

TEMPLATE

# DEVIATION REQUEST FORM

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PUBLICATION DATE **11.04.2021**

Version **5.0**

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## A. To be completed by Gold Standard

### 1.1 | Date – 01/09/2023

### 1.2 | Decision

The Deviation request is approved.

The design and performance review process may continue in parallel to the VVB re-approval process.

The review process may not be closed before the VVB is granted re-approval.

Gold Standard retains the right not to approve the VVB, as per the GS4GG VVB Requirements.

SustainCERT shall upload this deviation on the SustainCERT app.

The VVB shall refer to this deviation in the audit report.

### 1.3 | Is this decision applicable to other project activities under similar circumstances?

No

**B. To be completed by the Project Developer/Coordinating and Managing Entity and/or VVB requesting deviation** (Submit deviation request form in Microsoft Word format)

## 2| Background information

Since the inception of the Gold Standard, Bureau Veritas (India) Pvt. Ltd is associated with Gold Standard as a VVB. As approved VVB Bureau Veritas (India) Pvt. Ltd. has demonstrated professional approach in validation and verification of various projects up to the quality expectations of Gold Standard.

However, unfortunately VVB approval was expired on February 5, 2022 and it is resulted in the situation where project review for design approval and issuance approval are halted for the projects submitted by Bureau Veritas. This is jeopardizing the interest of PP's in securing carbon finances which is a significant business risk to PP's who showed confidence in Bureau Veritas capabilities by signing validation and verification contracts.

This deviation request is particularly made in case of the combined Validation and verification project which we have signed, namely the Nhon Hoa 2 Wind Power Project in Vietnam (ID GS11415) in December 2022 and currently completing validation and will be submitted soon for review in May 2023, all these event are completed / will be completed after the expiration of the VVB approval, we are requesting an exemption to complete the issuance review while our application is reviewed by the Gold Standard for reapproval.

Bureau Veritas has reviewed the situation and identified the following key root causes to ensure that appropriate corrective actions are implemented so that a similar situation is prevented in the future.

- Change of responsibilities i.e. handover at VVB's Central office.
- Lack of understanding / clarity in relationship of UNFCCC accreditation validity with Gold Standard Approval.
- No formal prior notification from Gold Standard on the expiration of the approval to VVB representative.

Deviation Reference Number	DEV_506	
Date of decision	01/09/2023	
Precedent (YES/NO)	No	
Precedent details	NA	
Date of submission	Dd/mm/yyyy	
Project/PoA/VPA	Project	ID – GS11415
	<input type="checkbox"/> PoA	ID – GSXXXX
	<input type="checkbox"/> VPA	ID – GSXXXX
Project/PoA/VPA title	TerraClear Ceramic Water Purifier Project in Lao PDR	
Date of listing		
GS Standard version applicable		
Date of transition to GS4GG (if applicable)	NA	
Date of transition to Gold Standard from another standard (e.g. CDM) (if applicable)	NA	
Date of design certification/inclusion (if applicable)	NA	
Location of project/PoA/VPA	Vietnam	
Scale of the project/PoA/VPA	<input type="checkbox"/> Microscale <input type="checkbox"/> Small scale <input checked="" type="checkbox"/> Large scale	
Gold Standard Impact Registry link of the project/PoA/VPA		
Status of the project/PoA/VPA	<input type="checkbox"/> New <input checked="" type="checkbox"/> Listed <input type="checkbox"/> Certified design <input type="checkbox"/> Certified project	
Title/subject of deviation	Deviation to obtain approval for contracts signed and conducted the validation and Verification after 05/02/2022	
Specify applicable rule/requirements/methodology, with exact paragraph reference and version number	VALIDATION/VERIFICATION BODY REQUIREMENTS, Version 2.0 (Para 9.4.9)	
Specify the monitoring period for which the request is valid (if applicable)	Start date Not Applicable	End date
Submitted by	Contact person name: Anantha Prabhu UPPUNDA  Email ID: <a href="mailto:anantha.prabhu@bureauveritas.com">anantha.prabhu@bureauveritas.com</a>	

	Organization: Bureau Veritas(India) Pvt. Ltd.
	Project participant: Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Validation and Verification body (VVB opinion shall be included, where required by the applicable rules/requirements or request is submitted by the VVB).	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>  If yes; VVB name: Bureau Veritas(India) Pvt. Ltd.  VVB Staff name(s): Anantha Prabhu UPPUNDA
Any previous deviations approved for the same project activity/PoA/VPA(s)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

### 3| Deviation detail

#### 3.1 | Description of the deviation:

*\*Guidance\* Use the space below to describe the deviation and substantiate the reason for requesting deviation from applicable rules/requirements. Please include all relevant information in support of the request. You are requested to follow the principles for requesting deviations, given in the [Deviation Approval Procedure/Design Change Requirements](#).*

3.1.1 | Deviation detail (to be completed by Project developer):

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3.1.2 | VVB opinion (to be completed by VVB, if applicable):

*\*Guidance\* If required by SustainCERT or Gold Standard for this particular deviation, please add here the VVB's opinion.*

As described in the background section above, VVB requests an exemption to complete the issuance review while the Gold Standard evaluates our application for reapproval.

Please note that VVB submitted the application for reapproval on March 15, 2023, and Gold Standard completed the initial review on April 6, 2023.

Subsequently, a select group of verifiers completed the online exam in accordance with the Gold Standard assurance guidance section 9.4.2.3(h) VALIDATION/VERIFICATION BODY REQUIREMENTS, Version 2.0 and waiting for the results.

We hereby assure Gold Standard that we will complete all tasks necessary to complete the reapproval procedure as quickly as possible so that neither this nor any other projects will be impacted.

Based on the above information VVB seeks an approval to this deviation and facilitate the Design Certification Review for the project "Nhon Hoa 2 Wind Power Project" in Vietnam (GS11415) once it is submitted to avoid any impact to the PP in Design Certification (Registration) and issuance review process.

### 3.2 | Assessment of the deviation:

*\*Guidance\* Use the space below to describe how the deviation complies with the requirements, and, where applicable, the accuracy, completeness and conservativeness is ensured. Please include all relevant information in support of the request.*

3.2.1 | Deviation assessment (to be completed by Project developer):

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3.2.2 | VVB opinion (to be completed by VVB, if applicable):

*\*Guidance\* If required by SustainCERT or Gold Standard for this particular deviation, please add here the VVB's opinion.*

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### 3.3 | Impact of the deviation:

*\*Guidance\* Use the space below to describe the impact of the deviation on project design, safeguarding principles assessment, SDG assessment, emissions reductions, monitoring frequency, data quality, potential risk or any other relevant aspect of the project. Please substantiate the impact assessment with relevant and verifiable data/information.*

3.3.1 | Impact assessment (to be completed by Project developer):

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3.3.2 | VVB opinion (to be completed by VVB, if applicable ):

*\*Guidance\* If required by SustainCERT or Gold Standard for this particular deviation, please add here the VVB's opinion.*

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### 3.4 | Documents:

*\*Guidance\* List of documents provided (note that once a decision has been made by Gold Standard, this deviation form along with supporting documents will be made public on the Gold Standard website. If any of the supporting documents are confidential, please indicate here to ensure they are omitted.)*

Version number	Release date	Description
5	11.04.2022	Additional information added: <ul style="list-style-type: none"> <li>- date of listing, design certification, transition</li> <li>- standard version</li> <li>- specific reference to a requirement deviated from</li> <li>- any previous deviations/design changes approved</li> </ul> Guidance on VVB opinion
4	14.01.2021	
3	16.07.2020	
2	03.05.2018	
1	01.07.2017	Initial adoption