

TEMPLAT

DEVIATION REQUEST FORM

PUBLICATION DATE **11.04.2022**

Version **5.0**

A. To be completed by Gold Standard

1 | Decision

1.1 | Date – 18/08/2022

1.2 | Decision

Please note that the requirement of submitting the stakeholder consultation report within three months of the event not required as per the latest [STAKEHOLDER CONSULTATION AND ENGAGEMENT REQUIREMENTS V.2.1.](#)

Thus, submission of a deviation request was not required originally, and the project developer can proceed without seeking further GS approval.

1.3 | Is this decision applicable to other project activities under similar circumstances?

No

B. To be completed by the Project Developer/Coordinating and Managing Entity and/or VVB requesting deviation (Submit deviation request form in Microsoft Word format)

2| Background information

Deviation Reference Number	DEV_289	
Date of decision	18/08/2022	
Precedent (YES/NO)	No	
Precedent details	N/A	
Date of submission	15/08/2022	
Project/PoA/VPA	Project	ID – GS11648
	<input type="checkbox"/> PoA	ID – GSXXXX
	<input type="checkbox"/> VPA	ID – GSXXXX
Project/PoA/VPA title	Nature Conservation Reforestation project – Caatinga Biome, Ceará	
Date of listing	Not applicable (project is not listed yet)	
GS Standard version applicable	Gold Standard for the Global Goals (GS4GG)	
Date of transition to GS4GG (if applicable)	N/A	
Date of transition to Gold Standard from another standard (e.g. CDM) (if applicable)	N/A	
Date of design certification/inclusion (if applicable)	N/A	
Location of project/PoA/VPA	Host country(ies): Brazil	
Scale of the project/PoA/VPA	<input type="checkbox"/> Microscale <input type="checkbox"/> Small scale <input checked="" type="checkbox"/> Large scale	
Gold Standard Impact Registry link of the project/PoA/VPA	Not applicable	
Status of the project/PoA/VPA	<input checked="" type="checkbox"/> New <input type="checkbox"/> Listed <input type="checkbox"/> Certified design <input type="checkbox"/> Certified project	
Title/subject of deviation	Submission of stakeholder consultation report	
Specify applicable rule/requirements/methodology, with exact paragraph reference and version number	Principles & Requirements, version 1.2, paragraph 4.1.35	

Specify the monitoring period for which the request is valid (if applicable)	Start date Not applicable	End date
Submitted by	Contact person name: Herman Noppen Johann Thaler	
	Email ID: herman@co2logic.com johannfranz.thaler@gmail.com	
	Organisation: CO2logic	
	Project participant: Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Validation and Verification body (VVB opinion shall be included, where required by the applicable rules/requirements or request is submitted by the VVB).	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes; VVB name: VVB Staff name(s): Not applicable	
Any previous deviations approved for the same project activity/PoA/VPA(s)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	

3 | Deviation detail

3.1 | Description of the deviation:

**Guidance* Use the space below to describe the deviation and substantiate the reason for requesting deviation from applicable rules/requirements. Please include all relevant information in support of the request. You are requested to follow the principles for requesting deviations, given in the [Deviation Approval Procedure/ Design Change Requirements](#).*

3.1.1 | Deviation detail (to be completed by Project developer):

According to the clause 4.1.35 of the GS Principles and Requirements, the stakeholder consultation report shall be submitted to Gold Standard within three months of the event. The LSC has been conducted on 23/02/2022 and the LSC consultation report has been uploaded to SustainCert G-Drive on 05/08/2022. The project activity started on 04/04/2022, hence it is considered under the regular cycle.

3.1.2 | VVB opinion (to be completed by VVB, if applicable):

Not applicable

**Guidance* If required by SustainCERT or Gold Standard for this particular deviation, please add here the VVB's opinion.*

.....

3.2 | Assessment of the deviation:

**Guidance* Use the space below to describe how the deviation complies with the requirements, and, where applicable, the accuracy, completeness and conservativeness is ensured. Please include all relevant information in support of the request.*

3.2.1 | Deviation assessment (to be completed by Project developer):

The project developer (CO2logic) was actually ready to submit the LSC report end of May '22 (i.e. within the 3 months' time frame) to SustainCert (SC), however have had some delays with the preparation of the PDD and associated documents. It was the project developer's understanding that LSC report has to be submitted along with PDD and associated document to kick off the preliminary review. Hence, LSC report was not separately uploaded to SustainCert G-Drive before. SustainCert was informed in Emails on May 17th and July 20th (see screenshots in section 3.4. Documents) about the delay in submitting the LSC report including the reasons for it. However, project developer has never had received any feedback from SustainCert to those Emails in terms of approval or on a needed Request for Deviation from Gold Standard's end until August 11th, after the LSC report along with other documents had been uploaded to SC. The only response received before by SC was the one on June 26th (more than 5 weeks after having sent the Email on May 17th !) asking about the reasons for the delay.

Hence, the project developer believed that the delay would not pose any problem.

Main reasons for the delay of elaborating the PDD and associated documents:

a) The contract negotiations between investor and project developer/implementer took longer than expected.

- b) There were some thoughts to develop the project as part of a PoA, however PoA/VPA templates for LUF projects were not readily available. Finally project developer dropped this idea.
- c) The baseline assessment took a little bit longer than expected. The project developer wanted to finalise this prior to submitting the documents for preliminary review.

3.2.2 | VVB opinion (to be completed by VVB, if applicable):

Not applicable

**Guidance* If required by SustainCERT or Gold Standard for this particular deviation, please add here the VVB's opinion.*

.....

3.3 | Impact of the deviation:

**Guidance* Use the space below to describe the impact of the deviation on project design, safeguarding principles assessment, SDG assessment, emissions reductions, monitoring frequency, data quality, potential risk or any other relevant aspect of the project. Please substantiate the impact assessment with relevant and verifiable data/information.*

3.3.1 | Impact assessment (to be completed by Project developer):

The deviation does not have any impact on the project design, safeguarding principles assessment, SDG assessment, emissions reductions, monitoring frequency, data quality or any other potential risk or aspect of the project. The LSC consultation has been conducted and LSC report filled in line with the GS requirements. This can be further corroborated by VVB during validation and SustainCert during preliminary review and design review. The delay in submitting the LSC report to SC does not have any implication on the project. Since clause 4.1.35 of the GS Principles and Requirements is a pure procedural requirement, which does not result in any impact on any of the aforementioned points.

3.3.2 | VVB opinion (to be completed by VVB, if applicable):

Not applicable

**Guidance* If required by SustainCERT or Gold Standard for this particular deviation, please add here the VVB's opinion.*

.....

3.4 | Documents:

GS11648 - Nature Conservation Reforestation project - Caatinga Biome, Ceara - Planning of preliminary review in SustainCERT [Posteingang](#)

Johann Franz Thaler <johannfranz.thaler@gmail.com>
an Karsh, Peter; Herman; Tosca <>

20.07.2022, 08:14 ☆

Dear Karsh/Peter,

Apologies for responding to your Email only now.

We were actually ready to submit the LSC report end of May '22, however have had some delays with the preparation of the PDD and associated documents.

Main reasons:

- a) The contract negotiations between investor and project developer/implementer took longer than expected.
- b) There were some thoughts to develop the project as part of a PoA, however finally we dropped this idea.
- c) The baseline assessment took us a little bit longer than expected. We wanted to finalise this prior to submitting the documents for preliminary review.

We should be able to submit you the complete set of documents for preliminary review by the end of this month/beginning of next month.

Best regards,
Johannes

On 26. Jun 2022, at 10:00, Karsh Patel <karsh.patel@sustain-cert.com> wrote:

Dear Herman,

Can you expand on the reasons for this?

Best,
Peter

From: Herman Noppen <herman@co2logic.com>
Sent: Tuesday, 17 May 2022 15:38
To: Karsh Patel <karsh.patel@sustain-cert.com>
Cc: Tosca Meylemans <tosca@co2logic.com>; Hannes Thaler <johannfranz.thaler@gmail.com>; Peter Konijn <peter.konijn@sustain-cert.com>
Subject: RE: GS11648 - Nature Conservation Reforestation project - Caatinga Biome, Ceara - Planning of preliminary review in SustainCERT

Dear Karsh,

It concerns a stand alone project.

We organized the LSC meeting on 23/2/2022. In order to comply with GS requirements we should submit documents for preliminary review by 23/5/2022. However we should need 2 weeks more to finish the documents. Is it possible that we submit the documents for preliminary review latest in the week of 6/6/2022?

Thank you in advance for your feedback.

Best regards,
Herman

Herman Noppen
+32 497 053136

**Guidance* List of documents provided (note that once a decision has been made by Gold Standard, this deviation form along with supporting documents will be made public on the Gold Standard website. If any of the supporting documents are confidential, please indicate here to ensure they are omitted.)*

Version number	Release date	Description
5	11.04.2022	<p>Additional information added:</p> <ul style="list-style-type: none"> - date of listing, design certification, transition - standard version - specific reference to a requirement deviated from - any previous deviations/design changes approved

		Guidance on VVB opinion
4	14.01.2021	
3	16.07.2020	
2	03.05.2018	
1	01.07.2017	Initial adoption