risk of violence to project-affected communities and individuals? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| Briefly describe below how the project incorporates a human rights-based approach. For example, by describing how the project design: * is informed by human rights analysis, including from UN human rights mechanisms (human rights treaty bodies, universal periodic review, special procedures)
* includes measures to assist the government to realise (respect, protect and fulfil) human rights under international law and to implement human rights-related standards in national law (whichever is higher)
* enhances the availability, accessibility and quality of benefits and services for potentially marginalised individuals and groups, and to increase their inclusion in decision-making processes that may impact them (consistent with the non-discrimination and equality human rights principle)
* provides reasonable accommodations to strengthen inclusivity and accessibility of project benefits and services to persons with disabilities.
 |
| *Please add text here….*  |
| **P.2 |Gender Equality and Women’s Empowerment** |
| P.2.1.1 | | Have women’s groups/leaders raised gender equality concerns regarding the project, (e.g., during the stakeholder engagement process, grievance processes, public statements)? | [ ]  YES[ ]  NO |
| P.2.1.2 | | Does the project undermine the principles of non-discrimination, equal treatment, and equal pay for equal work? | [ ]  YES[ ]  NO |
| P.2.1.2 | | Does the project prevent men and women from having equal opportunities to participate in identified tasks and activities, whether through paid work, volunteer work, or community contributions, as appropriate? | [ ]  YES[ ]  NO |
| P.2.1.2 | | Does the project limit the participation of women or men based on pregnancy, maternity/paternity leave, or marital status? | [ ]  YES[ ]  NO |
| P.2.1.2 | | Is information about project objectives being communicated in a way that is inappropriate for the local context and not tailored to the methods of understanding of both women and men, which could hinder their participation? | [ ]  YES[ ]  NO |
| P.2.1.3 | | Has the project assessed gender risks without referencing the country's gender strategy or equivalent national commitment? | [ ]  YES[ ]  NO |
| P.2.1.4 | | Has expert stakeholder(s) been involved, and has their input been requested for the project design on gender equality and women's empowerment? | [ ]  YES[ ]  NO |
| If the answer to any of the questions above is "yes," please explain the reason and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project potentially involve or lead to: |  |
| P.2.1.1 | | adverse impacts on gender equality and/or the situation of women and girls? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.2.1.1 | | exacerbation of risks of gender-based violence? For example, through the influx of workers to a community, changes in community and household power dynamics, increased exposure to unsafe public places and/or transport, etc. | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.2.1.2 | | reproducing discriminations against women based on gender, especially regarding participation in design and implementation or access to opportunities and benefits? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.2.1.2 | | limitations on women’s ability to use, develop and protect natural resources, taking into account different roles and positions of women and men in accessing environmental goods and services?For example, activities that could lead to natural resources degradation or depletion in communities who depend on these resources for their livelihoods and well-being. | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| Briefly describe below how the project is addressing any identified risk to gender equality and women’s empowerment. |
| *Please add text here….* |
| **P.3 |Community Health AND Safety** |
| P.3.1.1 | | Does the project involve potential risks to the health and safety of affected communities during its life cycle? | [ ]  YES[ ]  NO |
| P.3.1.2 | | Does the project involve any potential risks to the workers' safety and health? | [ ]  YES[ ]  NO |
| If the answer to any of the questions above is "yes," please explain the reason and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project potentially involve or lead to: |
| P.3.1.1 | | construction and/or infrastructure development (e.g., roads, buildings, dams)? | [ ]  YES[ ]  NO |
| P.3.1.2 | | air pollution, noise, vibration, traffic, injuries, physical hazards, poor surface water quality due to runoff, erosion, sanitation? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.3.1.2 | | harm or losses due to failure of structural elements of the project (e.g., collapse of buildings or infrastructure)? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.3.1.2 | | risks of water-borne or other vector-borne diseases (e.g., temporary breeding habitats), communicable and noncommunicable diseases, nutritional disorders, mental health? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.3.1.2 | | transport, storage, and use and/or disposal of hazardous or dangerous materials (e.g., explosives, fuel and other chemicals during construction and operation)? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.3.1.2 | | adverse impacts on ecosystems and ecosystem services relevant to communities’ health (e.g., food, surface water purification, natural buffers from flooding)? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| Briefly describe below how the project is addressing any identified risk related to community health and safety. |
| *Please add text here….* |
| **P.4 |Cultural Heritage, Indigenous People, Displacement and Resettlement** |
| *P.4.1 |Sites of Cultural and Historical Heritage* |
| P.4.1.1 | | Does the project involve altering, damaging, or removing sites, objects, or structures of significant cultural heritage? | [ ]  YES[ ]  NO |
| If the answer to question above is "yes," please explain the reason and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project potentially involve or lead to: |
| P.4.1.1 | | activities adjacent to or within a cultural heritage site? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.1.1 | | significant excavations, demolitions, movement of earth, flooding or other environmental changes? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.1.1 | | alterations to landscapes and natural features with cultural significance? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.1.1 | | adverse impacts to sites, structures, or objects with historical, cultural, artistic, traditional or religious values or intangible forms of culture (e.g., knowledge, innovations, practices)? (Note: projects intended to protect and conserve Cultural Heritage may also have inadvertent adverse impacts) | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.1.2 | | utilisation of tangible and/or intangible forms (e.g., practices, traditional knowledge) of Cultural Heritagefor commercial or other purposes? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.1.2 | | If answer to question above is “YES” or “POTENTIALLY” - are the communities made aware of their right under the law, scope and nature of proposed development and its potential consequences? | [ ]  YES[ ]  NO[ ]  NA  |
| P.4.1.3 | | If answer to question above is “YES” - does the project provide equitable sharing of benefits from commercialisation of such knowledge, innovation, or practice, consistent with their customs and traditions? | [ ]  YES[ ]  NO[ ]  NA |
| P.4.1.4 | | If answer to question above is “YES” - are opinions and recommendations of an Expert Stakeholder(s) not sought and demonstrated as being included in the project design? | [ ]  YES[ ]  NO[ ]  NA |
| P.4.1.4 | | If answer to question above is “YES”, has project design been changed, modified, updated considering opinions and recommendations of an Expert Stakeholder? | [ ]  YES[ ]  NO[ ]  NA |
| If the answer is "yes" or "potentially" to any of the above questions, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.4.2 |*Forced Eviction and Displacement* |
| P.4.2.1 | | Does the project involve any risks related to involuntary relocation of people? | [ ]  YES[ ]  NO |
| If the answer to question above is "yes," please explain the reason and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project potentially involve or lead to: |
| P.4.2.1 | | risk of forced evictions or involuntary relocation of people? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.2.2 | | temporary or permanent and full or partial physical displacement (including people without legally recognisable claims to land)? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.2.2 | | economic displacement (e.g., loss of assets or access to resources due to land acquisition or access restrictions – even in the absence of physical relocation)? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.2.2 | | If answer to question above is “YES” or “POTENTIALLY”, * has the project developed Resettlement Action Plan or Livelihood Action Plan in consultation and agreement with affected individual, group or community?
* has the project integrated Resettlement Action Plan or Livelihood Action Plan into the Project design?
 | [ ]  YES[ ]  NO[ ]  NA  |
| P.4.2.3 | | If answer to question above is “YES” - are opinions and recommendations of an Expert Stakeholder(s) not sought and demonstrated as being included in the project design? | [ ]  YES[ ]  NO[ ]  NA |
| P.4.2.3 | | If answer to question above is “YES”, have project design been changed, modified, updated considering opinions and recommendations of an Expert Stakeholder? | [ ]  YES[ ]  NO[ ]  NA |
| If the answer is "yes" or "potentially" to any of the above questions, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.4.3 |Land tenure and other rights |
| P.4.3.1 | | Does the project involve any risks related to identifying and managing legitimate tenure rights that may be affected by the project? | [ ]  YES[ ]  NO |
| If the answer to question above is "yes," please explain the reason and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project potentially involve or lead to: |
| P.4.3.1 | | impacts on or changes to land tenure arrangements and/or community-based property rights/customary rights to land, territories and/or resources? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.3.1 | | uncertainties with regards to land tenure, access rights, usage rights or land ownership?Examples include, but are not limited to water access rights, community-based property rights and customary rights. | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.3.2 | | Changes in legal arrangements, if yes, are the changes done in line with relevant laws and regulations? | [ ]  YES[ ]  NO[ ]  NA  |
| P.4.3.2 | | Changes in legal arrangements, if yes, are these changes agree with free, prior and informed consent of the involved stakeholders? | [ ]  YES[ ]  NO[ ]  NA |
| P.4.3.3 | | Does some other entity (other than the project developer) hold uncontested land title for the entire Project Boundary?  | [ ]  YES[ ]  NO[ ]  NA |
| P.4.3.4 | | Are opinions and recommendations of an Expert Stakeholder(s) not sought and demonstrated as being included in the project design? | [ ]  YES[ ]  NO[ ]  NA |
| P.4.3.4 | | If answer to question above is “YES”, have project design been changed, modified, updated considering opinions and recommendations of an Expert Stakeholder? | [ ]  YES[ ]  NO[ ]  NA |
| P.4.3.5 | | Have project developer in consultation with stakeholders established a functioning mechanism to receive, process, resolve, communicate and record grievances?  | [ ]  YES[ ]  NO[ ]  NA |
| If the answer is "yes" or "potentially" to any of the above questions, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.4.4 |Indigenous peoples |
| P.4.4.1 | | Does the project involve Indigenous People within the Project area of influence who may be affected directly or indirectly by the Project? | [ ]  YES[ ]  NO |
| If the answer to question above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project potentially involve or lead to: |
| P.4.4.1 | | affect areas where indigenous peoples are present (including project area of influence)  | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.4.1 | | affect areas, land and territory claimed by indigenous peoples? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.4.1 | | impacts (positive or negative) to the human rights, lands, natural resources, territories, and traditional livelihoods of indigenous peoples? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.4.7 | | If answer to above questions is ’’YES’’ or “POTENTIALLY”, * Is it determined that the proposed project may affect the rights, lands, resources, or territories of indigenous people?
* Has an "Indigenous People Plan" (IPP) or "Indigenous People Plan Framework" been elaborated and included in the project documentation?
* Was the plan developed in accordance with the effective and meaningful participation of indigenous peoples and in accordance with UNDP Guidelines?
 | [ ]  YES[ ]  NO[ ]  NA  |
| P.4.4.3 | | risk of forcibly removing indigenous people from their lands and territories? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.4.4 | | utilisation and/or commercial development of natural resources on lands and territories claimed by indigenous peoples?Consider, and where appropriate ensure, consistency with the answers under Principle 4.1 above | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.4.5 |P.4.4.6 | | If answer to question above is “YES” or “POTENTIALLY”* Did the project obtain free, prior and informed consent from indigenous people before taking their cultural, intellectual, religious, and/or spiritual property?
* Does the project ensure that the indigenous people receive an equitable sharing of benefits resulting from the use of their traditional knowledge and practices? ?
* Does the project ensure that the sharing of benefits resulting from the use of indigenous peoples' traditional knowledge and practices is culturally appropriate and inclusive?
* Does the project ensure that the provision of equitable sharing of benefits does not impede land rights or equal access to basic services including health services, clean water, energy, education, safe and decent working conditions, and housing?
 | [ ]  YES[ ]  NO[ ]  NA |
| P.4.4.8 | | Does the project lack appropriate feedback and grievance channels for Indigenous Peoples and their representatives? | [ ]  YES[ ]  NO[ ]  NA |
| P.4.4.8 | | Has a grievance mechanism not been established at the beginning of programme or project implementation with due consideration given to customary dispute settlement mechanisms among the Indigenous Peoples concerned and will it remain operational throughout the project cycle? | [ ]  YES[ ]  NO[ ]  NA |
| P.4.4.9 | | Are opinions and recommendations of an Expert Stakeholder(s) not sought and demonstrated as being included in the project design? | [ ]  YES[ ]  NO[ ]  NA |
| P.4.4.9 | | If answer to question above is “YES”, have project design been changed, modified, updated considering opinions and recommendations of an Expert Stakeholder? | [ ]  YES[ ]  NO[ ]  NA |
| If the answer is "yes" or "potentially" to any of the above questions, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| **P.5 |Corruption** |
| P.5.1.1 | | Does the project involve, or is it complicit in, contributing to or reinforcing corruption or corrupt projects? | [ ]  YES[ ]  NO |
| P.5.1.1 | | Does the project have a risk of encouraging bribery, kickbacks, or other unethical behavior? | [ ]  YES[ ]  NO |
| If the answer to any of the questions above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| **ECONOMIC SAFEGUARDING PRINCIPLES** |
| **P.6 |Economic Impacts** |
| P.6.1 |Labour Rights and Working Conditions |
| P.6.1.1 | | Does the project involve, facilitate, or condone forced labor, or pose a potential risk of forced labor? | [ ]  YES[ ]  NO |
| P.6.1.1 | | Does the project violate any labor or health and safety laws, international obligations, or ILO conventions? | [ ]  YES[ ]  NO |
| P.6.1.2 | | Does the project violate the principles of equal opportunity and fair treatment in its employment decisions? | [ ]  YES[ ]  NO |
| P.6.1.3 | | Does the project violate national laws, if available regarding non-discrimination in employment? | [ ]  YES[ ]  NO |
| P.6.1.4 |P.6.1.5 | | Does the project allow child labor? | [ ]  YES[ ]  NO |
| P.6.1.7 |P.6.1.8 | | Does the project have insufficient processes and measures in place to ensure the safety and health of project workers? | [ ]  YES[ ]  NO |
| P.6.1.9 | | Does the project have insufficient measures to safeguard and support vulnerable project workers, such as women, people with disabilities, migrant workers, and young workers, and to prevent any kind of harassment, abuse, bullying, or exploitation, including gender-based violence (GBV)? | [ ]  YES[ ]  NO |
| P.6.1.10 | | Does the project have no grievance mechanism available for workers to voice workplace concerns? Is information about this mechanism not provided to workers at the time of recruitment, or is it not easily accessible? | [ ]  YES[ ]  NO |
| If the answer to any of the questions above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project potentially involve or lead to: **(**note: applies to both project and contractor workers) |
| P.6.1.1 | | use of forced labour? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.6.1.1 | | working conditions that do not meet national labour laws and international commitments? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.6.1.1 | | working conditions that may deny freedom of association and collective bargaining? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.6.1.1 | | absence of documented working agreements with all individual workers *if such agreements do not exist, or do not address working conditions and terms of employment, the project developer shall provide reasonable working conditions and terms of employment.*  | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.6.1.1 | | use of migrant workers?*if engaged, the developer shall ensure that they are engaged substantially equivalent terms and conditions to non-migrant workers carrying out similar work.* | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.6.1.1 | | having no arrangements for basic services[[2]](#footnote-2) for workers?*the project developer shall put in place and implement policies on the quality and management of the accommodation and provision of basic services in a manner consistent with the principles of non-discrimination and equal opportunity. Workers’ accommodation arrangements should not restrict workers’ freedom of movement or of association* | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.6.1.2 | | any form of discrimination or harassment based on factors unrelated to job requirements, such as gender, race, nationality, ethnicity, social or indigenous origin, religion or belief, disability, age, or sexual orientation? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.6.1.2 | | any form of discrimination in any aspect of employment, such as recruitment, compensation, working conditions, training, job assignment, promotion, termination, or discipline? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.6.1.2 | | harassment, intimidation, and/or exploitation, especially in regard to women? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.6.1.3 | | discriminatory working conditions and/or lack of equal opportunity where national law provides provision to address non-discrimination in employment? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.6.1.4 | | use of child labour? (including third-party engaged workers) | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.6.1.4 | | inadequate and verifiable mechanisms for age verification? | [ ]  YES[ ]  NO |
| P.6.1.7 | | no processes and measures in place for the safety and health of project workers? | [ ]  YES[ ]  NO |
| P.6.1.7 | | No provision of safety and health training provisions, including on the proper use and maintenance of personal protective equipment conducted by competent persons and the maintenance of training records? | [ ]  YES[ ]  NO |
| P.6.1.7 | | No provision to record and document accidents, diseases, incidents, and any resulting injuries, illnesses, or deaths? | [ ]  YES[ ]  NO |
| P.6.1.8 | | occupational health and safety risks due to physical, chemical, biological and psychosocial hazards (including violence and harassment) throughout the project life-cycle? | [ ]  YES[ ]  NO |
| P.6.1.9 | | No measures to protect vulnerable project workers from harassment, exploitation, and gender-based violence (GBV)? This includes women, people with disabilities, migrant workers, and young workers. | [ ]  YES[ ]  NO |
| P.6.1.10 | | No grievance mechanism available for workers to voice workplace concerns. | [ ]  YES[ ]  NO |
| P.6.1.11 | | No measures for due diligence and the establishment of policies and procedures to manage and monitor the performance of third-party employees in the project? | [ ]  YES[ ]  NO |
| If the answer is "yes" or "potentially" to any of the above questions, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.6.2 |Negative Economic Consequences |
| P.6.2.1 | | Is there a risk of project failure during implementation or after project certification due to a lack of financial resources? | [ ]  YES[ ]  NO |
| P.6.2.2 | | Does the project have potential negative impacts or pose a risk to the local economy? | [ ]  YES[ ]  NO |
| P.6.2.2 | | Are there any potential risks or negative impacts this project may have on vulnerable or marginalised social groups, despite the benefits it may bring? | [ ]  YES[ ]  NO |
| If the answer to any of the questions above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here…* |
| **Would the project involve or lead to:** |
| P.6.2.2 | | economic impacts (negative/detrimental) to the local economy? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.6.2.2 | | negative economic consequences during and after project implementation, e.g., for vulnerable and marginalised social groups in targeted communities? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| If the answer is "yes" or "potentially" to any of the above questions, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.7 |**Climate and Energy** |
| P.7.1 |GHG Emissions |
| P.7.1.1 | | Does the project have a risk of increasing greenhouse gas emissions over the Baseline Scenario? | [ ]  YES[ ]  NO |
| If the answer to question above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.7.1.1 | | increase greenhouse gas emissions over the Baseline Scenario? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| If the answer is "yes" or "potentially" to the above question, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.7.2 |Energy supply |
| P.7.2.1 | | Does the project pose a risk to the availability and reliability of energy supply to other users? | [ ]  YES[ ]  NO |
| If the answer to question above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.7.2.1 | | negative impact on the availability and reliability of energy supply to other users? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| If the answer is "yes" or "potentially" to the above question, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| **P.8 |Water** |
| P.8.1 |Impact on Natural Water Patterns/Flows |
| P.8.1.1 | | Does the project increase water usage to a level that will not allow for the maintenance of environmental flows? | [ ]  YES[ ]  NO |
| P.8.1.1 | | Does the project result in the discharge of wastewater that does not meet the required standard for beneficial reuse and could therefore negatively impact the environmental flow? | [ ]  YES[ ]  NO |
| P.8.1.1 | | Does the project have the potential risk to exceed the rate of recharge for the groundwater source? | [ ]  YES[ ]  NO |
| P.8.1.1 | | Does the project involve any processes or activities that could contaminate the groundwater and render it unsuitable for use? | [ ]  YES[ ]  NO |
| If the answer to any of the questions above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.8.1.1 | | affect the natural or pre-existing pattern of watercourses, groundwater and/or the watershed(s) such as high seasonal flow variability, flooding potential, lack of aquatic connectivity or water scarcity? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.8.1.1 | | Wastewater discharge of quality that does not meet the required standard for beneficial reuse? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.8.1.1 | | significant extraction, diversion of ground water? For example, construction of dams, reservoirs, river basin developments, groundwater extraction | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.8.1.2 | | Are opinions and recommendations of an Expert Stakeholder(s) not sought and demonstrated as being included in the project design? | [ ]  YES[ ]  NO[ ]  NA |
| If the answer is "yes" or "potentially" to any of the above questions, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.8.2 |Erosion and/or Water Body Instability |
| P.8.2.1 | | Does the project have a risk of negatively impacting the catchment and has it been assessed and addressed? | [ ]  YES[ ]  NO |
| If the answer to question above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.8.2.2 |P.8.2.5 | | negatively impact on the catchment area?*If yes, Erosion prevention measures, including soil and slope protection measures, must be implemented before project commencement. These measures should involve natural terracing, infiltration strips, permanent ground cover, hedge and tree rows, and effective slope length assessment. Regular reassessment of these measures is necessary.* | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.8.2.6 | | Are opinions and recommendations of an Expert Stakeholder(s) not sought and demonstrated as being included in the project design? | [ ]  YES[ ]  NO[ ]  NA |
| If the answer is "yes" or "potentially" to any of the above questions, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| **P.9 |Environment, ecology and land use** |
| P.9.1 |Landscape Modification and Soil |
| P.9.1.1 |-P.9.1.3 | | Is there any risk of soil resource degradation or loss of ecosystem services provided by soils in the project?*If yes, the project shall maintain healthy soils by minimising negative impacts on soil health, productivity, structure, and water retention. Steps to minimise soil degradation include crop rotation, composting, using N-fixing plants, and reducing tillage and ecologically harmful substances.* | [ ]  YES[ ]  NO |
| If the answer to question above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.9.1.4 | | production, harvesting, and/or management of living natural resources by small-scale landholders and/or local communities? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.9.1.4 | | if answer to above question “yes” or “potentially”, does project adopt appropriate and culturally sensitive sustainable resource management practices? | [ ]  YES[ ]  No[ ]  NA |
| If the answer is "yes" or "potentially" to any of the above questions, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.9.2 |Vulnerability to Natural Disaster |
| P.9.2.1 | | Does the project have any risks associated with natural or man-made hazards that could result from land use changes due to the project? | ☐ YES☐ NO |
| If the answer to question above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.9.2.2 | | any potential risks that require emergency preparedness and response planning? | ☐ YES☐ POTENTIALLY☐ NO |
| P.9.2.2 | | if answer to above question “yes” or “potentially”, did the project developer disclose appropriate information about emergency preparedness and response to affected communities? | [ ]  YES[ ]  No[ ]  NA |
| If the answer is "yes" or "potentially" to any of the above questions, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.9.3 |Biosafety and Genetic Resources |
| P.9.3.1 | | Does the project involve the transfer, handling, and use of genetically modified organisms/living modified organisms that may result in adverse effects on biological diversity? | ☐ YES☐ NO |
| If the answer to question above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.9.3.1 | | the transfer, handling and use of genetically modified organisms/living modified organisms (GMOs/LMOs) that result from modern biotechnology | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.9.3.1 | | If answer to above question is “yes” has a risk assessment by a competent Expert stakeholder been carried out in accordance with [Annex iii of the Cartagena protocol on biosafety to the convention on biological diversity](https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=celex%3A22002A0731%2801%29)? | [ ]  YES[ ]  No[ ]  NA |
| P.9.3.2 | | If answer to above question is “yes” has any risks identified in the risk assessment? | [ ]  YES[ ]  No[ ]  NA |
| P.9.3.3 | | Forestry (for example Afforestation/Reforestation) involving GMO planting?*Note - Forestry projects (for example Afforestation/ Reforestation) involving GMO planting are not eligible for Certification under Gold Standard for the Global Goals.* | [ ]  YES[ ]  No[ ]  NA |
| If the answer is "yes" or "potentially" to any of the above questions, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.9.4 |Release of pollutants |
| P.9.4.1 | | Does the project have a risk of releasing pollutants to air, water, and land in routine, non-routine, or accidental circumstances? | ☐ YES☐ NO |
| If the answer to question above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.9.4.1 | | any potential risk of pollutant release that cannot be avoided? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.9.4.3 | | If answer to above question is “Yes” or “potentially”, has the project identified all potential pollution sources that may degrade the quality of soil, air, surface, and groundwater in the project area? | [ ]  YES[ ]  No[ ]  NA |
| P.9.4.2 | | If answer to above question is “Yes” or “potentially”, do the pollution prevention and control technologies and practices applied during the project life cycle align with national regulations or international best practices? | [ ]  YES[ ]  No[ ]  NA |
| P.9.4.3 | | If answer to above question is “Yes”, is there a monitoring plan to ensure that mitigation measures are implemented, and resources are protected? | [ ]  YES[ ]  No[ ]  NA |
| If the answer is "yes" or "potentially" to any of the above questions, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.9.5 |Hazardous and Non-hazardous Waste |
| P.9.5.1 | | Does the project involve the generation of waste materials (both hazardous and non-hazardous)? | ☐ YES☐ NO |
| P.9.5.3 | | Does the project involve risk of release of hazardous materials resulting from their production, transportation, handling, storage, or use? | ☐ YES☐ NO |
| P.9.5.5 | | Does the project involve the use of any chemicals or materials subject to international bans or phase-outs? | ☐ YES☐ NO |
| If the answer to any of the questions above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.9.5.1 | |  the generation and management of waste materials? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.9.5.1 | | treatment, destruction, or disposal of waste material? | [ ]  YES[ ]  No[ ]  NA |
| P.9.5.1 | | If answer to above question is “Yes”, does the project involve an environmentally friendly method that includes appropriate control of emissions and residues resulting from the handling and processing of waste material? | [ ]  YES[ ]  No[ ]  NA |
| P.9.5.3 | | risk of release of hazardous materials resulting from their production, transportation, handling, storage, or use?  | [ ]  YES[ ]  No[ ]  NA |
| P.9.5.3 | | If answer to above question is "yes”, does project has measures in place to address health risks? | [ ]  YES[ ]  No[ ]  NA |
| P.9.5.4 | | Involve manufacture, trade, and use of chemicals and hazardous materials subject to international bans or phase-outs due to their high toxicity to living organisms, environmental persistence, potential for bioaccumulation, or potential for depletion of the ozone layer | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| If the answer is "yes" or "potentially" to any of the above questions, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.9.6 |Pesticides & Fertilisers |
| P.9.6.1 | | Does the project involve the use of chemical pesticides?  | ☐ YES☐ NO |
| P.9.6.5 | | Does the project involve purchase, store, manufacture, trade or use products that fall in Classes IA (extremely hazardous) and IB (highly hazardous) | ☐ YES☐ NO |
| P.9.6.6 | | Does the project use fertilisers, and if so, are measures being taken to minimise their use and nutrient losses to the environment? | ☐ YES☐ NO |
| If the answer to any of the questions above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.9.6.1 | |  chemical pesticides use for pest management? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.9.6.4 | | If answer to question above is “yes” or “potentially”, does project has documented Chemical Pesticides Policy in place? | [ ]  YES[ ]  No[ ]  NA |
| P.9.6.5 | | purchase, store, use, manufacture, or trade in Class II (moderately hazardous) pesticides? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.9.6.5 | | If answer to question above is “yes” or “potentially”, does project has appropriate controls on manufacture, procurement, or distribution and/or use of these chemicals? | [ ]  YES[ ]  No[ ]  NA |
| If the answer is "yes" or "potentially" to any of the above questions, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.9.7 |Harvesting of Forests |
| P.9.7.1 | | Does the project have a risk of unsustainable forest management, including timber harvesting? | ☐ YES☐ NO |
| P.9.7.1 | | Does the project pose a risk of depleting biodiversity and ecosystem functionality in areas where improved forest management is undertaken? | ☐ YES☐ NO |
| P.9.7.1 | | Does the project risk not meeting requirements for environment-friendly, socially beneficial, and economically viable plantations using native species whenever possible? | ☐ YES☐ NO |
| If the answer to any of the questions above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| P.9.8 |Food Security |
| P.9.8.1 | | Does the project involve the risk of negatively influencing access to and availability of food for people affected? | ☐ YES☐ NO |
| If the answer to the question above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.9.8.1 | | modification of the quantity or nutritional quality of food available such as through crop regime alteration or export or economic incentives? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| If the answer is "yes" or "potentially" to the above question, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.9.9 | Animal Welfare |
| P.9.9.1 | | Does the project involve any risks to animal welfare?Animal welfare shall be ensured by providing access to water and food, appropriate environment, humane treatment, and staff training. Evidence of mistreatment will be treated as an immediate non-conformity. | ☐ YES☐ NO |
| P.9.9.2 | | Does the project involve any potential risk of excessive or inadequate use of veterinary medicines? | ☐ YES☐ NO |
| P.9.9.4 | | Does the project involve the risk of administering synthetic growth promoters, including hormones? | ☐ YES☐ NO |
| If the answer to any of the questions above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.9.9.1 | | animal husbandry or harvesting of fish populations or other aquatic species?[[3]](#footnote-3) | [ ]  YES[ ]  No[ ]  NA |
| P.9.9.1 | | limiting access for animals to basic needs like drinking water, adequate food, daylight, appropriate shelter etc.? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.9.9.3 | | inadequate measures to isolate sick animals and control the spread of disease, especially zoonotic diseases?  | [ ]  YES[ ]  no[ ]  NA |
| P.9.9.5 | | inadequate low-stress methods, equipment, and facilities that facilitate calm animal movement. | [ ]  YES[ ]  No[ ]  NA |
| P.9.9.6 | | inadequate measures to ensure that animals are exposed to the least stress possible during transportation and slaughtering? | [ ]  YES[ ]  No[ ]  NA |
| P.9.9.7 | | inappropriate spacing per animal and stocking rates per land unit? | [ ]  YES[ ]  No[ ]  NA |
| P.9.9.8 | | inadequate measures to address the specific needs of aquatic animals? | [ ]  YES[ ]  No[ ]  NA |
| P.9.9.9 | P.9.9.10 | | primary production of living natural resources such as animal husbandry, aquaculture, and fisheries?If the answer is yes, implement industry-standard sustainable management practices in line with to one or more relevant and credible standards and utilise available technologies. | [ ]  YES[ ]  No[ ]  NA |
| If the answer is "yes" or "potentially" to any of the above question, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.9.10 |High Conservation Value Areas and Critical Habitats |
| P.9.10.1 | | Does the project have the risk of negatively impacting HCV areas and/or critical habitats? | ☐ YES☐ NO |
| P.9.10.2 | | Does the project in the project area or area of downstream impacts have risks to the following: native tree patches, individual native trees, freshwater resources (including rivers, lakes, swamps, temporary water bodies, and wells), habitats of rare, threatened, and endangered species, and biodiversity-enhancing areas? | ☐ YES☐ NO |
| If the answer to any of the questions above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.9.10.1 | | identified habitats as HCV areas and or Critical habitats? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.9.10.1 | | If answer to above question is “yes”, does the project have any risks that could negatively impact the catchment, project success, and surrounding HCV and ecological assets, as well as any measurable adverse impacts on the criteria or biodiversity values for which the critical habitat was designated, and on the ecological processes supporting that biodiversity?  | [ ]  YES[ ]  NO[ ]  NA |
| P.9.10.1 | | If answer to above question is “yes”, is a robust, appropriately designed, and long-term Habitats and Biodiversity Action Plan absent which will make the project unable to achieve net gains of those biodiversity values for which the critical habitat was designated? | [ ]  YES[ ]  NO[ ]  N/A |
| P.9.10.2 | | Does the project area or area of downstream impacts have native tree patches, individual native trees, freshwater resources (including rivers, lakes, swamps, temporary water bodies, and wells), habitats of rare, threatened, and endangered species, and biodiversity-enhancing areas? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.9.10.2 | | If the answer to the above question is “yes”, will the project have any adverse effects on these areas? | [ ]  YES[ ]  No[ ]  NA |
| P.9.10.3 | | If the answer to above question is “yes”, does the project has opportunities to minimise unwarranted conversion or degradation of the habitat and to enhance the habitat as part of its development? | [ ]  YES[ ]  No[ ]  NA |
| P.9.10.4 | | Is the project applying Land Use & Forest Activity Requirements and managing a minimum 10% of the project area to protect or enhance the biological diversity of native ecosystems following HCV approach as per the given requirements? | [ ]  YES[ ]  No[ ]  NA |
| P.9.10.5 | | Are opinions and recommendations of an Expert Stakeholder(s) not sought and demonstrated as being included in the project design? | [ ]  YES[ ]  NO[ ]  NA  |
| If the answer is "yes" or "potentially" to any of the above question, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.9.11 |Endangered Species |
| P.9.11.1 | | Does the project lead to the reduction or negative impact on any recognised Endangered, Vulnerable or Critically Endangered species? | [ ]  YES[ ]  NO |
| If the answer to question above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.9.11.2 |  | distortion of habitats of endangered species?  | [ ]  YES[ ]  Potentially[ ]  NA |
| P.9.11.2 | | If answer to the above question is “yes”, does the project plan to protect and enhance them? | [ ]  YES[ ]  POTENTIALLY[ ]  NO[ ]  N/A |
| P.9.11.2 | | Are opinions and recommendations of an Expert Stakeholder(s) not sought and demonstrated as being included in the project design? | [ ]  YES[ ]  NO[ ]  NA |
| If the answer is "yes" or "potentially" to any of the above question, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.9.12 |Invasive Alien species |
| P.9.12.1 | | Does project introduce any alien species (not currently established in the country or region of the project) into new environments? | [ ]  YES[ ]  NO |
| If the answer to question above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.9.12.1 | | risk of introducing any alien species with a high risk of invasive behaviour regardless of whether such introductions are permitted under the existing regulatory framework? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.9.12.1 | | risk of potential accidental or unintended introductions including the transportation of substrates and vectors (such as soil, ballast, and plant materials) that may harbour alien species. | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.9.12.2 | | risk of spreading alien species into areas in which they have not already been established? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| If the answer is "yes" or "potentially" to any of the above question, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |

### Appendix 2- Contact information of PBA Implementer

|  |  |
| --- | --- |
| Organisation name |  |
| Registration number with relevant authority |  |
| Street/P.O. Box |  |
| Building |  |
| City |  |
| State/Region |  |
| Postcode |  |
| Country |  |
| Telephone |  |
| E-mail |  |
| Website |  |
| Contact person |  |
| Title |  |
| Salutation |  |
| Last name |  |
| Middle name |  |
| First name |  |
| Department |  |
| Mobile |  |
| Direct tel. |  |
| Personal e-mail |  |

### Appendix 3- LUF Additional Information

|  |  |
| --- | --- |
| Risk of change to the Project Area during Project Certification Period: |  |
| Risk of change to the Project activities during Project Certification Period: |  |
| Land-use history and current status of Project Area: |  |
| Socio-Economic history: |  |
| Forest management applied (past and future) |  |
| Forest characteristics (including main tree species planted) |  |
| Main social impacts (risks and benefits) |  |
| Main environmental impacts (risks and benefits) |  |
| Financial structure |  |
| Infrastructure (roads/houses etc): |  |
| Water bodies: |  |
| Sites with special  significance  for  indigenous  people and  local  communities ­‐ resulting  from the Stakeholder  Consultation: |  |
| Where indigenous people and local communities are situated: |  |
| Where indigenous people and local communities have legal rights, customary rights or sites with special cultural, ecological, economic, religious or spiritual significance: |  |

### Appendix 4 - Design Changes

**A4.1. Details of proposed or actual design change***>>*

##### A4.2. Describe the Impacts of Design Change on the following

1. ***Additionality***

*>>*

1. ***Applicability of methodology and other methodological regulatory documents with which the project activity has been certified***

*>>*

1. ***Compliance with the monitoring plan of the applied methodology***

*>>*

1. ***Level of accuracy and completeness in the monitoring of the project activity compared with the requirements contained in the registered monitoring plan***

*>>*

1. ***Scale of the project activity***

*>>*

1. ***Stakeholder consultation***

*>>*

1. ***Sustainable development criteria***

*>>*

1. ***Safeguarding Assessment***

*>>*

1. ***Compliance with applicable legislation***

*>>*

##### Revision History

|  |  |  |
| --- | --- | --- |
| **Version** | **Date** | **Remarks** |
| 0.1 | 11.06.2024 | Initial adoption - pilot |

1. Please refer to Appendix 3 for detailed information on LUF projects [↑](#footnote-ref-1)
2. Basic services requirements refer to minimum space, supply of water, adequate sewage and garbage disposal system, appropriate protection against heat, cold, damp, noise, fire, and disease-carrying animals, adequate sanitary and washing facilities, ventilation, cooking and storage facilities and natural and artificial lighting, and in some cases basic medical services. [↑](#footnote-ref-2)
3. 'Involve' means if the project mechanism and/or impact(s) are achieved via changing animal husbandry practices in some way. [↑](#footnote-ref-3)