**Transition Request Form - Project**

###### PUBLICATION DATE **xx/04/2021** VERSION **1.0** RELATED SUPPORT

###### **-** [**TEMPLATE GUIDE Key Project Information & Project Design Document v.1.2**](https://globalgoals.goldstandard.org/standards/TGuide-PreReview_V1.2-Project-Design-Document.pdf)

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**Summary:**

The projects transitioning from **CDM or other Standards to Gold Standard for Global Goals (GS4GG)** shall submit the transition request form and PDD (this document). The **Transition Request Form** is also to be completed for projects that are already registered with GS4GG for CER labelling and seek to move to GSVER stream to issue Gold Standard VERs..

This document contains the following Sections

**Section - Transition Request Form**

[TRF.1 ELIGIBILITY CHECK FOR TRANSITION](#_TRF.1_Eligibility_check)

[TRF.2 TRANSITION PROJECT INFORMATION](#_TRF.2_Transition_project)

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**Key Project Information**

**Section - Project design document**

SECTION A – Description of project

SECTION B - Application of approved Gold Standard Methodology (ies) and/or demonstration of SDG Contributions

SECTION C – Duration and crediting period

SECTION D – Summary of Safeguarding Principles and Gender Sensitive Assessment

SECTION E – Outcome of Stakeholder Consultations

[Appendix 1](#_Appendix_1_-) – Safeguarding Principles Assessment (mandatory)

Appendix 2 - Contact information of Project participants (mandatory)

Appendix 3 - LUF Additional Information (project specific)

Appendix 3 - Summary of Approved Design Changes (project specific)

The following table summarises how different sections of this document shall be filled to facilitate request for transition from other standard to GS4GG.

|  |  |  |
| --- | --- | --- |
| Section | Required for | How to complete the section |
| Transition Request Form | | |
| TRF.1 Eligibility check for transition | All projects | Answer the assessment questions and provide supporting information as needed | |
| TRF.2 Transition project information | All projects | Provide project information pertaining to the standard the project is transitioning from (e.g., CDM) | |
| TFR.3 Transition checklist | All projects | Answer the assessment questions and provide supporting in the section in the PDD section as needed | |
| Project Design Document | | |
| Key project information | All projects | Include project details pertaining to GS4GG | |
| Section A to E | All projects | Provide information as needed. Any section/subsections   * that requires information/justification or additional information as per transition checklist, AND * that involves update/revision to the information provided for registration with other standards. In such cases, the project shall copy and paste the information from registered PDD (other standard) and mark the additional information in track changes. | |

# Section – Transition Request form

## TRF.1 Eligibility check for transition

To be completed for all projects seeking transition to GS4GG from other standards.

|  |  |
| --- | --- |
| [Requirement](https://globalgoals.goldstandard.org/requirements-for-projects-to-transition-from-other-schemes-to-gold-standard-for-global-goals/) | To be completed by project developer |
| The project must have a crediting period start date with CDM/other standard on or **after 01 January 2016** | Is the project crediting period start date **after** 01 January 2016?  Yes  No (go to questions below) |
| The project that has a crediting period start date with CDM/other standard **before 01 January 2016** shall demonstrate the risk of discontinuation without carbon revenue. | *Explain the risks/barriers that may cause discontinuation of project without carbon revenue.* |
| The project that has a crediting period start date with CDM/other standard **before 01 January 2016** shall demonstrate how the project has been operational in the absence of carbon revenue, if carbon credits have not been issued to the project in recent years. | *Explain how the project has managed the operation in the absence of carbon revenue in recent years.* |
| List of supporting documents | *Please list all supporting documentation.*  *If any of the supporting documents are confidential, please indicate here to ensure they are omitted from being published* |

## TRF.2 Transition project information

|  |  |  |
| --- | --- | --- |
| Project developer shall provide project information (in grey rows), pertaining to the standard, the project is transitioning from (e.g., CDM) in the table below. | | |
| Name of the original standard | **CDM**  **Other** (Add the standard name here) | |
| Project status with original standard | *The current status of project with CDM/other standard at the time of submission of this form.* | |
| **Active** (registration status is valid)  **Withdrawn** (deregistered)  **Provisional** (awaiting guidance from the CMP at CMP 16, CDM PoA only) | |
| CDM/ other standard reference ID | *Reference number/ID allocated to the project by CDM/other standard.* | |
|  | |
| Project reference weblink | *The weblink of the project page of CDM/other standard.* | |
|  | |
| Title of Project | *The title of the project used for registration with CDM/other standard.* | |
|  | |
| New title of Project (if applicable) | *The title of the project if it has been changed for registering with Gold Standard.* | |
|  | |
| Activity Scale | *Project scale registered with CDM/other standard.* | |
|  | |
| Methodology used | *Methodology title and the version number applied for registration with CDM /other standard.* | |
|  | |
| Amount of reductions | *Average annual emission reductions (tCO2eq/year).* | |
|  | |
| Registration date | *The project registration date with CDM/other standard.* | |
| dd/mm/yyyy | |
| Type of crediting period | renewable crediting period  fixed crediting period | |
| Crediting period | *The project registered crediting period start date and end date with CDM/other standard.* | |
| Start date: dd/mm/yyyy  End date: dd/mm/yyyy | |
| Total Monitoring Periods issued | *The total period that has already been issued by CDM/other standard.* | |
| Start date: dd/mm/yyyy  End date: dd/mm/yyyy | |
| Latest monitoring period | *The latest monitoring period that has already been issued or submitted for issuance to CDM/other standard.* | |
| Start date: dd/mm/yyyy  End date: dd/mm/yyyy | |
| Issuance Status | Issued  Awaiting issuance |
| Date of Issuance, if issued. | dd/mm/yyyy |
| Declaration | *Click on the tick box to confirm.* | |
| The Project Developer/Representative hereby acknowledges that project developer;    ☐ Option 1 - has included information in this document that has not been validated/verified as part of CDM PDD **OR**  ☐ Option 2 - has copied all validated information as it appears in the original and then used tracked changes to highlight any information that not been validated/or has changed *- Note if option 2 is selected the project developer shall fill all sections in the PDD template of this document.*  The Project Developer/Representative hereby acknowledges that project developer;  ☐ is aware that for a given vintage, a registered Gold Standard project can request the issuance of the emission reductions under only one standard/certification scheme. (applicable to all projects).  ☐ is aware that all projects that transition to GS4GG shall demonstrate Ongoing Financial Need at the time of renewal of their crediting period following applicable GS4GG requirements. (applicable to all projects).  ☐ confirms that the project developer/representative will make a declaration, in writing, in the monitoring report submitted to Gold Standard that (applicable to CDM PoA/CPAs)   * project will/has not issue both a CER/other compliance units under Paris Agreement and a GSVER for the same vintage. * project developer agrees to comply with all future UNFCCC COP/CMP decisions[[1]](#footnote-2) including adjustment of GWP values | |
| Project Developer/ authorised signatory | *Name and designation of the project developer/authorised signatory* | |
|  | |

## TRF.3 Transition Checklist

Project developer shall answer all assessment questions listed below and provide additional information/justification in the PDD section, where required. Please note that the checklist is based on the [GHG Emissions Reductions and Sequestration Product Requirements](https://globalgoals.goldstandard.org/501-pr-ghg-emissions-reductions-sequestration/).

The checklist also provides relevant requirements applicable to project transitioning to GS4GG for easy referencing. The PD shall refer to relevant GS4GG documents, as applicable, for further details. It is recommended that PD refers to Guidelines in the table below for more information on the requirements and flexibilities provided. This document (in word) shall be submitted to SustainCERT along with other required documents **for preliminary review** as listed below –

* [Cover Letter](https://globalgoals.goldstandard.org/t-prereview-cover-letter/)
* [Terms and Conditions](https://globalgoals.goldstandard.org/t-prereview-terms-and-conditions/)
* [Official Development Assistance declaration](https://globalgoals.goldstandard.org/501-ar-ghgs-oda-declaration-template/)
* [Stakeholder Consultation Report](https://globalgoals.goldstandard.org/t-prereview-stakeholder-consultation-report/)
* Project Design Document (PDD) final version (CDM/other standard)
* Validation report submitted to CDM/other standard
* Last Monitoring and Verification report submitted to CDM/other standard

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| 1. Transition pathway | | | | |
| * 1. Option 1: Is project seeking registration with GS4GG to issue GSCERs while maintaining the CDM registration? (Ref: [GHG Product Requirements](https://globalgoals.goldstandard.org/standards/501_V1.2_PR_GHG-Emissions-Reductions-Sequestration.pdf)) | | Yes  No | | |
| * 1. Option 2: Is project seeking registration with GS4GG to issue GSVERs only and/or conversion of issued CERs to GSVERs? (Ref: Annex B, [GHG Product Requirements](https://globalgoals.goldstandard.org/standards/501_V1.2_PR_GHG-Emissions-Reductions-Sequestration.pdf))   *Note – for conversion of issued CERs to GSVERs, the project must be registered with GS4GG.* | | Yes  No | | |
| * 1. Option 3: Is project seeking registration with GS4GG to issue GSVERs only and/or conversion of emission reduction to GSVERs issued by standard other than CDM? (Ref: Annex B, [GHG Product Requirements](https://globalgoals.goldstandard.org/standards/501_V1.2_PR_GHG-Emissions-Reductions-Sequestration.pdf)) | | Yes  No | | |
| ***Requirement:***  *All projects submitting request for transition on or after 1/1/2021 must demonstrate compliance with requirements stated in* **Annex B,** [GHG Product Requirements](https://globalgoals.goldstandard.org/standards/501_V1.2_PR_GHG-Emissions-Reductions-Sequestration.pdf).  *The project following* ***option 1*** *above;*   * *may seek registration under GS4GG based on provisional CDM EB decision* * *may seek issuance of GSVERs in exchange of provisional CERs based on CDM EB decision but must transfer issued CERs to the Gold Standard Swiss CDM Registry Account. If there are any implications for issued volume or project eligibility due to CMP decision regarding GWP, additionality or any other decision, the PD must address these issues, as applicable in consultation with SustainCERT/GS.* * *A/R projects are not eligible for option 1.*   *The project transitioning to GS4GG following* ***option 2*** *above,*   * *may convert issued CERs to GSVERs* * *are not required to deregister from CDM but shall not claim emission reductions under both GS4GG and CDM for the same vintage* * *Eligible LUF project is required to deregister from CDM to issue GSVERs.*   *The project transitioning to GS4GG following* ***option 3*** *above,*   * *may convert issued emission reductions unit to GSVERs* * *may issue GSVERs* * *shall deregister project from other standard before registration with GS4GG*   ***Guidelines:***  *Project already undergoing design certification for CER labelling can continue with their existing process.* [*SustainCERT*](mailto:help@sustain-cert.com) *shall be notified of the intention to switch to GSVER stream, at the earliest possible opportunity.*  *Project already certified for CER labelling can switch to GSVER stream by completing this form and notifying* [*SustainCERT*](mailto:help@sustain-cert.com)*. Such project may leave the PDD section blank as this information has been captured in GS4GG PDD version submitted earlier.* | | | | |
| 1. Transition approval procedure | | | | |
| * 1. Option 1 - Is the project undergoing a preliminary review by sustainCERT, validation by VVB and design review by SustainCERT? | | ☐ Yes  ☐ No | | |
| * 1. Option 2 - Is the project undergoing a combined preliminary review, validation, and design review by SustainCERT? (restrictions apply, see 5.3 below) | | ☐ Yes  ☐ No | | |
| * 1. Option 3- Is the project undergoing preliminary review by SustainCERT, combined validation & verification by VVB, followed by combined design and performance review by SustainCERT? | | Yes  No | | |
| ***Requirement****:*  *The project certification under GS4GG involves following key steps. Refer to Section 5. Project cycle* [*Principles and Requirement*](https://globalgoals.goldstandard.org/101-par-principles-requirements/)*s for details.*  ***Preliminary review*** *- Preliminary Review is conducted once at the time of first submission to Gold Standard. It involves desk review of the Key Project Information and Draft Project Design Document by SustainCERT.*  ***Design certification (validation + design review)*** *- Design certification involves validation by VVB and design review by SustainCERT. With successful design certification the Project will obtain ‘Certified design’ status that is equivalent to registration under CDM and other standard.*  ***Performance certification (verification + performance review)*** *- Performance certification involves verification by VVB and performance review by SustainCERT. The positive conclusion of the Performance Review period shall result in Gold Standard ‘Certified Project status’ and Project can issue GSVERs. The project may opt for combined Design Certification, conducting both the first Verification and Performance Review under GS4GG at the same time.*  *To minimise disruption and keep the transition review time and costs minimum, the projects are provided with flexibilities as summarised in the table below;*   |  |  |  |  |  | | --- | --- | --- | --- | --- | | Certification outcome | Certification stage | Option 1 | Option 2\* | Option 3 | | Normal certification pathway | Combined Preliminary review  + Validation + Design review | Combined validation + verification followed by combined design + performance review | | Listing | Preliminary review | SustainCERT | SustainCERT | SustainCERT | | Certified Design  = Registration | Validation | VVB | VVB | | Design review | SustainCERT | SustainCERT | | Certified project = Issuance | Verification | VVB | VVB | VVB | | Performance review | SustainCERT | SustainCERT | SustainCERT | | Comments |  | Project shall go through preliminary review by SustainCERT  (4 weeks)  Design review  (minimum 4 weeks) is conducted after Validation by VVB and is concluded when all CARs/CLs are successfully closed.  Performance review (minimum 3 weeks) is conducted after Verification by VVB and is concluded when all CARs/CLs are successfully closed. | Project may combine preliminary review with validation and design review. All these steps can be combined and completed by SustainCERT.  VVB shall complete the verification.  Performance review (minimum 3 weeks) is conducted after verification by VVB and is concluded when all CARs/CLs are successfully closed | Project shall go through preliminary review by SustainCERT  (4 weeks)  Project Developers may combine Design Certification with first Verification and Performance Review.  VVB may combine site visits and VVB submits positive Validation and Verification Reports at the same time.  Concurrent Design Review and Performance Review (minimum 6 weeks) is conducted after submission of validation and verification reports by VVB and is concluded when all CARs/CLs are successfully closed. |   *For option 1, a validation site visit by VVB is not required as long as the VVB conducted a site visit as part of validation/verification in last three years (from time of first submission for preliminary review) and new/updated information can be audited based on desk review and/or using remote audit approaches.*  *For Option 2, SustainCERT conducts project design elements desk based audit and approve project transition, without VVB’s opinion.* ***Note that this option will involve additional review fee levied by SustainCERT. The project developer shall confirm the applicable fee and timelines with SustainCERT (***[***help@sustain-cert.com***](mailto:help@sustain-cert.com)***) before submitting the request for transition.***  *If transition project is applying a new/latest version of the methodology which requires full audit but VVB, option 2 cannot be applied.* | | | | |
| 1. Project Eligibility | | | | |
| * 1. Is the project eligible project type under Gold Standard for the Global Goals? | Yes  No | | | |
| ***Requirement:*** *The transitioning project shall be one of the eligible project types for issuance of Gold Standard VERs (Ref:* [*GHG Product Requirements*](https://globalgoals.goldstandard.org/standards/501_V1.2_PR_GHG-Emissions-Reductions-Sequestration.pdf)*).*    ***Guidelines:*** *Typical eligible project types are Renewable Energy Supply, End-Use Energy Efficiency Improvement, Waste Handling & Disposal, Land Use and Forests.*   * *Afforestation/Reforestation project registered with CDM/other standard may transition to GS4GG for issuance of GSVERs only but are not eligible for labelling of issued emission reduction units.* * *RE projects shall refer to* [*Renewable Energy Activity Requirements*](https://globalgoals.goldstandard.org/202-ar-renewable-energy-activity-requirements/) *for eligibility check.* * *RE projects for example - · Hydropower · biomass resources · landfill gas and biogas from agro-processing, wastewater and other residues · Waste Heat/Gas recovery · Fossil co-generation · Waste incineration and gasification · Waste handling and disposal are required to demonstrate compliance with the specific eligibility requirements. Refer to Annex – A of* [*Renewable Energy Activity Requirements*](https://globalgoals.goldstandard.org/202-ar-renewable-energy-activity-requirements/) *for further details.* * *Community Services Activities projects for example - Hydropower · biomass resources · landfill gas and biogas from agro-processing, wastewater and other residues · Waste Heat/Gas recovery · Fossil co-generation · Waste incineration and gasification · Waste handling and disposal · Relighting · End-use fossil switching are required to demonstrate compliance with the specific eligibility requirements. Refer to Annex – A of*   [*Community Services Activity Requirements*](https://globalgoals.goldstandard.org/201-ar-community-services-activity-requirements/) *for further details.* | | | | |
| 1. Compliance with relevant Activity Requirements | | | | |
| * 1. Does the project conform to the relevant Activity Requirements ([CSA](https://globalgoals.goldstandard.org/201-ar-community-services-activity-requirements/)/[RE](https://globalgoals.goldstandard.org/202-ar-renewable-energy-activity-requirements/) /[LUF](https://globalgoals.goldstandard.org/203-ar-luf-activity-requirements/))? | Yes  No | | | |
| * 1. Does any specific eligibility criteria/requirement stipulated in Annex A of [CSA](https://globalgoals.goldstandard.org/201-ar-community-services-activity-requirements/)/[RE](https://globalgoals.goldstandard.org/202-ar-renewable-energy-activity-requirements/) requirements apply to the project? (see section 2.0 for [LUF](https://globalgoals.goldstandard.org/203-ar-luf-activity-requirements/)) | Yes  No | | | |
| * 1. Does specific eligibility criteria/requirement stipulated in Annex A of [CSA](https://globalgoals.goldstandard.org/201-ar-community-services-activity-requirements/)/[RE](https://globalgoals.goldstandard.org/202-ar-renewable-energy-activity-requirements/) requirements that apply to the project, lead to any change in the registered PDD? If Yes, please provide a full explanation in section A.1.1. below. | Yes  No | | | |
| ***Requirement:***  *(Ref: Section 4.1.1 of* [*GHG Product Requirements*](https://globalgoals.goldstandard.org/501-pr-ghg-emissions-reductions-sequestration/)*)*  *Projects shall conform to the relevant Activity Requirements and Gold Standard Approved Methodologies, including* [*eligible CDM Methodologies*](https://globalgoals.goldstandard.org/427-cdm-list-of-eligible-cdm-methodology/)*.*  ***RE rule update / RE PoA rule update:***  *Grid connected Renewable Energy projects seeking to transition from another carbon crediting scheme to GS4GG or labelling of emission reductions under GS4GG are exempted from eligibility requirements listed in para 2.1.3 of the RE Activity Requirements. This exemption is only allowed to projects that started the first crediting period with the original carbon crediting scheme from 01/01/2016 or later but before 24/01/2020. (Ref: Section 2.1.1 and 2.1.2 of* [*RU 2020 AR –RE V1.2*](https://globalgoals.goldstandard.org/ru-2020-eligibility-requirements-for-renewable-energy-projects-transitioning-to-or-seeking-labelling-under-gs4gg/)*)*  *Specific* [*Renewable Energy Activity requirements*](https://globalgoals.goldstandard.org/202-ar-renewable-energy-activity-requirements/) *(refer to Annex A): Hydropower, biomass resources, landfill gas and biogas from agro-processing, wastewater and other residues, Waste Heat/Gas recovery, Fossil co-generation, Waste incineration and gasification, Waste handling and disposal.*  *Specific* [*Community Service Activity requirements*](https://globalgoals.goldstandard.org/201-ar-community-services-activity-requirements/) *(refer to Annex A): Hydropower, biomass resources, landfill gas and biogas from agro-processing, wastewater and other residues, Waste Heat/Gas recovery, Fossil co-generation, Waste incineration and gasification, Waste handling and disposal, Relighting, End-use fossil switching.* | | | | |
| 1. Applicability of the methodology/tool version | | | | |
| * 1. Does the project apply an eligible GS methodology? Refer to list of the eligible methodologies [here](https://globalgoals.goldstandard.org/427-cdm-list-of-eligible-cdm-methodology/). | | Yes  No | | |
| * 1. Does the project apply the version of the methodology and applicable tools applied for CDM/other standard registration or renewal? | | Yes  No | | |
| * 1. Does the project apply the latest version of the methodology and applicable tools available at the time of first submission of this form? If Yes, please provide a full explanation in section B below. And note that the project cannot opt for option 2 mentioned transition approval procedure. | | Yes  No | | |
| ***Requirement: (Ref: Annex B of*** [***GHG Product Requirements***](https://globalgoals.goldstandard.org/501-pr-ghg-emissions-reductions-sequestration/)***)***  *Transition project shall*   1. *conform to the relevant* [*Activity Requirements*](https://globalgoals.goldstandard.org/200-activity-requirements/) *and Gold Standard Approved* [*Methodologies*](https://globalgoals.goldstandard.org/400-sdg-impact-quantification/)*, including eligible* [*CDM Methodologies*](https://globalgoals.goldstandard.org/427-cdm-list-of-eligible-cdm-methodology/)*.* 2. *also meet the additional GS4GG methodology eligibility requirements, where applicable. Refer to* [[*CDM Methodologies*](https://globalgoals.goldstandard.org/427-cdm-list-of-eligible-cdm-methodology/) *for Gold Standard Eligibility Requirements*](https://globalgoals.goldstandard.org/427-cdm-list-of-eligible-cdm-methodology/)*.*   *Transition project shall apply the version of GS approved CDM methodology or methodology tool for transition to GS4GG as follows;*   1. *version applied at the time of registration/renewal of crediting period with other standard, OR* 2. *version available at the time of first submission (preliminary review) this form.* | | | | |
| 1. Demonstration of additionality | | | | |
| * 1. Are you aware that the transitioning project will be required to demonstrate Ongoing Financial Need as per the relevant GS rules and requirements available at the time of renewal of crediting period? (Refer to para 4.1.51 – 4.1.53 of [Principles & Requirements](https://globalgoals.goldstandard.org/101-par-principles-requirements/).) | | Yes  No | | |
| *Requirement:*   * *The CDM and JI project are not required to carry out additional assessment for demonstration of additionality over and above what has been done for registration/determination with the CDM unless the project falls into a category that is deemed non-additional in an applicable Gold Standard Activity Requirement. In such cases the relevant Activity Requirement shall take precedence.* ***Ref: Annex B*** [***GHG Product Requirements***](https://globalgoals.goldstandard.org/standards/501_V1.2_PR_GHG-Emissions-Reductions-Sequestration.pdf)***.*** * *Transition projects registered with standards other than CDM are required to undergo additionality revalidation to re-establish the validity of the underlying assumptions applied in the demonstration of additionality at the time of registration with the other standard.* * *The projects seeking combined transition and renewal of crediting period with GS4GG are not required to demonstrate OFN at the time of transition but must demonstrate OFN at the time of Crediting Period renewal after transitioning to GS4GG.* | | | | |
| 1. Sustainable Development Assessment | | | | |
| * 1. Does the project positively contribute towards minimum three Sustainable Development Goals (SDGs) - SDG13 (mandatory) + two other SDGs? | | | | Yes  No |
| * 1. Have you identified the monitoring parameters linked with selected SDGs and corresponding SDG targets?   For example – the monitoring parameter Amount of GHGs emissions avoided or sequestered is linked with SDG 13. Climate action, SDG target 13.2 Integrate climate change measures into national policies, strategies and planning. | | | | Yes  No |
| **Fill section** [**B.6. Sustainable Development Goals (SDG)**](#_B.6._Sustainable_Development) **outcomes and** [**B.7 Monitoring plan**](#_B.7._Monitoring_plan)**, below for SDGs monitoring parameters not covered in registered PDD with other standards.**  **Fill Table 1 –** [**Estimated Sustainable Development Contributions**](#_KEY_PROJECT_INFORMATION) **below.**  ***Requirement****:*  *The transitioning project shall demonstrate a clear, direct contribution to sustainable development, defined as making demonstrable, positive impacts on at least three Sustainable Development Goals (SDGs), one of which must be SDG 13 (Ref: Section 4.(c) of* [*Principles and Requirements*](https://globalgoals.goldstandard.org/standards/101_V1.2_PAR_Principles-Requirements.pdf)*)*  *Refer to* Annex B, [GHG Product Requirements](https://globalgoals.goldstandard.org/standards/501_V1.2_PR_GHG-Emissions-Reductions-Sequestration.pdf) for further guidelines for transition projects.  ***Guidelines:***  *Selected SDG impacts must not result from a one-off from design/construction/distribution/ start-up or decommissioning of the project.*  *You may refer to /use the* [*SDG impact Tool*](https://www.goldstandard.org/our-work/innovations-consultations/gold-standard-sdg-impact-tool-piloting-consultation) *(under consultation currently) to identify the relevant monitoring indicator, SDGs and corresponding SDG targets and design monitoring plan for identified indicators.* | | | | |
| 1. Start date and duration of the crediting period | | | | |
| * 1. Has the crediting period of the transitioning project registered with other carbon standard/certification scheme changed and/or extended? | Yes  No | | | |
| * 1. Is the total duration of the crediting period (i.e. including period that had been issued under the host standard) less than/equal to the maximum crediting period allowed under relevant GS4GG activity requirements? | Yes  No | | | |
| Complete the section [C.2.2 Total length of crediting period](#_C.2._Crediting_period) below.  *Requirement:*   * *The crediting period of the transitioning project/PoA/CPA registered with other standards or certification schemes cannot be changed/extended.* * *Maximum crediting period allowed under GS4GG are as*   *CSA – 15 Yrs, RE – 15 Yrs, LUF 30 – 50 Yrs, AGR – 10 Yrs, if not defined in activity requirement or applicable methodology – 10 Yrs.*   * *The start date of the GS crediting period shall be same as the start date of the CDM crediting period. (Annex B,* [*GHG Product Requirements*](https://globalgoals.goldstandard.org/standards/501_V1.2_PR_GHG-Emissions-Reductions-Sequestration.pdf)*)* * *For a transitioning project, PoA/CPA, the total duration of the crediting period, including the period that has been claimed under the host standard, shall not exceed the maximum crediting period allowed under relevant GS4GG activity requirements.*   *If a given project transitioning to GS4GG, was registered under Standard X with -*   * + *fixed crediting period (10 years): The total crediting period (Standard X + GS4GG) must remain 10 years. The project can only claim remaining years of its 10-year crediting period after transitioning to GS4GG.*   + *renewable crediting period (7\*3 year): The total crediting period (Standard X + GS4GG) must be equal to that allowed under relevant GS4GG activity requirements. The project can only claim remaining years of the maximum allowed crediting period after transitioning to GS4GG. For example; the maximum crediting period allowed for renewable energy project is 15 years. A renewable energy project that has already claimed 5 years under Standard X can only claim remaining 10 years of the total 15 years of its allowed crediting period after transitioning to GS4GG* * *For a transitioning project, the start date of the Gold Standard Crediting Period starts with crediting period start date with other standard or maximum two years before the date of first submission (submission for preliminary review), whichever occurs later. (Ref: Annex B,* [*GHG Product Requirements*](https://globalgoals.goldstandard.org/standards/501_V1.2_PR_GHG-Emissions-Reductions-Sequestration.pdf)*)* | | | | |
| 1. Safeguarding Principles Assessment | | | | |
| * 1. Does the project conform to the [Gold Standard Safeguarding Principles and Requirements](https://globalgoals.goldstandard.org/standards/103_V1.2_PAR_Safeguarding-Principles-Requirements.pdf)? | | | Yes  No | |
| * 1. Is there any risk and/or likely adverse outcomes of the project? | | | Yes  No | |
| * 1. If answer is yes for Q 9.2 |above, can the project achieve requirements with regards to the relevant principle through design, management or risk mitigation? | | | Yes  No | |
| * 1. If answer is yes for Q 9.3 |above, have the Mitigation Measures added to the Monitoring Plan (if required)? | | | Yes  No | |
| Complete the Annex 1 and section [D. Summary of Safeguarding Principles](#_SECTION_D._SUMMARY) below.  ***Requirement****: The transitioning project shall conform to the* [*Gold Standard Safeguarding Principles and Requirements*](https://globalgoals.goldstandard.org/standards/103_V1.2_PAR_Safeguarding-Principles-Requirements.pdf)*. (Ref: Section 4.1.19 of* [*GS4GG Principles and Requirements*](https://globalgoals.goldstandard.org/standards/101_V1.2_PAR_Principles-Requirements.pdf)*)*  ***Guidelines:*** *The detailed Safeguarding Principles and Requirements checklist is available in Annex 1 of this document.* | | | | |
| 1. Stakeholder Consultation Requirements | | | | |
| * 1. Has the project conducted a Stakeholder Consultation in accordance with the requirements of [Gold Standard Stakeholder Consultation & Engagement Requirements](https://globalgoals.goldstandard.org/standards/102_V1.2_PAR_Stakeholder-Consultation-Requirements.pdf)?   The answer to Q 10.1 is “No”, if any of the questions below is answered as “No”. The project should take the question(s) into account and address the gap when conducting supplementary stakeholder consultation to comply with GS4GG requirements.  Please answer the below question with regards to the stakeholder consultation conducted to comply with CDM/other standard requirements? | | | Yes  No | |
| * 1. Did you conduct the stakeholder consultation before the project start date? | | | Yes  No | |
| * 1. Did you discuss identified direct positive and negative impacts of the projects with stakeholders? | | | Yes  No | |
| * 1. Does the invited stakeholder covers all stakeholder groups (a to g) listed in paragraph 3.1.1. of [STAKEHOLDER CONSULTATION AND ENGAGEMENT REQUIREMENTS](https://globalgoals.goldstandard.org/standards/102_V1.2_PAR_Stakeholder-Consultation-Requirements.pdf)? | | | Yes  No | |
| * 1. Did the invitation methods solicit input from women and marginalised groups? | | | Yes  No | |
| * 1. Were the stakeholders invited at least 30 days before the stakeholder meeting? | | | Yes  No | |
| * 1. Did a local language version of the non-technical summary with information required as per paragraph 5.1.1. of [STAKEHOLDER CONSULTATION AND ENGAGEMENT REQUIREMENTS](https://globalgoals.goldstandard.org/standards/102_V1.2_PAR_Stakeholder-Consultation-Requirements.pdf), shared with stakeholders? | | | Yes  No | |
| * 1. Was a physical meeting conducted? | | | Yes  No | |
| * 1. Was a gender lens applied to assessing comments? (for example, if only men provided comments on household device project, was this taken into consideration when assessing the relevance of the comment?) | | | Yes  No | |
| * 1. Were any serious, reasonable and proportional concerns raised and taken into account and satisfactorily addressed? | | | Yes  No | |
| * 1. Were any points that warrant ‘Mitigation measures’ marked as such and monitoring plan has been designed and included in the PDD? | | | Yes  No | |
| * 1. Is the mandatory Continuous Input / Grievance Expression Process Book’s location clearly stated (and therefore usable)? | | | Yes  No | |
| * 1. Does PDD include a summary report of the comments received from local stakeholders? | | | Yes  No | |
| Complete the section [E. Summary of Local Stakeholder Consultation](#_SECTION_E._SUMMARY) below.  ***Requirement****: Ref: Section 4.1.25 of* [*GS Principles and Requirements*](https://globalgoals.goldstandard.org/standards/101_V1.2_PAR_Principles-Requirements.pdf)*.*  ***Guidelines:*** *Project that conducted a stakeholder consultation meeting to comply with CDM/other standard requirements, should conduct, at minimum,*   * *one round of consultation for identified gaps i.e., gaps due to differences in stakeholder consultation requirements of GS4GG and CDM/other standard. For instance, if original consultations only involve one physical meeting, CME/PD should conduct a stakeholder feedback round covering all the identified gaps. The additional stakeholder consultations may involve a physical meeting or stakeholder feedback round, as necessary.*   *If COVID interim measures are applicable (currently till 30/06/2021), the physical meeting and stakeholder feedback round may be postponed, and a draft SCR shall be mandatorily submitted to cover the consultation activities carried out till date.* | | | | |

# KEY PROJECT INFORMATION

|  |  |
| --- | --- |
| GS ID of Project |  |
| Title of Project |  |
| Time of First Submission Date |  |
| Date of Design Certification |  |
| Version number of the PDD |  |
| Completion date of version |  |
| Project Developer |  |
| Project Representative |  |
| Project Participants and any communities involved |  |
| Host Country (ies) |  |
| Activity Requirements applied | ☐ Community Services Activities  ☐ Renewable Energy Activities  ☐ Land Use and Forestry Activities/Risks & Capacities  ☐ N/A |
| Scale of the project activity | ☐ Micro scale  ☐ Small Scale  ☐ Large Scale |
| Other Requirements applied |  |
| Methodology (ies) applied and version number |  |
| Product Requirements applied | ☐ GHG Emissions Reduction & Sequestration  ☐ Renewable Energy Label  ☐ N/A |
| Project Cycle: | ☐ Regular  ☐ Retroactive |

**Land-use & Forest Key Project Information[[2]](#footnote-3)**

(delete below table if N/A)

|  |  |
| --- | --- |
| Scope: | ☐ Forestry  ☐ Agriculture |
| Silvicultural system: | ☐ Conservation (no use of timber)  ☐ Selective Harvesting  ☐ Rotation Forestry |
| Project Area (ha): |  |
| Eligible Area (ha): |  |
| 10% Set Aside Conservation area (ha): |  |
| Evidence that Project Area Boundary is clearly distinguishable in the field: |  |
| Planting Area |  |
| How many Modelling Units (MUs) are included in the eligible area: |  |
| Summary of New Areas added (copy and insert as needed): | |
| Size (ha): |  |
| Date Added |  |

**Table 1 – Estimated Sustainable Development Contributions**

|  |  |  |  |
| --- | --- | --- | --- |
| Sustainable Development Goals Targeted | SDG Impact  (defined in B.6.) | Estimated Annual Average | Units or Products |
| 13 Climate Action (mandatory) |  |  | |
|  |  |  | |
|  |  |  | |

# SECTION A. DESCRIPTION OF PROJECT

##### A.1 Purpose and general description of project

>>

* + 1. Eligibility of the project under Gold Standard
    2. Legal ownership of products generated by the project and legal rights to alter use of resources required to service the project

>>

##### A.2 Location of project

>>

##### A.3 Technologies and/or measures

>>

##### A.4 Scale of the project

>>

##### A.5 Funding sources of project

>>

# SECTION B. APPLICATION OF APPROVED GOLD STANDARD METHODOLOGY (IES) AND/OR DEMONSTRATION OF SDG CONTRIBUTIONS

##### B.1. Reference of approved methodology (ies)

>>

##### B.2. Applicability of methodology (ies)

>>

##### B.3. Project boundary

>> Leave this section blank if there is no change in registered PDD.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Source | | GHGs | Included? | Justification/Explanation |
| **Baseline scenario** | Source 1 | CO2 |  |  |
| CH4 |  |  |
| N2O |  |  |
| … |  |  |
| Source 2 | CO2 |  |  |
| CH4 |  |  |
| N2O |  |  |
| … |  |  |
| … | … |  |  |
| … |  |  |
| … |  |  |
| **Project scenario** | Source 1 | CO2 |  |  |
| CH4 |  |  |
| N2O |  |  |
| … |  |  |
| Source 2 | CO2 |  |  |
| CH4 |  |  |
| N2O |  |  |
| … |  |  |
| … | … |  |  |
| … |  |  |
| … |  |  |

##### B.4. Establishment and description of baseline scenario

>>

##### B.5. Demonstration of additionality

>>

Use this table for Automatic Additionality Only – delete if N/A

|  |  |
| --- | --- |
| Specify the methodology, activity requirement or product requirement that establishes deemed additionality for the proposed project (including the version number and the specific paragraph, if applicable). |  |
| Describe how the proposed project meets the criteria for deemed additionality. |  |

B.5.1 Prior Consideration

>>

B.5.2 Ongoing Financial Need

>>

##### B.6. Sustainable Development Goals (SDG) outcomes

>>

Relevant Target/Indicator for each of the three SDGs

|  |  |  |
| --- | --- | --- |
| Sustainable Development  Goals Targeted | Most relevant  SDG Target | SDG Impact |
| **Indicator (Proposed or SDG Indicator)** |
| 13 Climate Action  (mandatory) |  |  |
|  |  |  |
|  |  |  |

B.6.1 Explanation of methodological choices/approaches for estimating the SDG Impact

>>

B.6.2 Data and parameters fixed ex ante

Copy the table for each piece of data and parameter; use headings to group parameter tables by SDG

**SDG13**

|  |  |
| --- | --- |
| Data/parameter |  |
| Unit |  |
| Description |  |
| Source of data |  |
| Value(s) applied |  |
| Choice of data or Measurement methods and procedures |  |
| Purpose of data |  |
| Additional comment |  |

**(SDG n …**)

B.6.3 Ex ante estimation of SDG Impact

>>

B.6.4 Summary of ex ante estimates of each SDG Impact

>>

|  |  |  |  |
| --- | --- | --- | --- |
| Year | Baseline estimate | Project estimate | Net benefit |
| Year 1 |  |  |  |
| Year 2 |  |  |  |
| Year 2 |  |  |  |
| Year 4 |  |  |  |
| Year 5 |  |  |  |
| Year n (delete if N/A) |  |  |  |
| Total |  |  |  |

|  |
| --- |
| **Total number of crediting years** |
| **Annual average over the crediting period** |  |  |  |

##### B.7. Monitoring plan

>>

B.7.1 Data and parameters to be monitored

(Copy the table for each piece of data and parameter; use headings to group parameter tables by SDG)

>>

**SDG 13**

|  |  |
| --- | --- |
| Data / Parameter |  |
| Unit |  |
| Description |  |
| Source of data |  |
| Value(s) applied |  |
| Measurement methods and procedures |  |
| Monitoring frequency |  |
| QA/QC procedures |  |
| Purpose of data |  |
| Additional comment |  |

**(SDG n…)**

B.7.2 Sampling plan

>>

B.7.3 Other elements of monitoring plan

>>

# SECTION C. DURATION AND CREDITING PERIOD

##### C.1. Duration of project

>>

C.1.1 Start date of project

>>

C.1.2 Expected operational lifetime of project

>>

##### C.2. Crediting period of project

C.2.1 Start date of crediting period

>>

C.2.2 Total length of crediting period

>>

# SECTION D. SUMMARY OF SAFEGUARDING PRINCIPLES AND GENDER SENSITIVE ASSESSMENT

##### D.1 Safeguarding Principles that will be monitored

A completed Safeguarding Principles Assessment is in [Appendix 1](#_APPENDIX_1_–), ongoing monitoring is summarised below.

|  |  |
| --- | --- |
| Principles | Mitigation Measures added to the Monitoring Plan |
| **Principle x.y** |  |
|  |  |
|  |  |

##### D.2. Assessment that project complies with GS4GG Gender Sensitive requirements

|  |  |
| --- | --- |
| Question 1 - Explain how the project reflects the key issues and requirements of Gender Sensitive design and implementation as outlined in the Gender Policy. |  |
| Question 2 - Explain how the project aligns with existing country policies, strategies and best practices. |  |
| Question 3 - Is an Expert required for the Gender Safeguarding Principles & Requirements? |  |
| Question 4 - Is an Expert required to assist with Gender issues at the Stakeholder Consultation? |  |

# SECTION E. SUMMARY OF LOCAL STAKEHOLDER CONSULTATION

The below is a summary of the 2 step GS4GG Consultation for monitoring purposes. Pleaserefer to the separate Stakeholder Consultation Report for a complete report on the initial consultation and stakeholder feedback round.

##### E.1 Summary of stakeholder mitigation measures

>>

##### E.2 Final continuous input / grievance mechanism

|  |  |
| --- | --- |
| Method | Include all details of Chosen Method (s) so that they may be understood and, where relevant, used by readers. |
| Continuous Input / Grievance Expression Process Book (mandatory) |  |
| GS Contact (mandatory) | [help@goldstandard.org](mailto:help@goldstandard.org) |
| Other |  |

### Appendix 1 - Safeguarding Principles Assessment

>> Fill this section

Complete the Assessment below and copy all Mitigation Measures for each Principle into [SECTION D](#check1) above. Please refer to the instructions in the [Guide to Completing](https://globalgoals.goldstandard.org/standards/TGuide-PreReview_V1.2-Project-Design-Document.pdf) this Form.

|  |  |  |  |
| --- | --- | --- | --- |
| **Assessment Questions/**  **Requirements** | **Justification of Relevance (Yes/potentially/no)** | **How Project will achieve Requirements through design, management or risk mitigation.** | **Mitigation Measures added to the Monitoring Plan (if required)** |
| **Principle 1. Human Rights** | | | |
| 1. The Project Developer and the Project shall respect internationally proclaimed human rights and shall not be complicit in violence or human rights abuses of any kind as defined in the Universal Declaration of Human Rights 2. The Project shall not discriminate with regards to participation and inclusion |  |  |  |
| **Principle 2.  Gender Equality** | | | |
| 1. The Project shall not directly or indirectly lead to/contribute to adverse impacts on gender equality and/or the situation of women 2. Projects shall apply the principles of non-discrimination, equal treatment, and equal pay for equal work 3. The Project shall refer to the country’s national gender strategy or equivalent national commitment to aid in assessing gender risks 4. (where required) Summary of opinions and recommendations of an Expert Stakeholder(s) |  |  |  |
| **Principle 3. Community Health, Safety and Working Conditions** | | | |
| 1. The Project shall avoid community exposure to increased health risks and shall not adversely affect the health of the workers and the community |  |  |  |
| **Principle 4.1 Sites of Cultural and Historical Heritage** | | | |
| Does the Project Area include sites, structures, or objects with historical, cultural, artistic, traditional or religious values or intangible forms of culture? |  |  |  |
| >> |
| **Principle 4.2 Forced Eviction and Displacement** | | | |
| Does the Project require or cause the physical or economic relocation of peoples (temporary or permanent, full or partial)? |  |  |  |
| >> |
| **Principle 4.3 Land Tenure and Other Rights** | | | |
| Does the Project require any change, or have any uncertainties related to land tenure arrangements and/or access rights, usage rights or land ownership?  For Projects involving land use tenure, are there any uncertainties with regards to land tenure, access rights, usage rights or land ownership? |  |  |  |
| >> |
| **Principle 4.4 - Indigenous people** | | | |
| Are indigenous peoples present in or within the area of influence of the Project and/or is the Project located on land/territory claimed by indigenous peoples? |  |  |  |
| >> |
| **Principle 5. Corruption** | | | |
| 1. The Project shall not involve, be complicit in or inadvertently contribute to or reinforce corruption or corrupt Projects |  |  |  |
| **Principle 6.1 Labour Rights** | | | |
| * 1. The Project Developer shall ensure that all employment is in compliance with national labour occupational health and safety laws and with the principles and standards embodied in the ILO fundamental conventions   2. Workers shall be able to establish and join labour organisations   3. Working agreements with all individual workers shall be documented and implemented and include:      1. Working hours (must not exceed 48 hours per week on a regular basis), AND      2. Duties and tasks, AND      3. Remuneration (must include provision for payment of overtime), AND      4. Modalities on health insurance, AND      5. Modalities on termination of the contract with provision for voluntary resignation by employee, AND      6. Provision for annual leave of not less than 10 days per year, not including sick and casual leave.   4. No child labour is allowed (Exceptions for children working on their families’ property requires an [Expert Stakeholder](https://globalgoals.goldstandard.org/glossary/) opinion)   5. The Project Developer shall ensure the use of appropriate equipment, training of workers, documentation and reporting of accidents and incidents, and emergency preparedness and response measures |  |  |  |
| **Principle 6.2 Negative Economic Consequences** | | | |
| * 1. Does the project cause negative economic consequences during and after project implementation? |  |  |  |
| >> |
| **Principle 7.1 Emissions** | | | |
| Will the Project increase greenhouse gas emissions over the Baseline Scenario? |  |  |  |
| >> |
| **Principle 7.2  Energy Supply** | | | |
| Will the Project use energy from a local grid or power supply (i.e., not connected to a national or regional grid) or fuel resource (such as wood, biomass) that provides for other local users? |  |  |  |
| >> |
| **Principle 8.1 Impact on Natural Water Patterns/Flows** | | | |
| Will the Project affect the natural or pre-existing pattern of watercourses, ground-water and/or the watershed(s) such as high seasonal flow variability, flooding potential, lack of aquatic connectivity or water scarcity? |  |  |  |
| >> |
| **Principle 8.2 Erosion and/or Water Body Instability** | | | |
| * + - * 1. Could the Project directly or indirectly cause additional erosion and/or water body instability or disrupt the natural pattern of erosion?         2. Is the Project’s area of influence susceptible to excessive erosion and/or water body instability? |  |  |  |
| >> |
| **Principle 9.1  Landscape Modification and Soil** | | | |
| Does the Project involve the use of land and soil for production of crops or other products? |  |  |  |
| >> |
| **Principle 9.2 Vulnerability to Natural Disaster** | | | |
| Will the Project be susceptible to or lead to increased vulnerability to wind, earthquakes, subsidence, landslides, erosion, flooding, drought or other extreme climatic conditions? |  |  |  |
| >> |
| **Principle 9.3 Genetic Resources** | | | |
| Could the Project be negatively impacted by or involve genetically modified organisms or GMOs (e.g., contamination, collection and/or harvesting, commercial development, or take place in facilities or farms that include GMOs in their processes and production)? |  |  |  |
| >> |
| **Principle 9.4 Release of pollutants** | | | |
| Could the Project potentially result in the release of pollutants to the environment? |  |  |  |
| >> |
| **Principle 9.5  Hazardous and Non-hazardous Waste** | | | |
| Will the Project involve the manufacture, trade, release, and/ or use of hazardous and non-hazardous chemicals and/or materials? |  |  |  |
| >> |
| **Principle 9.6 Pesticides & Fertilisers** | | | |
| Will the Project involve the application of pesticides and/or fertilisers? |  |  |  |
| >> |
| **Principle 9.7 Harvesting of Forests** | | | |
| Will the Project involve the harvesting of forests? |  |  |  |
| >> |
| **Principle 9.8 Food** | | | |
| Does the Project modify the quantity or nutritional quality of food available such as through crop regime alteration or export or economic incentives? |  |  |  |
| >> |
| **Principle 9.9 Animal husbandry** | | | |
| Will the Project involve animal husbandry? |  |  |  |
| >> |
| **Principle 9.10  High Conservation Value Areas and Critical Habitats** | | | |
| Does the Project physically affect or alter largely intact or High Conservation Value (HCV) ecosystems, critical habitats, landscapes, key biodiversity areas or sites identified? |  |  |  |
| >> |
| **Principle 9.11 Endangered Species** | | | |
| a. Are there any endangered species identified as potentially being present within the Project boundary (including those that may route through the area)?   * + - 1. Does the Project potentially impact other areas where endangered species may be present through transboundary affects? |  |  |  |
| >> |

### Appendix 2- Contact information of project participants

>> Fill this section

|  |  |
| --- | --- |
| Organization name |  |
| Registration number with relevant authority |  |
| Street/P.O. Box |  |
| Building |  |
| City |  |
| State/Region |  |
| Postcode |  |
| Country |  |
| Telephone |  |
| E-mail |  |
| Website |  |
| Contact person |  |
| Title |  |
| Salutation |  |
| Last name |  |
| Middle name |  |
| First name |  |
| Department |  |
| Mobile |  |
| Direct tel. |  |
| Personal e-mail |  |

### Appendix 3- LUF Additional Information

>> Fill this section, if applicable

|  |  |
| --- | --- |
| Risk of change to the Project Area during Project Certification Period: |  |
| Risk of change to the Project activities during Project Certification Period: |  |
| Land-use history and current status of Project Area: |  |
| Socio-Economic history: |  |
| Forest management applied (past and future) |  |
| Forest characteristics (including main tree species planted) |  |
| Main social impacts (risks and benefits) |  |
| Main environmental impacts (risks and benefits) |  |
| Financial structure |  |
| Infrastructure (roads/houses etc): |  |
| Water bodies: |  |
| Sites with special  significance  for  indigenous  people and  local  communities ­‐ resulting  from the Stakeholder  Consultation: |  |
| Where indigenous people and local communities are situated: |  |
| Where indigenous people and local communities have legal rights, customary rights or sites with special cultural, ecological, economic, religious or spiritual significance: |  |

### Appendix 4-Summary of Approved Design Changes

Please refer to [Design Change Requirements](https://globalgoals.goldstandard.org/111-par-design-change-requirements/) for more information on procedures governing Design Changes.

##### Revision History

|  |  |  |
| --- | --- | --- |
| **Version** | **Date** | **Remarks** |
| 1.0 | 10 July 2017 | Initial adoption |

1. CDM clarification available on this topic as on date can be referred to [here](https://cdm.unfccc.int/UserManagement/FileStorage/ZD6NAPYVK4BRXCTFWJ20HUGSEM9O1I). [↑](#footnote-ref-2)
2. Please refer to Appendix 3 for detailed information on LUF projects [↑](#footnote-ref-3)