**Form – CPA Transition Request Submission**

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**VERSION**  **2.0**
**RELATED SUPPORT:**
**-** [**TEMPLATE GUIDE Key Project Information & VPA Design Document v.1.1**](https://globalgoals.goldstandard.org/standards/TGuide-PreReview_V1.1-VPA-Design-Document.pdf)

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**SUMMARY:**

The CPA transitioning from **CDM or other Standards to Gold Standard for Global Goals (GS4GG)** shall submit the transition request form and VPA - DD (this document)**.** The **Transition Request Form** is also to be completed for CPA already registered with GS4GG for CER labelling that seek to switch to the GSVER stream to issue Gold Standard VERs.

This document contains the following Sections:

**Section - Transition Request Form**

[TR.1 ELIGIBILITY CHECK FOR TRANSITION](#_TRF.1_Eligibility_check)

[TR.2 TRANSITION PROJECT INFORMATION](#_TRF.2_Transition_project)

[TR.3 TRANSITION CHECKLIST](#_TRF.3_Transition_Checklist)

**Key Project Information**

**Section – Voluntary Project Activity Design Document (VPA -DD)**

SECTION A – Description of project

SECTION B - Application of approved Gold Standard Methodology (ies) and/or demonstration of SDG Contributions

SECTION C – Duration and crediting period

SECTION D – Summary of Safeguarding Principles and Gender Sensitive Assessment

SECTION E – Summary of Local stakeholder consultation

[Appendix 1](#_Appendix_1_-) – Safeguarding Principles Assessment (mandatory)

Appendix 2 - Contact information of VPA Implementer (mandatory)

Appendix 3 - Summary of Approved Design Changes (project specific)

The following table summarises how different sections of this document shall be filled to facilitate request for transition from other standard to GS4GG.

|  |  |  |
| --- | --- | --- |
| **Section**  | Required for | How to complete the section |
| Transition Request |
| TR.1 Eligibility check for transition | All CPAs | Answer the assessment questions and provide supporting information as needed |
| TR.2 Transition project information  | All CPAs | Provide CPA information pertaining to the standard, the project is transitioning from (e.g. CDM) |
| TR.3 Transition checklist  | All CPAs | Answer the assessment questions and provide supporting information in the section in the VPA - DD section as needed |
| VPA – DD |
| Key project information | All CPAs | Include VPA details pertaining to GS4GG  |
| Section A to E | All CPAs | Provide information as needed. Any section/subsections that requires information/justification or additional information as per transition checklist, ANDthat involves update/revision to the information provided for registration with other standards. In such cases, the project shall copy and paste the information from registered VPA - DD (other standard) and mark the additional information in track changes. |

# Section – Transition Request

## TR.1 Eligibility check for transition

To be completed for all CPAs seeking transition to GS4GG from other standards.

|  |  |
| --- | --- |
| [Requirement](https://globalgoals.goldstandard.org/requirements-for-projects-to-transition-from-other-schemes-to-gold-standard-for-global-goals/) | CPA assessment (to be completed by CME) |
| The CPA must have a crediting period start date with CDM/other standard on or **after 01 January 2016** | Is the CPA(s) crediting period start date **after** 01 January 2016?[ ]  Yes [ ]  No (go to questions below) |
| The CPA that has a crediting period start date with CDM/other standard **before 01 January 2016** shall demonstrate the risk of discontinuation without carbon revenue. | Explain the risks/barriers that may cause discontinuation of CPA without carbon revenue.[Inert Text here] |
| The CPA that has a crediting period start date with CDM/other standard **before 01 January 2016** shall demonstrate how the project has been operational in the absence of carbon revenue, if carbon credits have not been issued to the project in recent years. | Explain how the CPA has managed the operation in the absence of carbon revenue in recent years.[Inert Text here]  |
| List of supporting documents | Please list all supporting documentation. If any of the supporting documents are confidential, please indicate here to ensure they are not published.[Inert Text here] |

## TR.2 Transition project information

CME shall provide CPA information (in grey rows), pertaining to the standard, the CPA is transitioning from (e.g. CDM) in the table below.

|  |  |
| --- | --- |
| Name of the original standard  | [ ]  **CDM** [ ]  **Other** (Add the standard name here) |
| CPA status with original standard | *The current status of CPA with CDM/other standard at the time of submission of this form.* |
| [ ]  **Active** (registration status is valid) [ ]  **Withdrawn** (by developer)[ ]  **Rejected** (by standard/scheme)[ ]  **Provisional** (awaiting guidance from the CMP at CMP 16, CDM CPAs only) |
| CDM/ other standard reference ID | *The reference number/ID allocated to the CPA by CDM/other standard.* |
|  |
| CPA reference weblink  | *The weblink of the project page of CDM/other standard.* |
|  |
| CPA reference ID and Title | Reference ID and Title For example 0457: Cooking stoves distribution programme in Uganda  |
|  |
| Title of CPA | *The title of the CPA used for registration with CDM/other standard.* |
|  |
| New title of CPA (if applicable) | *The title of the CPA if it has been changed for registering with Gold Standard. (Follow GS4GG requirements Section 5,* [*PoA requirements*](https://globalgoals.goldstandard.org/107-par-programme-of-activity-requirements/)*)* |
|  |
| Methodology used | *Methodology title and the version number applied for registration with CDM /other standard.* |
| Title |  |
| Applied version no | [Insert the version number of the methodology the project is registered with] |
| Current version no | [Insert the latest version number of the of the methodology]  |
| Methodology update | Is the project updating the methodology with transition? If “No” skip next question. | [ ]  Yes[ ]  No |
| Title of new methodology  |  |
| Version no | [Insert the version number of the new methodology] |
| Amount of reductions | *Average annual emission reductions/removals (tCO2eq/year).* |
|  |
| Inclusion date  | *The CPA inclusion date with CDM/other standard.* |
| dd/mm/yyyy |
| Type of crediting period  | [ ]  renewable crediting period[ ]  fixed crediting period |
| Crediting period | *The CPA registered crediting period start date and end date with CDM/other standard.* |
| Start date: dd/mm/yyyyEnd date: dd/mm/yyyy  |
| Total monitoring periods issued | *The total period that has already been issued by CDM/other standard.* |
| Start date: dd/mm/yyyyEnd date: dd/mm/yyyy |
| Latest monitoring period | *The latest monitoring period that has already been issued or submitted for issuance to CDM/other standard.* |
| Start date: dd/mm/yyyy End date: dd/mm/yyyy |
| Issuance Status | [ ]  Issued[ ]  Awaiting issuance  |
| Date of Issuance, if issued.  | dd/mm/yyyy |
| Confirmation on the approach for completing the Project Design Document (PDD). | Select the option how the project design document is completed ☐ Option 1 - has included information in this document that has not been validated/verified as part of CDM PDD **OR**☐ Option 2 - has copied all validated information as it appears in the original and then used tracked changes to highlight any information that not been validated/or has changed *- Note if option 2 is selected the project developer shall fill all sections in the PDD template of this document.* |
| Declaration | *Click on the tick box to confirm.*  |
| The Coordinating/Managing Entity hereby acknowledges that CME;Applicable to all CPAs -☐ is aware that for a given vintage, a registered Gold Standard CPA can request the issuance of the emission reductions under only one standard/certification scheme. (applicable to all projects).☐ is aware that all CPAs that transition to GS4GG shall demonstrate Ongoing Financial Need at the time of renewal of their crediting period following applicable GS4GG requirements. Applicable to CDM CPAs☐ confirms that the project developer/representative will make a declaration, in writing, in the monitoring report submitted to Gold Standard that (applicable to CDM CPAs) * CPAs will/has not issue both a CER/A6.4 units under Paris Agreement Crediting Mechanism and a GSVER for the same vintage. .
* CME agrees to comply with all future UNFCCC COP/CMP decisions[[1]](#footnote-2) including adjustment of GWP values
 |
| Coordinating/Managing Entity / authorised signatory | *Name and designation of CME/authorised signatory* |
| Name: [Insert name]Designation: [Insert designation] |

## TR.3 Transition Checklist

Coordinating/Managing Entity shall answer all assessment questions listed below and provide additional information/justification in the VPA-DD section, where required. Please note that the checklist is based on the [GHG Emissions Reductions and Sequestration Product Requirements](https://globalgoals.goldstandard.org/501-pr-ghg-emissions-reductions-sequestration/).

The checklist also provides relevant requirements applicable to PoA/CPA transitioning to GS4GG for easy referencing. The CME shall refer to relevant GS4GG documents, as applicable, for further details. It is recommended that CME refers to Guidelines in the table below for more information on the requirements and flexibilities provided. This document (in word) shall be submitted to GoldStandard along with other required documents **for preliminary review** as listed below –

* [Cover Letter](https://globalgoals.goldstandard.org/t-prereview-cover-letter/)
* [Terms and Conditions](https://globalgoals.goldstandard.org/t-prereview-terms-and-conditions/)
* [Official Development Assistance declaration](https://globalgoals.goldstandard.org/501-ar-ghgs-oda-declaration-template/)
* [[Stakeholder Consultation Report](https://globalgoals.goldstandard.org/t-prereview-design-consultation-report/)](https://globalgoals.goldstandard.org/t-prereview-stakeholder-consultation-report/)
* PoA Design Document (PoA-PDD) final version (CDM/other standard)
* CPA-DD registered with CDM/other standard
* Validation report submitted to CDM/other standard
* Last Monitoring and Verification report submitted to CDM/other standard

|  |
| --- |
| 1. Transition pathway
 |
| * 1. Option 1: Is CPA seeking registration with GS4GG to issue GSCERs while maintaining the CDM registration? (Ref: [GHG Product Requirements](https://globalgoals.goldstandard.org/standards/501_V1.2_PR_GHG-Emissions-Reductions-Sequestration.pdf))
 | [ ]  Yes[ ]  No |
| * 1. Option 2: Is CPA seeking registration with GS4GG to issue GSVERs only and/or conversion of issued CERs to GSVERs? (Ref: Annex B, [GHG Product Requirements](https://globalgoals.goldstandard.org/standards/501_V1.2_PR_GHG-Emissions-Reductions-Sequestration.pdf))

Note – for conversion of issued CERs to GSVERs, the project must be registered with GS4GG.  | [ ]  Yes[ ]  No |
| * 1. Option 3: Is CPA seeking registration with GS4GG to issue GSVERs only and/or conversion of emission reduction to GSVERs issued by standard other than CDM? (Ref: Annex B, [GHG Product Requirements](https://globalgoals.goldstandard.org/standards/501_V1.2_PR_GHG-Emissions-Reductions-Sequestration.pdf))
 | [ ]  Yes[ ]  No |
| ***Requirement:****All CPAs submitting request for transition on or after 1/1/2021 must demonstrate compliance with requirements stated in* **Annex B,** [GHG Product Requirements](https://globalgoals.goldstandard.org/standards/501_V1.2_PR_GHG-Emissions-Reductions-Sequestration.pdf).*The CPA following* ***option 1*** *above;** *may seek registration under GS4GG based on provisional CDM EB decision*
* *may seek issuance of GSVERs in exchange of provisional CERs based on CDM EB decision but must transfer issued CERs to the Gold Standard Swiss CDM Registry Account. If there are any implications for issued volume or project eligibility due to CMP decision regarding GWP, additionality or any other decision, the CME must address these issues, as applicable in consultation with GS.*

*The CPA transitioning to GS4GG following* ***option 2*** *above,* * *may convert issued CERs to GSVERs*
* *are not required to deregister from CDM but shall not claim emission reductions under both GS4GG and CDM for the same vintage*

*The CPA transitioning to GS4GG following* ***option 3*** *above,** *may convert issued emission reductions unit to GSVERs*
* *may issue GSVERs*
* *shall deregister project from other standard before registration with GS4GG*

***Guidelines:*** *PoA/CPAs already undergoing design certification for CER labelling can continue with their existing process.* *Gold Standard* *shall be notified of the intention to switch to GSVER stream, at the earliest possible opportunity.* *PoA/CPAs already certified for CER labelling can switch to GSVER stream by completing this form and notifying* *Gold Standard**. Such project may leave the VPA-DD section blank as this information has been captured in GS4GG PDD version submitted earlier.* |
| 1. Transition approval procedure
 |
| * 1. Option 1 - Is the project undergoing a preliminary review by Gold Standard, validation by VVB and design review by Gold Standard?
 | ☐ Yes☐ No |
| * 1. Option 3- Is the project undergoing preliminary review by Gold Standard, combined validation & verification by VVB, followed by combined design and performance review by Gold Standard?
 | [ ]  Yes[ ]  No |
| ***Requirement****:* *The PoA certification under GS4GG involves following key steps. Refer to Section 12. Project cycle* [Programme of Activity Requirements](https://globalgoals.goldstandard.org/standards/107_V1.2_PAR_Programme-of-Activity-Requirements.pdf) *for details.****Preliminary review*** *- Preliminary Review of the PoA is conducted once at the time of first submission to Gold Standard. It involves desk review of the Key Project Information and PoA-DD by* *Gold Standard**. The PoA can only be listed once a preliminary review of PoA and each CPA submitted with PoA has been completed.****Design certification (validation + design review)*** *- Design certification involves validation by VVB and design review by* *Gold Standard**. With successful design certification the PoA will obtain ‘Certified design’ status that is equivalent to registration under CDM and other standard. The real case CPA-DD is required with PoA-DD for design review as per* [[Programme of Activity Requirements](https://globalgoals.goldstandard.org/standards/107_V1.2_PAR_Programme-of-Activity-Requirements.pdf)](https://globalgoals.goldstandard.org/standards/107_V1.2_PAR_Programme-of-Activity-Requirements.pdf)*.* ***Performance certification (verification + performance review)*** *- Performance certification involves verification by VVB and performance review by* *Gold Standard**. The positive conclusion of the Performance Review period shall result in Gold Standard ‘Certified Project status’ and CPAs can issue GSVERs. The CME may opt for combined Design Certification, conducting both the first Verification and Performance Review under GS4GG at the same time.****CPAs/VPAs Inclusion –*** *Once a real case CPA/VPA fully design certified, the CME may include CPAs/VPAs applying same technology measures following a simplified inclusion process. It involves, VVB’s compliance check followed by* *Gold Standard* *design review (two weeks) or if selected for spot -check three week design review.* *To minimise disruption and keep the transition review time and costs minimum, the PoA is provided with flexibilities as summarised in the table below;*

|  |  |  |  |
| --- | --- | --- | --- |
| Certification outcome | Certification stage | Option 1 | Option 3 |
| Normal certification pathway | Combined validation + verification followed by combined design + performance review |
| PoA+ REAL Case CPA  |  |  |
| Listing  | Preliminary review  | *Gold Standard* | *Gold Standard* |
| Certified Design = Registration | Validation  | VVB | VVB |
| Design review  | *Gold Standard* | *Gold Standard* |
| Certified project = Issuance | Verification | VVB | VVB |
| Performance review | *Gold Standard* | *Gold Standard* |
| CPA/VPA inclusion  |  |  |
| CPA/VPA inclusion  | Compliance check  | VVB  | VVB |
| Design review  | *Gold Standard* | *Gold Standard* |
| Verification  | VVB | VVB |
| Performance review | *Gold Standard* | *Gold Standard* |

*For option 1, a validation/inclusion site visit by VVB is not required for CPAs proposed for inclusion as long as the VVB conducted a site visit as part of validation/verification in last three years (from time of first submission for preliminary review) and new/updated information can be audited based on desk review and/or using remote audit approaches.* *CMEs may also directly include VPAs/CPAs in the registered PoA, without VVB compliance check* 1. *If at least one VPA/CPA of the registered PoA has completed successful performance certification, and*
2. *The VPA/CPA that has completed performance certification and the VPAs/CPAs that are included by CME without VVB compliance check shall,*

*- involve same technology/measure and apply same methodology in case of single technology POA**- involve same technologies/measures and apply same methodology(ies) combination in case of multi technology PoA*Refer to [VPA/CPA INCLUSION REQUIREMENTS (RU 2020 P&R - PAR V1.2) for further details on applicability conditions and requirements.](https://globalgoals.goldstandard.org/ru-2020-vpa-cpa-inclusion-requirements/) This option is not captured in the table above. |
| 1. CPA Eligibility
 |
| * 1. Is the CPA eligible project type under Gold Standard for the Global Goals?
 | [ ]  Yes[ ]  No |
| ***Requirement:*** *The transitioning project shall be one of the eligible project types for issuance of Gold Standard VERs (Ref:* [*GHG Product Requirements*](https://globalgoals.goldstandard.org/standards/501_V1.2_PR_GHG-Emissions-Reductions-Sequestration.pdf)*).****Guidelines:*** *Typical eligible activity types are Renewable Energy Supply, End-Use Energy Efficiency Improvement, Waste Handling & Disposal, Land Use and Forests.* * *Afforestation/Reforestation project registered with CDM/other standard may transition to GS4GG for issuance of GSVERs only but are not eligible for labelling of issued emission reduction units.*
* *RE projects shall refer to* [*Renewable Energy Activity Requirements*](https://globalgoals.goldstandard.org/202-ar-renewable-energy-activity-requirements/) *for eligibility check.*
* *RE projects for example - · Hydropower · biomass resources · landfill gas and biogas from agro-processing, wastewater and other residues · Waste Heat/Gas recovery · Fossil co-generation · Waste incineration and gasification · Waste handling and disposal are required to demonstrate compliance with the specific eligibility requirements. Refer to Annex – A of* [*Renewable Energy Activity Requirements*](https://globalgoals.goldstandard.org/202-ar-renewable-energy-activity-requirements/) *for further details.*
* *Community Services Activities projects for example - Hydropower · biomass resources · landfill gas and biogas from agro-processing, wastewater and other residues · Waste Heat/Gas recovery · Fossil co-generation · Waste incineration and gasification · Waste handling and disposal · Relighting · End-use fossil switching are required to demonstrate compliance with the specific eligibility requirements. Refer to Annex – A of*   [*Community Services Activity Requirements*](https://globalgoals.goldstandard.org/201-ar-community-services-activity-requirements/) *for further details.*
 |
| 1. Compliance with relevant Activity Requirements
 |
| * 1. Does the CPA conform to the relevant Activity Requirements ([CSA](https://globalgoals.goldstandard.org/201-ar-community-services-activity-requirements/)/[RE](https://globalgoals.goldstandard.org/202-ar-renewable-energy-activity-requirements/))?
 | [ ]  Yes[ ]  No |
| * 1. Does any specific eligibility criteria/requirement stipulated in Annex A of [CSA](https://globalgoals.goldstandard.org/201-ar-community-services-activity-requirements/)/[RE](https://globalgoals.goldstandard.org/202-ar-renewable-energy-activity-requirements/) requirements apply to the CPA?
 | [ ]  Yes[ ]  No |
| * 1. Does specific eligibility criteria/requirement stipulated in Annex A of [CSA](https://globalgoals.goldstandard.org/201-ar-community-services-activity-requirements/)/[RE](https://globalgoals.goldstandard.org/202-ar-renewable-energy-activity-requirements/) requirements that apply to the CPA, lead to any change in the registered PoA -DD or VPA -DD? If Yes, please provide a full explanation in section A.1.3. below.
 | [ ]  Yes[ ]  No |
| ***Requirement:****(Ref: Section 4.1.1 of* [*GHG Product Requirements*](https://globalgoals.goldstandard.org/501-pr-ghg-emissions-reductions-sequestration/)*)**CPA shall conform to the relevant Activity Requirements and Gold Standard Approved Methodologies, including* [*eligible CDM Methodologies*](https://globalgoals.goldstandard.org/427-cdm-list-of-eligible-cdm-methodology/)*.****RE rule update / RE PoA rule update:****Grid connected Renewable Energy CPAs seeking to transition from another carbon crediting scheme to GS4GG or labelling of emission reductions under GS4GG are exempted from eligibility requirements listed in para 2.1.3 of the RE Activity Requirements. This exemption is only allowed to projects that started the first crediting period with the original carbon crediting scheme from 01/01/2016 or later but before 24/01/2020. (Ref: Section 2.1.1 and 2.1.2 of* [*RU 2020 AR –RE V1.2*](https://globalgoals.goldstandard.org/ru-2020-eligibility-requirements-for-renewable-energy-projects-transitioning-to-or-seeking-labelling-under-gs4gg/)*)**Specific* [*Renewable Energy Activity requirements*](https://globalgoals.goldstandard.org/202-ar-renewable-energy-activity-requirements/) *(refer to Annex A): Hydropower, biomass resources, landfill gas and biogas from agro-processing, wastewater and other residues, Waste Heat/Gas recovery, Fossil co-generation, Waste incineration and gasification, Waste handling and disposal.**Specific* [*Community Service Activity requirements*](https://globalgoals.goldstandard.org/201-ar-community-services-activity-requirements/) *(refer to Annex A): Hydropower, biomass resources, landfill gas and biogas from agro-processing, wastewater and other residues, Waste Heat/Gas recovery, Fossil co-generation, Waste incineration and gasification, Waste handling and disposal, Relighting, End-use fossil switching.* |
| 1. Applicability of the methodology/tool version
 |
| * 1. Does the CPA apply an eligible GS methodology? Refer to list of the eligible methodologies [here](https://globalgoals.goldstandard.org/427-cdm-list-of-eligible-cdm-methodology/).
 | [ ]  Yes[ ]  No |
| * 1. Does the CPA apply the version of the methodology and applicable tools applied for CDM/other standard registration or renewal?
 | [ ]  Yes[ ]  No |
| * 1. Does the CPA apply the latest version of the methodology and applicable tools applied in registered PoAs for inclusion of new VPAs after transition to GS4GG? If Yes, please provide a full explanation in section B below. And note that the CPA cannot opt for option 2 mentioned transition approval procedure, above.
 | [ ]  Yes[ ]  No |
| ***Requirement: (Ref: Annex B of*** [***GHG Product Requirements***](https://globalgoals.goldstandard.org/501-pr-ghg-emissions-reductions-sequestration/)***)****Transition CPA shall* 1. *conform to the relevant* [*Activity Requirements*](https://globalgoals.goldstandard.org/200-activity-requirements/) *and Gold Standard Approved* [*Methodologies*](https://globalgoals.goldstandard.org/400-sdg-impact-quantification/)*, including eligible* [*CDM Methodologies*](https://globalgoals.goldstandard.org/427-cdm-list-of-eligible-cdm-methodology/) *referring to the inclusion criteria of registered PoA.*
2. *also meet the additional GS4GG methodology eligibility requirements, where applicable. Refer to* [[*CDM Methodologies*](https://globalgoals.goldstandard.org/427-cdm-list-of-eligible-cdm-methodology/) *for Gold Standard Eligibility Requirements*](https://globalgoals.goldstandard.org/427-cdm-list-of-eligible-cdm-methodology/)*,* *referring to the inclusion criteria of registered PoA.*

*Transition CPA shall apply the version of GS approved CDM methodology or methodology tool for transition to GS4GG as follows;*1. *version applied for inclusion in the registered PoAs with other standard, OR*
2. *latest version applied by the registered PoAs for inclusion of new VPAs after transition to GS4GG.*

*Note that The Transition PoA may include the latest version of the methodology and applicable tool for inclusion of new VPA(s), at the time of first submission (preliminary review) or at any later stage of certification cycle, but before submitting the request for inclusion for new VPAs. In such cases, VVB shall validate the updated PoA and VPA documents as per applied version of the methodology and or methodology tool before or with the request for inclusion of new VPAs.* |
| 1. Demonstration of additionality
 |
| * 1. Are you aware that the transitioning CPA will be required to demonstrate Ongoing Financial Need as per the relevant GS rules and requirements available at the time of renewal of crediting period? (Refer to para 4.1.51 – 4.1.53 of [Principles & Requirements](https://globalgoals.goldstandard.org/101-par-principles-requirements/).)
 | [ ]  Yes[ ]  No |
| * 1. Does CPA meet the PoA inclusion criteria with respect to the additionality justification?
 | [ ]  Yes[ ]  No |
| Requirement:* *The CDM PoA/CPAs are not required to carry out additional assessment for demonstration of additionality over and above what has been done for registration/determination with the CDM unless the project falls into a category that is deemed non-additional in an applicable Gold Standard Activity Requirement. In such cases the relevant Activity Requirement shall take precedence.* ***Ref: Annex B*** [***GHG Product Requirements***](https://globalgoals.goldstandard.org/standards/501_V1.2_PR_GHG-Emissions-Reductions-Sequestration.pdf)***.***
* *Transition PoA/CPAs registered with standards other than CDM are required to undergo additionality revalidation to re-establish the validity of the underlying assumptions applied in the demonstration of additionality at the time of registration with the other standard.*
* *The PoA/CPAs seeking combined transition and renewal of crediting period with GS4GG are not required to demonstrate OFN at the time of transition but must demonstrate OFN at the time of Crediting Period renewal after transitioning to GS4GG.*
 |
| 1. Sustainable Development Assessment
 |
| * 1. Does the CPA positively contribute towards minimum three Sustainable Development Goals (SDGs) - SDG13 (mandatory) + two other SDGs?
 | [ ]  Yes[ ]  No |
| * 1. Have you identified the monitoring parameters linked with selected SDGs and corresponding SDG targets?

For example – the monitoring parameter Amount of GHGs emissions avoided or sequestered is linked with SDG 13. Climate action, SDG target 13.2 Integrate climate change measures into national policies, strategies and planning.  | [ ]  Yes[ ]  No |
| **Fill section** [**B.6. Sustainable Development Goals (SDG)**](#_B.6._Sustainable_Development) **outcomes and** [**B.7 Monitoring plan**](#_B.7._Monitoring_plan)**, below for SDGs monitoring parameters not covered in registered CPA-DD with other standards.****Fill Table 1 –** [**Estimated Sustainable Development Contributions**](#_KEY_PROJECT_INFORMATION) **below.*****Requirement****:* *The transitioning CPA shall demonstrate a clear, direct contribution to sustainable development, defined as making demonstrable, positive impacts on at least three Sustainable Development Goals (SDGs), one of which must be SDG 13 (Ref: Section 4.(c) of* [*Principles and Requirements*](https://globalgoals.goldstandard.org/standards/101_V1.2_PAR_Principles-Requirements.pdf)*)**Refer to* Annex B, [GHG Product Requirements](https://globalgoals.goldstandard.org/standards/501_V1.2_PR_GHG-Emissions-Reductions-Sequestration.pdf) for further guidelines for transition projects. ***Guidelines:*** *Selected SDG impacts must not result from a one-off from design/construction/distribution/ start-up or decommissioning of the project.**You may refer to /use the* [*SDG impact Tool*](https://www.goldstandard.org/our-work/innovations-consultations/gold-standard-sdg-impact-tool-piloting-consultation) *(under consultation currently) to identify the relevant monitoring indicator, SDGs and corresponding SDG targets and design monitoring plan for identified indicators.*  |
| 1. Start date and duration of the crediting period
 |
| * 1. Has the crediting period of the transitioning CPA registered with other carbon standard/certification scheme changed and/or extended?
 | [ ]  Yes[ ]  No |
| * 1. Is the total duration of the crediting period of CPA (i.e. including period that had been issued under the host standard) less than/equal to the maximum crediting period allowed under relevant GS4GG activity requirements?
 | [ ]  Yes[ ]  No |
| Complete the section [C.2.2 Total length of crediting period](#_C.2._Crediting_period) below.Requirement:* *The crediting period of the transitioning CPA registered with other standards or certification schemes cannot be changed/extended.*
* *Maximum crediting period allowed under GS4GG are as*

*CSA – 15 Yrs, RE – 15 Yrs, if not defined in activity requirement or applicable methodology – 10 Yrs.** *The start date of the GS crediting period shall be same as the start date of the CDM crediting period. (Annex B,* [*GHG Product Requirements*](https://globalgoals.goldstandard.org/standards/501_V1.2_PR_GHG-Emissions-Reductions-Sequestration.pdf)*)*
* *For a transitioning CPA the total duration of the crediting period, including the period that has been claimed under the host standard, shall not exceed the maximum crediting period allowed under relevant GS4GG activity requirements.*

*If a given CPA transitioning to GS4GG, was registered under Standard X with -* * + *fixed crediting period (10 years): The total crediting period (Standard X + GS4GG) must remain 10 years. The CPA can only claim remaining years of its 10-year crediting period after transitioning to GS4GG.*
	+ *renewable crediting period (7\*3 year): The total crediting period (Standard X + GS4GG) must be equal to that allowed under relevant GS4GG activity requirements. The CPA can only claim remaining years of the maximum allowed crediting period after transitioning to GS4GG. For example; the maximum crediting period allowed for renewable energy project is 15 years. A renewable energy CPA that has already claimed 5 years under Standard X can only claim remaining 10 years of the total 15 years of its allowed crediting period after transitioning to GS4GG*
* *For a transitioning CPA, the start date of the Gold Standard Crediting Period starts with crediting period start date with other standard or maximum two years before the date of first submission (submission for preliminary review), whichever occurs later.. (Ref:* [*GHG Product Requirements*](https://globalgoals.goldstandard.org/standards/501_V1.2_PR_GHG-Emissions-Reductions-Sequestration.pdf)*)*
* *All transition CPAs shall be renewed every 5 years. The first crediting period renewal under GS4GG takes into account the crediting years that has already been issued by other standard. For example, if a CPA start date with standard X is 01/01/ 2019, the CPA shall renew its crediting period with GS4GG on or before 1st Jan 2024, irrespective of date of transition approval with GS4GG.*
 |
| 1. Safeguarding Principles Assessment
 |
| * 1. Does the CPA conform to the [Gold Standard Safeguarding Principles and Requirements](https://globalgoals.goldstandard.org/standards/103_V1.2_PAR_Safeguarding-Principles-Requirements.pdf)?
 | [ ]  Yes[ ]  No |
| * 1. Is there any risk and/or likely adverse outcomes of the project?
 | [ ]  Yes[ ]  No |
| * 1. If answer is yes for Q 9.2 |above, can the project achieve requirements with regards to the relevant principle through design, management or risk mitigation?
 | [ ]  Yes[ ]  No |
| * 1. If answer is yes for Q 9.3 |above, have the Mitigation Measures added to the Monitoring Plan (if required)?
 | [ ]  Yes[ ]  No |
| Complete the Annex 1 and section [D. Summary of Safeguarding Principles](#_SECTION_D._SUMMARY) below.***Requirement****: The transitioning project shall conform to the* [*Gold Standard Safeguarding Principles and Requirements*](https://globalgoals.goldstandard.org/standards/103_V1.2_PAR_Safeguarding-Principles-Requirements.pdf)*. (Ref: Section 4.1.19 of* [*GS4GG Principles and Requirements*](https://globalgoals.goldstandard.org/standards/101_V1.2_PAR_Principles-Requirements.pdf)*)****Guidelines:*** *The detailed Safeguarding Principles and Requirements checklist is available in Annex 1 of this document.* |
| 1. Stakeholder Consultation Requirements
 |
| * 1. Has the CPA conducted a Stakeholder Consultation in accordance with the requirements of [Gold Standard Stakeholder Consultation & Engagement Requirements](https://globalgoals.goldstandard.org/standards/102_V1.2_PAR_Stakeholder-Consultation-Requirements.pdf)?

The answer to Q 10.1 is “No”, if any of the questions below is answered as “No”. The project should take the question(s) into account and address the gap when conducting supplementary stakeholder consultation to comply with GS4GG requirements. Please answer the below question with regards to the stakeholder consultation conducted to comply with CDM/other standard requirements? | [ ]  Yes[ ]  No |
| * 1. Did you conduct the stakeholder consultation before the CPA start date?
 | [ ]  Yes[ ]  No |
| * 1. Did you discuss identified direct positive and negative impacts of the CPA with stakeholders?
 | [ ]  Yes[ ]  No |
| * 1. Does the invited stakeholder covers all stakeholder groups (a to g) listed in paragraph 3.1.1. of [STAKEHOLDER CONSULTATION AND ENGAGEMENT REQUIREMENTS](https://globalgoals.goldstandard.org/standards/102_V1.2_PAR_Stakeholder-Consultation-Requirements.pdf)?
 | [ ]  Yes[ ]  No |
| * 1. Did the invitation methods solicit input from women and marginalised groups?
 | [ ]  Yes[ ]  No |
| * 1. Were the stakeholders invited at least 30 days before the stakeholder meeting?
 | [ ]  Yes[ ]  No |
| * 1. Did a local language version of the non-technical summary with information required as per paragraph 5.1.1. of [STAKEHOLDER CONSULTATION AND ENGAGEMENT REQUIREMENTS](https://globalgoals.goldstandard.org/standards/102_V1.2_PAR_Stakeholder-Consultation-Requirements.pdf), shared with stakeholders?
 | [ ]  Yes[ ]  No |
| * 1. Was a physical meeting conducted?
 | [ ]  Yes[ ]  No |
| * 1. Was a gender lens applied to assessing comments? (for example, if only men provided comments on household device project, was this taken into consideration when assessing the relevance of the comment?)
 | [ ]  Yes[ ]  No |
| * 1. Were any serious, reasonable and proportional concerns raised and taken into account and satisfactorily addressed?
 | [ ]  Yes[ ]  No |
| * 1. Were any points that warrant ‘Mitigation measures’ marked as such and monitoring plan has been designed and included in the PDD?
 | [ ]  Yes[ ]  No |
| * 1. Is the mandatory Continuous Input / Grievance Expression Process Book’s location clearly stated (and therefore usable)?
 | [ ]  Yes[ ]  No |
| * 1. Does PDD include a summary report of the comments received from local stakeholders?
 | [ ]  Yes[ ]  No |
| Complete the section [E. Summary of Local Stakeholder Consultation](#_SECTION_E._SUMMARY) below.***Requirement****: Ref: Section 4.1.25 of* [*GS Principles and Requirements*](https://globalgoals.goldstandard.org/standards/101_V1.2_PAR_Principles-Requirements.pdf)*.* ***Guidelines:*** *CPA that conducted a stakeholder consultation meeting to comply with CDM/other standard requirements, should conduct, at minimum,* * *one round of consultation for identified gaps i.e., gaps due to differences in stakeholder consultation requirements of GS4GG and CDM/other standard. For instance, if original consultations only involve one physical meeting, CME/PD should conduct a stakeholder feedback round covering all the identified gaps. The additional stakeholder consultations may involve a physical meeting or stakeholder feedback round, as necessary.*

*If COVID interim measures are applicable (currently till 30/06/2021), the physical meeting and stakeholder feedback round may be postponed, and a draft SCR shall be mandatorily submitted to cover the consultation activities carried out till date.*  |

…………………………

This template has been revised to aid a consistent interpretation and to better support project developers submitting documentation for certification. Please read the accompanying guide to understand how to complete this template accurately.
[**TEMPLATE GUIDE Key Project Information & VPA Design Document v.1.1**](https://globalgoals.goldstandard.org/standards/TGuide-PreReview_V1.1-VPA-Design-Document.pdf)

Please delete this blue text box upon completion

### KEY PROJECT INFORMATION

|  |  |
| --- | --- |
| GS ID of Project  |  |
| Title of Project |  |
| Time of First Submission Date  |  |
| Date of Design Certification |  |
| Version number of the VPA-DD |  |
| Completion date of version |  |
| Coordinating/managing entity  |  |
| VPA/CPA Implementer (s) |  |
| Project Participants and any communities involved  |  |
| Host Country (ies) |  |
| GS ID and Title of applicable Design Certified VPA |  |
| GS ID and Title of applicable Performance Certified VPA |  |
| Activity Requirements applied | [ ]  Community Services Activities [ ]  Renewable Energy Activities[ ]  Land Use and Forestry Activities/Risks & Capacities[ ]  N/A  |
| Scale of the project activity | [ ]  Micro scale[ ]  Small Scale[ ]  Large Scale |
| Other Requirements applied |  |
| Methodology (ies) applied and version number |  |
| Product Requirements applied | [ ]  GHG Emissions Reduction & Sequestration [ ]  Renewable Energy Label [ ]  N/A  |
| Project Cycle: | [ ]  Regular[ ]  Retroactive  |

##### Table 1 – Estimated Sustainable Development Contributions

|  |  |  |  |
| --- | --- | --- | --- |
| Sustainable Development Goals Targeted | SDG Impact (defined in B.6) | Estimated Annual Average | Units or Products |
| 13 Climate Action (mandatory) |  |  |
|  |  |  |
|  |  |  |

1. DESCRIPTION OF PROJECT
	1. Purpose and general description of project

>>

* + 1. Eligibility of the project under approved PoA

>>

|  |  |  |  |
| --- | --- | --- | --- |
| No. | Eligibility Criterion | Description/Required condition | Means of Verification/Supporting evidencefor inclusion |
| 1 |  |  |  |
| 2 |  |  |  |
| 3 |  |  |  |
| … |  |  |  |

* + 1. Legal ownership of products generated by the project and legal rights to alter use of resources required to service the project

>>

* 1. Location of project

>>

* 1. Technologies and/or measures

>>

* 1. Scale of the project

>>

* 1. Funding sources of project

>>

1. APPLICATION OF APPROVED GOLD STANDARD METHODOLOGY (IES) AND/OR DEMONSTRATION OF SDG CONTRIBUTIONS
	1. Reference of approved methodology (ies)

>>

* 1. Applicability of methodology (ies)

>>

* 1. Project boundary

>>

|  |  |  |  |
| --- | --- | --- | --- |
| Source | GHGs | Included? | Justification/Explanation |
| **Baseline scenario** | Source 1 | CO2 |  |  |
| CH4 |  |  |
| N2O |  |  |
| … |  |  |
| Source 2 | CO2 |  |  |
| CH4 |  |  |
| N2O |  |  |
| … |  |  |
| … | … |  |  |
| … |  |  |
| … |  |  |
| **Project scenario** | Source 1 | CO2 |  |  |
| CH4 |  |  |
| N2O |  |  |
| … |  |  |
| Source 2 | CO2 |  |  |
| CH4 |  |  |
| N2O |  |  |
| … |  |  |
| … | … |  |  |
| … |  |  |
| … |  |  |

* 1. Establishment and description of baseline scenario

>>

* 1. Demonstration of additionality

>>

|  |  |
| --- | --- |
| Specify the methodology, activity requirement or product requirement that establishes deemed additionality for the proposed project (including the version number and the specific paragraph, if applicable). |  |
| Describe how the proposed project meets the criteria for deemed additionality.  |  |

Use this table for Automatic Additionality Only – delete if N/A

* + 1. Prior Consideration

>>

* + 1. Ongoing Financial Need

>>

* 1. Sustainable Development Goals (SDG) outcomes

Relevant Target/Indicator for each of the three SDGs

|  |  |  |
| --- | --- | --- |
| Sustainable Development Goals Targeted | Most relevant SDG Target | SDG Impact |
| **Indicator (Proposed or SDG Indicator)** |
|  |  |  |
|  |  |  |
|  |  |  |

* + 1. Explanation of methodological choices/approaches for estimating the SDG Impact

>>

* + 1. Data and parameters fixed ex ante

Copy the table for each piece of data and parameter; use headings to group parameter tables by SDG

 **SDG13**

|  |  |
| --- | --- |
| Data/parameter |  |
| Unit |  |
| Description |  |
| Source of data |  |
| Value(s) applied |  |
| Choice of data or Measurement methods and procedures  |  |
| Purpose of data |  |
| Additional comment |  |

 **(SDG n …**)

* + 1. Ex ante estimation of SDG Impact

>>

* + 1. Summary of ex ante estimates of each SDG outcome

|  |  |  |  |
| --- | --- | --- | --- |
| Year | Baseline estimate | Project estimate | Net benefit |
| Year 1 |  |  |  |
| Year 2 |  |  |  |
| Year 2 |  |  |  |
| Year 4 |  |  |  |
| Year 5 |  |  |  |
| Year n (delete if N/A) |  |  |  |
| Total |  |  |  |

|  |
| --- |
| **Total number of crediting years** |
| **Annual average over the crediting period** |  |  |  |

* 1. Monitoring plan
		1. Data and parameters to be monitored

(Copy the table for each piece of data and parameter; use headings to group parameter tables by SDG)

**SDG 13**

|  |  |
| --- | --- |
| Data / Parameter |  |
| Unit |  |
| Description |  |
| Source of data |  |
| Value(s) applied |  |
| Measurement methods and procedures |  |
| Monitoring frequency |  |
| QA/QC procedures |  |
| Purpose of data |  |
| Additional comment |  |

**(SDG n…)**

* + 1. Sampling plan

>>

* + 1. Other elements of monitoring plan

>>

1. DURATION AND CREDITING PERIOD
	1. Duration of project
		1. Start date of project

>>

* + 1. Expected operational lifetime of project

>>

* 1. Crediting period of project
		1. Start date of crediting period

>>

* + 1. Total length of crediting period

>>

1. SUMMARY OF SAFEGUARDING PRINCIPLES AND GENDER SENSITIVE ASSESSMENT
	1. Safeguarding Principles that will be monitored

A completed Safeguarding Principles Assessment is in [Appendix 1](#_APPENDIX_1_–), ongoing monitoring is summarised below.

|  |  |
| --- | --- |
| Principles | Mitigation Measures added to the Monitoring Plan |
| **Principle x.y** |  |
|  |  |
|  |  |

* 1. Assessment that project complies with GS4GG Gender Sensitive requirements

|  |  |
| --- | --- |
| Question 1 - Explain how the project reflects the key issues and requirements of Gender Sensitive design and implementation as outlined in the Gender Policy?  |  |
| Question 2 - Explain how the project aligns with existing country policies, strategies and best practices |  |
| Question 3 - Is an Expert required for the Gender Safeguarding Principles & Requirements? |  |
| Question 4 - Is an Expert required to assist with Gender issues at the Stakeholder Consultation? |  |

1. SUMMARY OF LOCAL STAKEHOLDER CONSULTATION

The below is a summary of the 2 step GS4GG Consultation for monitoring purposes. Pleaserefer to the separate Stakeholder Consultation Report for a complete report on the initial consultation and stakeholder feedback round.

* 1. Summary of stakeholder mitigation measures

>>

* 1. Final continuous input / grievance mechanism

|  |  |
| --- | --- |
| Method | Include all details of Chosen Method (s) so that they may be understood and, where relevant, used by readers.  |
| Continuous Input / Grievance Expression Process Book (mandatory) |  |
| GS Contact (mandatory) | help@goldstandard.org  |
| Other |  |

### Appendix 1 - Safeguarding Principles Assessment

>> Fill this section

The Project shall provide responses to assessment questions, including justifications for responses following the below guidance:

|  |  |  |
| --- | --- | --- |
| **Response** | **Meaning** | **Guidance** |
| “Yes” | Meaning that the risk or expected issue identified in the assessment question is existing in the project and context taking into account the scope and scale of the project. | The requirements apply and adherence shall be demonstrated. All information must be included in the Monitoring & Reporting Plan and future Monitoring Reports. |
| “Potentially” | Meaning that the risk or expected issue may exist at some point in the Project’s cycle but is not necessarily present now and/or may never arise. | The requirements apply but the Project may justify with evidence why these requirements do not need to be demonstrated as being met. The project shall update information on any assessment questions answered ‘Potentially’ for each monitoring report.  |
| “No” | Meaning that the risk or expected issue is not present in the Project. | Justification shall be provided to support this conclusion, with evidence provided where required.  |
| “NA” | Meaning the question is not relevant to the project and its potential impact. | No action is needed.  |
|  |  |  |

The Safeguarding Principles Assessment shall include a description with justifications on how a project met or will meet (i.e., monitor if needed) these requirements.

The Requirements shall guide mitigation proposal where a risk is identified, i.e., the mitigation proposal to address identified risk shall be designed with the intention of achieving the stated requirements.

The scope of each requirement (for example, its application during implementation or to upstream or downstream issues) is defined within the relevant section.

**Assessment questions**

The table below presents a non-exhaustive list of questions. New questions may be added depending on the specific context of any given project. Some additional considerations could include the project's timeline, budget, and desired outcomes. It may also be necessary to factor in the stakeholders involved, their expectations, and any potential challenges or constraints that may arise. Furthermore, it is important to regularly review and update the list of questions throughout the project's lifecycle to ensure that all relevant risks are being taken into account and addressed.

|  |
| --- |
| **SOCIAL SAFEGUARDING PRINCIPLES** |
| Reference requirement  | Question | Response |
| **P.1 |Human Rights** |
| P.1.1.1 | | Does the project developer, its representatives and the Project disrespect internationally proclaimed human rights?  | [ ]  YES[ ]  NO |
| P.1.1.1 | | Is the project involved or complicit in violence or human rights abuses of any kind as defined in the Universal Declaration of Human Rights? | [ ]  YES[ ]  NO |
| P.1.1.2 | | Have local communities or individuals raised human rights concerns regarding the project (e.g., during the stakeholder engagement process, grievance processes, public statements)? | [ ]  YES[ ]  NO |
| P.1.1.3 | | Is there a risk that rights-holders (e.g., Project-affected stakeholders) do not have the capacity to claim their rights? | [ ]  YES[ ]  NO |
| P.1.1.3 | | Does this project undermine national or regional measures for the realisation of the right to development? | [ ]  YES[ ]  NO |
| If the answer to any of the questions above is "yes," please explain the reason and how the project will ensure compliance with applicable requirements. |
| *Please add text here…* |
| Would the project potentially involve or lead to: |
| P.1.1.1 | | adverse impacts on enjoyment of the human rights (civil, political, economic, social or cultural) of the affected population and particularly of marginalised groups? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.1.1.2 | | inequitable or discriminatory impacts on affected populations, particularly people living in poverty or marginalised or excluded individuals or groups, including persons with disabilities? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.1.1.3 | | restrictions in availability, quality of and/or access to resources or basic services, in particular to marginalised individuals or groups, including persons with disabilities? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.1.1.3 | | exacerbation of conflicts among and/or the risk of violence to project-affected communities and individuals? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| Briefly describe below how the project incorporates a human rights-based approach. For example, by describing how the project design: * is informed by human rights analysis, including from UN human rights mechanisms (human rights treaty bodies, universal periodic review, special procedures)
* includes measures to assist the government to realise (respect, protect and fulfil) human rights under international law and to implement human rights-related standards in national law (whichever is higher)
* enhances the availability, accessibility and quality of benefits and services for potentially marginalised individuals and groups, and to increase their inclusion in decision-making processes that may impact them (consistent with the non-discrimination and equality human rights principle)
* provides reasonable accommodations to strengthen inclusivity and accessibility of project benefits and services to persons with disabilities.
 |
| *Please add text here….*  |
| **P.2 |Gender Equality and Women’s Empowerment** |
| P.2.1.1 | | Have women’s groups/leaders raised gender equality concerns regarding the project, (e.g., during the stakeholder engagement process, grievance processes, public statements)? | [ ]  YES[ ]  NO |
| P.2.1.2 | | Does the project undermine the principles of non-discrimination, equal treatment, and equal pay for equal work? | [ ]  YES[ ]  NO |
| P.2.1.2 | | Does the project prevent men and women from having equal opportunities to participate in identified tasks and activities, whether through paid work, volunteer work, or community contributions, as appropriate? | [ ]  YES[ ]  NO |
| P.2.1.2 | | Does the project limit the participation of women or men based on pregnancy, maternity/paternity leave, or marital status? | [ ]  YES[ ]  NO |
| P.2.1.2 | | Is information about project objectives being communicated in a way that is inappropriate for the local context and not tailored to the methods of understanding of both women and men, which could hinder their participation? | [ ]  YES[ ]  NO |
| P.2.1.3 | | Has the project assessed gender risks without referencing the country's gender strategy or equivalent national commitment? | [ ]  YES[ ]  NO |
| P.2.1.4 | | Has expert stakeholder(s) been involved, and has their input been requested for the project design on gender equality and women's empowerment? | [ ]  YES[ ]  NO |
| If the answer to any of the questions above is "yes," please explain the reason and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project potentially involve or lead to: |  |
| P.2.1.1 | | adverse impacts on gender equality and/or the situation of women and girls? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.2.1.1 | | exacerbation of risks of gender-based violence? For example, through the influx of workers to a community, changes in community and household power dynamics, increased exposure to unsafe public places and/or transport, etc. | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.2.1.2 | | reproducing discriminations against women based on gender, especially regarding participation in design and implementation or access to opportunities and benefits? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.2.1.2 | | limitations on women’s ability to use, develop and protect natural resources, taking into account different roles and positions of women and men in accessing environmental goods and services?For example, activities that could lead to natural resources degradation or depletion in communities who depend on these resources for their livelihoods and well-being. | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| Briefly describe below how the project is addressing any identified risk to gender equality and women’s empowerment. |
| *Please add text here….* |
| **P.3 |Community Health AND Safety** |
| P.3.1.1 | | Does the project involve potential risks to the health and safety of affected communities during its life cycle? | [ ]  YES[ ]  NO |
| P.3.1.2 | | Does the project involve any potential risks to the workers' safety and health? | [ ]  YES[ ]  NO |
| If the answer to any of the questions above is "yes," please explain the reason and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project potentially involve or lead to: |
| P.3.1.1 | | construction and/or infrastructure development (e.g., roads, buildings, dams)? | [ ]  YES[ ]  NO |
| P.3.1.2 | | air pollution, noise, vibration, traffic, injuries, physical hazards, poor surface water quality due to runoff, erosion, sanitation? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.3.1.2 | | harm or losses due to failure of structural elements of the project (e.g., collapse of buildings or infrastructure)? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.3.1.2 | | risks of water-borne or other vector-borne diseases (e.g., temporary breeding habitats), communicable and noncommunicable diseases, nutritional disorders, mental health? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.3.1.2 | | transport, storage, and use and/or disposal of hazardous or dangerous materials (e.g., explosives, fuel and other chemicals during construction and operation)? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.3.1.2 | | adverse impacts on ecosystems and ecosystem services relevant to communities’ health (e.g., food, surface water purification, natural buffers from flooding)? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| Briefly describe below how the project is addressing any identified risk related to community health and safety. |
| *Please add text here….* |
| **P.4 |Cultural Heritage, Indigenous People, Displacement and Resettlement** |
| *P.4.1 |Sites of cultural and historical heritage* |
| P.4.1.1 | | Does the project involve altering, damaging, or removing sites, objects, or structures of significant cultural heritage? | [ ]  YES[ ]  NO |
| If the answer to question above is "yes," please explain the reason and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project potentially involve or lead to: |
| P.4.1.1 | | activities adjacent to or within a cultural heritage site? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.1.1 | | significant excavations, demolitions, movement of earth, flooding or other environmental changes? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.1.1 | | alterations to landscapes and natural features with cultural significance? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.1.1 | | adverse impacts to sites, structures, or objects with historical, cultural, artistic, traditional or religious values or intangible forms of culture (e.g., knowledge, innovations, practices)? (Note: projects intended to protect and conserve Cultural Heritage may also have inadvertent adverse impacts) | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.1.2 | | utilisation of tangible and/or intangible forms (e.g., practices, traditional knowledge) of Cultural Heritagefor commercial or other purposes? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.1.2 | | If answer to question above is “YES” or “POTENTIALLY” - are the communities made aware of their right under the law, scope and nature of proposed development and its potential consequences? | [ ]  YES[ ]  NO[ ]  NA  |
| P.4.1.3 | | If answer to question above is “YES” - does the project provide equitable sharing of benefits from commercialisation of such knowledge, innovation, or practice, consistent with their customs and traditions? | [ ]  YES[ ]  NO[ ]  NA |
| P.4.1.4 | | If answer to question above is “YES” - are opinions and recommendations of an Expert Stakeholder(s) not sought and demonstrated as being included in the project design? | [ ]  YES[ ]  NO[ ]  NA |
| P.4.1.4 | | If answer to question above is “YES”, has project design been changed, modified, updated considering opinions and recommendations of an Expert Stakeholder? | [ ]  YES[ ]  NO[ ]  NA |
| If the answer is "yes" or "potentially" to any of the above questions, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.4.2 |*Forced eviction and displacement* |
| P.4.2.1 | | Does the project involve any risks related to involuntary relocation of people? | [ ]  YES[ ]  NO |
| If the answer to question above is "yes," please explain the reason and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project potentially involve or lead to: |
| P.4.2.1 | | risk of forced evictions or involuntary relocation of people? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.2.2 | | temporary or permanent and full or partial physical displacement (including people without legally recognisable claims to land)? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.2.2 | | economic displacement (e.g., loss of assets or access to resources due to land acquisition or access restrictions – even in the absence of physical relocation)? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.2.2 | | If answer to question above is “YES” or “POTENTIALLY”, * has the project developed Resettlement Action Plan or Livelihood Action Plan in consultation and agreement with affected individual, group or community?
* has the project integrated Resettlement Action Plan or Livelihood Action Plan into the Project design?
 | [ ]  YES[ ]  NO[ ]  NA  |
| P.4.2.3 | | If answer to question above is “YES” - are opinions and recommendations of an Expert Stakeholder(s) not sought and demonstrated as being included in the project design? | [ ]  YES[ ]  NO[ ]  NA |
| P.4.2.3 | | If answer to question above is “YES”, have project design been changed, modified, updated considering opinions and recommendations of an Expert Stakeholder? | [ ]  YES[ ]  NO[ ]  NA |
| If the answer is "yes" or "potentially" to any of the above questions, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.4.3 |Land tenure and other rights |
| P.4.3.1 | | Does the project involve any risks related to identifying and managing legitimate tenure rights that may be affected by the project? | [ ]  YES[ ]  NO |
| If the answer to question above is "yes," please explain the reason and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project potentially involve or lead to: |
| P.4.3.1 | | impacts on or changes to land tenure arrangements and/or community-based property rights/customary rights to land, territories and/or resources? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.3.1 | | uncertainties with regards to land tenure, access rights, usage rights or land ownership?Examples include, but are not limited to water access rights, community-based property rights and customary rights. | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.3.2 | | Changes in legal arrangements, if yes, are the changes done in line with relevant laws and regulations? | [ ]  YES[ ]  NO[ ]  NA  |
| P.4.3.2 | | Changes in legal arrangements, if yes, are these changes agree with free, prior and informed consent of the involved stakeholders? | [ ]  YES[ ]  NO[ ]  NA |
| P.4.3.3 | | Does some other entity (other than the project developer) hold uncontested land title for the entire Project Boundary?  | [ ]  YES[ ]  NO[ ]  NA |
| P.4.3.4 | | Are opinions and recommendations of an Expert Stakeholder(s) not sought and demonstrated as being included in the project design? | [ ]  YES[ ]  NO[ ]  NA |
| P.4.3.4 | | If answer to question above is “YES”, have project design been changed, modified, updated considering opinions and recommendations of an Expert Stakeholder? | [ ]  YES[ ]  NO[ ]  NA |
| P.4.3.5 | | Have project developer in consultation with stakeholders established a functioning mechanism to receive, process, resolve, communicate and record grievances?  | [ ]  YES[ ]  NO[ ]  NA |
| If the answer is "yes" or "potentially" to any of the above questions, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.4.4 |Indigenous peoples |
| P.4.4.1 | | Does the project involve Indigenous People within the Project area of influence who may be affected directly or indirectly by the Project? | [ ]  YES[ ]  NO |
| If the answer to question above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project potentially involve or lead to: |
| P.4.4.1 | | affect areas where indigenous peoples are present (including project area of influence)  | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.4.1 | | affect areas, land and territory claimed by indigenous peoples? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.4.1 | | impacts (positive or negative) to the human rights, lands, natural resources, territories, and traditional livelihoods of indigenous peoples? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.4.7 | | If answer to above questions is ’’YES’’ or “POTENTIALLY”, * Is it determined that the proposed project may affect the rights, lands, resources, or territories of indigenous people?
* Has an "Indigenous People Plan" (IPP) or "Indigenous People Plan Framework" been elaborated and included in the project documentation?
* Was the plan developed in accordance with the effective and meaningful participation of indigenous peoples and in accordance with UNDP Guidelines?
 | [ ]  YES[ ]  NO[ ]  NA  |
| P.4.4.3 | | risk of forcibly removing indigenous people from their lands and territories? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.4.4 | | utilisation and/or commercial development of natural resources on lands and territories claimed by indigenous peoples?Consider, and where appropriate ensure, consistency with the answers under Principle 4.1 above | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.4.4.5 |P.4.4.6 | | If answer to question above is “YES” or “POTENTIALLY”* Did the project obtain free, prior and informed consent from indigenous people before taking their cultural, intellectual, religious, and/or spiritual property?
* Does the project ensure that the indigenous people receive an equitable sharing of benefits resulting from the use of their traditional knowledge and practices? ?
* Does the project ensure that the sharing of benefits resulting from the use of indigenous peoples' traditional knowledge and practices is culturally appropriate and inclusive?
* Does the project ensure that the provision of equitable sharing of benefits does not impede land rights or equal access to basic services including health services, clean water, energy, education, safe and decent working conditions, and housing?
 | [ ]  YES[ ]  NO[ ]  NA |
| P.4.4.8 | | Does the project lack appropriate feedback and grievance channels for Indigenous Peoples and their representatives? | [ ]  YES[ ]  NO[ ]  NA |
| P.4.4.8 | | Has a grievance mechanism not been established at the beginning of programme or project implementation with due consideration given to customary dispute settlement mechanisms among the Indigenous Peoples concerned and will it remain operational throughout the project cycle? | [ ]  YES[ ]  NO[ ]  NA |
| P.4.4.9 | | Are opinions and recommendations of an Expert Stakeholder(s) not sought and demonstrated as being included in the project design? | [ ]  YES[ ]  NO[ ]  NA |
| P.4.4.9 | | If answer to question above is “YES”, have project design been changed, modified, updated considering opinions and recommendations of an Expert Stakeholder? | [ ]  YES[ ]  NO[ ]  NA |
| If the answer is "yes" or "potentially" to any of the above questions, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| **P.5 |Corruption** |
| P.5.1.1 | | Does the project involve, or is it complicit in, contributing to or reinforcing corruption or corrupt projects? | [ ]  YES[ ]  NO |
| P.5.1.1 | | Does the project have a risk of encouraging bribery, kickbacks, or other unethical behavior? | [ ]  YES[ ]  NO |
| If the answer to any of the questions above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| **ECONOMIC SAFEGUARDING PRINCIPLES** |
| **P.6 |Economic Impacts** |
| P.6.1 |Labour Rights and Working Conditions |
| P.6.1.1 | | Does the project involve, facilitate, or condone forced labor, or pose a potential risk of forced labor? | [ ]  YES[ ]  NO |
| P.6.1.1 | | Does the project violate any labor or health and safety laws, international obligations, or ILO conventions? | [ ]  YES[ ]  NO |
| P.6.1.2 | | Does the project violate the principles of equal opportunity and fair treatment in its employment decisions? | [ ]  YES[ ]  NO |
| P.6.1.3 | | Does the project violate national laws, if available regarding non-discrimination in employment? | [ ]  YES[ ]  NO |
| P.6.1.4 |P.6.1.5 | | Does the project allow child labor? | [ ]  YES[ ]  NO |
| P.6.1.7 |P.6.1.8 | | Does the project have insufficient processes and measures in place to ensure the safety and health of project workers? | [ ]  YES[ ]  NO |
| P.6.1.9 | | Does the project have insufficient measures to safeguard and support vulnerable project workers, such as women, people with disabilities, migrant workers, and young workers, and to prevent any kind of harassment, abuse, bullying, or exploitation, including gender-based violence (GBV)? | [ ]  YES[ ]  NO |
| P.6.1.10 | | Does the project have no grievance mechanism available for workers to voice workplace concerns? Is information about this mechanism not provided to workers at the time of recruitment, or is it not easily accessible? | [ ]  YES[ ]  NO |
| If the answer to any of the questions above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project potentially involve or lead to: **(**note: applies to both project and contractor workers) |
| P.6.1.1 | | use of forced labour? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.6.1.1 | | working conditions that do not meet national labour laws and international commitments? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.6.1.1 | | working conditions that may deny freedom of association and collective bargaining? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.6.1.1 | | absence of documented working agreements with all individual workers *if such agreements do not exist, or do not address working conditions and terms of employment, the project developer shall provide reasonable working conditions and terms of employment.*  | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.6.1.1 | | use of migrant workers?*if engaged, the developer shall ensure that they are engaged substantially equivalent terms and conditions to non-migrant workers carrying out similar work.* | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.6.1.1 | | having no arrangements for basic services[[2]](#footnote-3) for workers?*the project developer shall put in place and implement policies on the quality and management of the accommodation and provision of basic services in a manner consistent with the principles of non-discrimination and equal opportunity. Workers’ accommodation arrangements should not restrict workers’ freedom of movement or of association* | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.6.1.2 | | any form of discrimination or harassment based on factors unrelated to job requirements, such as gender, race, nationality, ethnicity, social or indigenous origin, religion or belief, disability, age, or sexual orientation? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.6.1.2 | | any form of discrimination in any aspect of employment, such as recruitment, compensation, working conditions, training, job assignment, promotion, termination, or discipline? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.6.1.2 | | harassment, intimidation, and/or exploitation, especially in regard to women? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.6.1.3 | | discriminatory working conditions and/or lack of equal opportunity where national law provides provision to address non-discrimination in employment? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.6.1.4 | | use of child labour? (including third-party engaged workers) | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.6.1.4 | | inadequate and verifiable mechanisms for age verification? | [ ]  YES[ ]  NO |
| P.6.1.7 | | no processes and measures in place for the safety and health of project workers? | [ ]  YES[ ]  NO |
| P.6.1.7 | | No provision of safety and health training provisions, including on the proper use and maintenance of personal protective equipment conducted by competent persons and the maintenance of training records? | [ ]  YES[ ]  NO |
| P.6.1.7 | | No provision to record and document accidents, diseases, incidents, and any resulting injuries, illnesses, or deaths? | [ ]  YES[ ]  NO |
| P.6.1.8 | | occupational health and safety risks due to physical, chemical, biological and psychosocial hazards (including violence and harassment) throughout the project life-cycle? | [ ]  YES[ ]  NO |
| P.6.1.9 | | No measures to protect vulnerable project workers from harassment, exploitation, and gender-based violence (GBV)? This includes women, people with disabilities, migrant workers, and young workers. | [ ]  YES[ ]  NO |
| P.6.1.10 | | No grievance mechanism available for workers to voice workplace concerns. | [ ]  YES[ ]  NO |
| P.6.1.11 | | No measures for due diligence and the establishment of policies and procedures to manage and monitor the performance of third-party employees in the project? | [ ]  YES[ ]  NO |
| If the answer is "yes" or "potentially" to any of the above questions, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.6.2 |Negative economic consequences |
| P.6.2.1 | | Is there a risk of project failure during implementation or after project certification due to a lack of financial resources? | [ ]  YES[ ]  NO |
| P.6.2.2 | | Does the project have potential negative impacts or pose a risk to the local economy? | [ ]  YES[ ]  NO |
| P.6.2.2 | | Are there any potential risks or negative impacts this project may have on vulnerable or marginalised social groups, despite the benefits it may bring? | [ ]  YES[ ]  NO |
| If the answer to any of the questions above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here…* |
| **Would the project involve or lead to:** |
| P.6.2.2 | | economic impacts (negative/detrimental) to the local economy? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.6.2.2 | | negative economic consequences during and after project implementation, e.g., for vulnerable and marginalised social groups in targeted communities? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| If the answer is "yes" or "potentially" to any of the above questions, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.7 |**Climate and Energy** |
| P.7.1 |GHG Emissions |
| P.7.1.1 | | Does the project have a risk of increasing greenhouse gas emissions over the Baseline Scenario? | [ ]  YES[ ]  NO |
| If the answer to question above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.7.1.1 | | increase greenhouse gas emissions over the Baseline Scenario? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| If the answer is "yes" or "potentially" to the above question, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.7.2 |Energy supply |
| P.7.2.1 | | Does the project pose a risk to the availability and reliability of energy supply to other users? | [ ]  YES[ ]  NO |
| If the answer to question above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.7.2.1 | | negative impact on the availability and reliability of energy supply to other users? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| If the answer is "yes" or "potentially" to the above question, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| **P.8 |Water** |
| P.8.1 |Impact on Natural Water Patterns/Flows |
| P.8.1.1 | | Does the project increase water usage to a level that will not allow for the maintenance of environmental flows? | [ ]  YES[ ]  NO |
| P.8.1.1 | | Does the project result in the discharge of wastewater that does not meet the required standard for beneficial reuse and could therefore negatively impact the environmental flow? | [ ]  YES[ ]  NO |
| P.8.1.1 | | Does the project have the potential risk to exceed the rate of recharge for the groundwater source? | [ ]  YES[ ]  NO |
| P.8.1.1 | | Does the project involve any processes or activities that could contaminate the groundwater and render it unsuitable for use? | [ ]  YES[ ]  NO |
| If the answer to any of the questions above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.8.1.1 | | affect the natural or pre-existing pattern of watercourses, groundwater and/or the watershed(s) such as high seasonal flow variability, flooding potential, lack of aquatic connectivity or water scarcity? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.8.1.1 | | Wastewater discharge of quality that does not meet the required standard for beneficial reuse? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.8.1.1 | | significant extraction, diversion of ground water? For example, construction of dams, reservoirs, river basin developments, groundwater extraction | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.8.1.2 | | Are opinions and recommendations of an Expert Stakeholder(s) not sought and demonstrated as being included in the project design? | [ ]  YES[ ]  NO[ ]  NA |
| If the answer is "yes" or "potentially" to any of the above questions, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.8.2 |Erosion and/or water body instability |
| P.8.2.1 | | Does the project have a risk of negatively impacting the catchment and has it been assessed and addressed? | [ ]  YES[ ]  NO |
| If the answer to question above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.8.2.2 |P.8.2.5 | | negatively impact on the catchment area?*If yes, Erosion prevention measures, including soil and slope protection measures, must be implemented before project commencement. These measures should involve natural terracing, infiltration strips, permanent ground cover, hedge and tree rows, and effective slope length assessment. Regular reassessment of these measures is necessary.* | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.8.2.6 | | Are opinions and recommendations of an Expert Stakeholder(s) not sought and demonstrated as being included in the project design? | [ ]  YES[ ]  NO[ ]  NA |
| If the answer is "yes" or "potentially" to any of the above questions, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| **P.9 |Environment, ecology and land use** |
| P.9.1 |Landscape modification and soil |
| P.9.1.1 |-P.9.1.3 | | Is there any risk of soil resource degradation or loss of ecosystem services provided by soils in the project?*If yes, the project shall maintain healthy soils by minimising negative impacts on soil health, productivity, structure, and water retention. Steps to minimise soil degradation include crop rotation, composting, using N-fixing plants, and reducing tillage and ecologically harmful substances.* | [ ]  YES[ ]  NO |
| If the answer to question above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.9.1.4 | | production, harvesting, and/or management of living natural resources by small-scale landholders and/or local communities? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.9.1.4 | | if answer to above question “yes” or “potentially”, does project adopt appropriate and culturally sensitive sustainable resource management practices? | [ ]  YES[ ]  No[ ]  NA |
| If the answer is "yes" or "potentially" to any of the above questions, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.9.2 |Vulnerability to natural disaster |
| P.9.2.1 | | Does the project have any risks associated with natural or man-made hazards that could result from land use changes due to the project? | ☐ YES☐ NO |
| If the answer to question above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.9.2.2 | | any potential risks that require emergency preparedness and response planning? | ☐ YES☐ POTENTIALLY☐ NO |
| P.9.2.2 | | if answer to above question “yes” or “potentially”, did the project developer disclose appropriate information about emergency preparedness and response to affected communities? | [ ]  YES[ ]  No[ ]  NA |
| If the answer is "yes" or "potentially" to any of the above questions, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.9.3 |Biosafety and genetic resources |
| P.9.3.1 | | Does the project involve the transfer, handling, and use of genetically modified organisms/living modified organisms that may result in adverse effects on biological diversity? | ☐ YES☐ NO |
| If the answer to question above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.9.3.1 | | the transfer, handling and use of genetically modified organisms/living modified organisms (GMOs/LMOs) that result from modern biotechnology | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.9.3.1 | | If answer to above question is “yes” has a risk assessment by a competent Expert stakeholder been carried out in accordance with [Annex iii of the Cartagena protocol on biosafety to the convention on biological diversity](https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=celex%3A22002A0731%2801%29)? | [ ]  YES[ ]  No[ ]  NA |
| P.9.3.2 | | If answer to above question is “yes” has any risks identified in the risk assessment? | [ ]  YES[ ]  No[ ]  NA |
| P.9.3.3 | | Forestry (for example Afforestation/Reforestation) involving GMO planting?*Note - Forestry projects (for example Afforestation/ Reforestation) involving GMO planting are not eligible for Certification under Gold Standard for the Global Goals.* | [ ]  YES[ ]  No[ ]  NA |
| If the answer is "yes" or "potentially" to any of the above questions, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.9.4 |Release of pollutants |
| P.9.4.1 | | Does the project have a risk of releasing pollutants to air, water, and land in routine, non-routine, or accidental circumstances? | ☐ YES☐ NO |
| If the answer to question above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.9.4.1 | | any potential risk of pollutant release that cannot be avoided? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.9.4.3 | | If answer to above question is “Yes” or “potentially”, has the project identified all potential pollution sources that may degrade the quality of soil, air, surface, and groundwater in the project area? | [ ]  YES[ ]  No[ ]  NA |
| P.9.4.2 | | If answer to above question is “Yes” or “potentially”, do the pollution prevention and control technologies and practices applied during the project life cycle align with national regulations or international best practices? | [ ]  YES[ ]  No[ ]  NA |
| P.9.4.3 | | If answer to above question is “Yes”, is there a monitoring plan to ensure that mitigation measures are implemented, and resources are protected? | [ ]  YES[ ]  No[ ]  NA |
| If the answer is "yes" or "potentially" to any of the above questions, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.9.5 |Hazardous and non-hazardous waste |
| P.9.5.1 | | Does the project involve the generation of waste materials (both hazardous and non-hazardous)? | ☐ YES☐ NO |
| P.9.5.3 | | Does the project involve risk of release of hazardous materials resulting from their production, transportation, handling, storage, or use? | ☐ YES☐ NO |
| P.9.5.5 | | Does the project involve the use of any chemicals or materials subject to international bans or phase-outs? | ☐ YES☐ NO |
| If the answer to any of the questions above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.9.5.1 | |  the generation and management of waste materials? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.9.5.1 | | treatment, destruction, or disposal of waste material? | [ ]  YES[ ]  No[ ]  NA |
| P.9.5.1 | | If answer to above question is “Yes”, does the project involve an environmentally friendly method that includes appropriate control of emissions and residues resulting from the handling and processing of waste material? | [ ]  YES[ ]  No[ ]  NA |
| P.9.5.3 | | risk of release of hazardous materials resulting from their production, transportation, handling, storage, or use?  | [ ]  YES[ ]  No[ ]  NA |
| P.9.5.3 | | If answer to above question is "yes”, does project has measures in place to address health risks? | [ ]  YES[ ]  No[ ]  NA |
| P.9.5.4 | | Involve manufacture, trade, and use of chemicals and hazardous materials subject to international bans or phase-outs due to their high toxicity to living organisms, environmental persistence, potential for bioaccumulation, or potential for depletion of the ozone layer | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| If the answer is "yes" or "potentially" to any of the above questions, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.9.6 |Pesticides & Fertilisers |
| P.9.6.1 | | Does the project involve the use of chemical pesticides?  | ☐ YES☐ NO |
| P.9.6.5 | | Does the project involve purchase, store, manufacture, trade or use products that fall in Classes IA (extremely hazardous) and IB (highly hazardous) | ☐ YES☐ NO |
| P.9.6.6 | | Does the project use fertilisers, and if so, are measures being taken to minimise their use and nutrient losses to the environment? | ☐ YES☐ NO |
| If the answer to any of the questions above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.9.6.1 | |  chemical pesticides use for pest management? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.9.6.4 | | If answer to question above is “yes” or “potentially”, does project has documented Chemical Pesticides Policy in place? | [ ]  YES[ ]  No[ ]  NA |
| P.9.6.5 | | purchase, store, use, manufacture, or trade in Class II (moderately hazardous) pesticides? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.9.6.5 | | If answer to question above is “yes” or “potentially”, does project has appropriate controls on manufacture, procurement, or distribution and/or use of these chemicals? | [ ]  YES[ ]  No[ ]  NA |
| If the answer is "yes" or "potentially" to any of the above questions, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.9.7 |Harvesting of forests |
| P.9.7.1 | | Does the project have a risk of unsustainable forest management, including timber harvesting? | ☐ YES☐ NO |
| P.9.7.1 | | Does the project pose a risk of depleting biodiversity and ecosystem functionality in areas where improved forest management is undertaken? | ☐ YES☐ NO |
| P.9.7.1 | | Does the project risk not meeting requirements for environment-friendly, socially beneficial, and economically viable plantations using native species whenever possible? | ☐ YES☐ NO |
| If the answer to any of the questions above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| P.9.8 |Food security |
| P.9.8.1 | | Does the project involve the risk of negatively influencing access to and availability of food for people affected? | ☐ YES☐ NO |
| If the answer to the question above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.9.8.1 | | modification of the quantity or nutritional quality of food available such as through crop regime alteration or export or economic incentives? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| If the answer is "yes" or "potentially" to the above question, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.9.9 | Animal welfare |
| P.9.9.1 | | Does the project involve any risks to animal welfare?Animal welfare shall be ensured by providing access to water and food, appropriate environment, humane treatment, and staff training. Evidence of mistreatment will be treated as an immediate non-conformity. | ☐ YES☐ NO |
| P.9.9.2 | | Does the project involve any potential risk of excessive or inadequate use of veterinary medicines? | ☐ YES☐ NO |
| P.9.9.4 | | Does the project involve the risk of administering synthetic growth promoters, including hormones? | ☐ YES☐ NO |
| If the answer to any of the questions above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.9.9.1 | | animal husbandry or harvesting of fish populations or other aquatic species?[[3]](#footnote-4) | [ ]  YES[ ]  No[ ]  NA |
| P.9.9.1 | | limiting access for animals to basic needs like drinking water, adequate food, daylight, appropriate shelter etc.? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.9.9.3 | | inadequate measures to isolate sick animals and control the spread of disease, especially zoonotic diseases?  | [ ]  YES[ ]  no[ ]  NA |
| P.9.9.5 | | inadequate low-stress methods, equipment, and facilities that facilitate calm animal movement. | [ ]  YES[ ]  No[ ]  NA |
| P.9.9.6 | | inadequate measures to ensure that animals are exposed to the least stress possible during transportation and slaughtering? | [ ]  YES[ ]  No[ ]  NA |
| P.9.9.7 | | inappropriate spacing per animal and stocking rates per land unit? | [ ]  YES[ ]  No[ ]  NA |
| P.9.9.8 | | inadequate measures to address the specific needs of aquatic animals? | [ ]  YES[ ]  No[ ]  NA |
| P.9.9.9 | P.9.9.10 | | primary production of living natural resources such as animal husbandry, aquaculture, and fisheries?If the answer is yes, implement industry-standard sustainable management practices in line with to one or more relevant and credible standards and utilise available technologies. | [ ]  YES[ ]  No[ ]  NA |
| If the answer is "yes" or "potentially" to any of the above question, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.9.10 |High conservation value (HCV) areas and critical habitats |
| P.9.10.1 | | Does the project have the risk of negatively impacting HCV areas and/or critical habitats? | ☐ YES☐ NO |
| P.9.10.2 | | Does the project in the project area or area of downstream impacts have risks to the following: native tree patches, individual native trees, freshwater resources (including rivers, lakes, swamps, temporary water bodies, and wells), habitats of rare, threatened, and endangered species, and biodiversity-enhancing areas? | ☐ YES☐ NO |
| If the answer to any of the questions above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.9.10.1 | | identified habitats as HCV areas and or Critical habitats? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.9.10.1 | | If answer to above question is “yes”, does the project have any risks that could negatively impact the catchment, project success, and surrounding HCV and ecological assets, as well as any measurable adverse impacts on the criteria or biodiversity values for which the critical habitat was designated, and on the ecological processes supporting that biodiversity?  | [ ]  YES[ ]  NO[ ]  NA |
| P.9.10.1 | | If answer to above question is “yes”, is a robust, appropriately designed, and long-term Habitats and Biodiversity Action Plan absent which will make the project unable to achieve net gains of those biodiversity values for which the critical habitat was designated? | [ ]  YES[ ]  NO[ ]  N/A |
| P.9.10.2 | | Does the project area or area of downstream impacts have native tree patches, individual native trees, freshwater resources (including rivers, lakes, swamps, temporary water bodies, and wells), habitats of rare, threatened, and endangered species, and biodiversity-enhancing areas? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.9.10.2 | | If the answer to the above question is “yes”, will the project have any adverse effects on these areas? | [ ]  YES[ ]  No[ ]  NA |
| P.9.10.3 | | If the answer to above question is “yes”, does the project has opportunities to minimise unwarranted conversion or degradation of the habitat and to enhance the habitat as part of its development? | [ ]  YES[ ]  No[ ]  NA |
| P.9.10.4 | | Is the project applying Land Use & Forest Activity Requirements and managing a minimum 10% of the project area to protect or enhance the biological diversity of native ecosystems following HCV approach as per the given requirements? | [ ]  YES[ ]  No[ ]  NA |
| P.9.10.5 | | Are opinions and recommendations of an Expert Stakeholder(s) not sought and demonstrated as being included in the project design? | [ ]  YES[ ]  NO[ ]  NA  |
| If the answer is "yes" or "potentially" to any of the above question, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.9.11 |Endangered species |
| P.9.11.1 | | Does the project lead to the reduction or negative impact on any recognised Endangered, Vulnerable or Critically Endangered species? | [ ]  YES[ ]  NO |
| If the answer to question above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.9.11.2 |  | distortion of habitats of endangered species?  | [ ]  YES[ ]  Potentially[ ]  NA |
| P.9.11.2 | | If answer to the above question is “yes”, does the project plan to protect and enhance them? | [ ]  YES[ ]  POTENTIALLY[ ]  NO[ ]  N/A |
| P.9.11.2 | | Are opinions and recommendations of an Expert Stakeholder(s) not sought and demonstrated as being included in the project design? | [ ]  YES[ ]  NO[ ]  NA |
| If the answer is "yes" or "potentially" to any of the above question, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |
| P.9.12 |Invasive alien species |
| P.9.12.1 | | Does project introduce any alien species (not currently established in the country or region of the project) into new environments? | [ ]  YES[ ]  NO |
| If the answer to question above is "yes," please explain project situation and how the project will ensure compliance with applicable requirements. |
| *Please add text here….* |
| Would the project involve or lead to: |
| P.9.12.1 | | risk of introducing any alien species with a high risk of invasive behaviour regardless of whether such introductions are permitted under the existing regulatory framework? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.9.12.1 | | risk of potential accidental or unintended introductions including the transportation of substrates and vectors (such as soil, ballast, and plant materials) that may harbour alien species. | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| P.9.12.2 | | risk of spreading alien species into areas in which they have not already been established? | [ ]  YES[ ]  POTENTIALLY[ ]  NO |
| If the answer is "yes" or "potentially" to any of the above question, please provide a brief description of the project situation below. Also, provide justification and/or evidence as necessary to demonstrate compliance with applicable requirements. |
| *Please add text here….* |

### Appendix 2- Contact information of VPA Implementer

|  |  |
| --- | --- |
| Organization name |  |
| Registration number with relevant authority |  |
| Street/P.O. Box |  |
| Building |  |
| City |  |
| State/Region |  |
| Postcode |  |
| Country |  |
| Telephone |  |
| E-mail |  |
| Website |  |
| Contact person |  |
| Title |  |
| Salutation |  |
| Last name |  |
| Middle name |  |
| First name |  |
| Department |  |
| Mobile |  |
| Direct tel. |  |
| Personal e-mail |  |

### Appendix 3-Summary of Approved Design Changes

Please refer to [Design Changes Requirements](https://globalgoals.goldstandard.org/111-par-design-change-requirements/) for more information on procedures governing Design Changes.

##### Revision History

|  |  |  |
| --- | --- | --- |
| **Version** | **Date** | **Remarks** |
| 2.0 | 11/12/2024 | Revisions as per new assurance model |
| 1.0 | 01/04/2021 | Initial adoption |

1. CDM clarification available on this topic as on date can be referred to [here](https://cdm.unfccc.int/UserManagement/FileStorage/ZD6NAPYVK4BRXCTFWJ20HUGSEM9O1I). [↑](#footnote-ref-2)
2. Basic services requirements refer to minimum space, supply of water, adequate sewage and garbage disposal system, appropriate protection against heat, cold, damp, noise, fire, and disease-carrying animals, adequate sanitary and washing facilities, ventilation, cooking and storage facilities and natural and artificial lighting, and in some cases basic medical services. [↑](#footnote-ref-3)
3. 'Involve' means if the project mechanism and/or impact(s) are achieved via changing animal husbandry practices in some way. [↑](#footnote-ref-4)