

# DEVIATION REQUEST FORM

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PUBLICATION DATE **14.1.2021**

Version **4.0**

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## A. To be completed by Gold Standard

### 1| Decision

**1.1 | Date** – 21/02/2022

#### **1.2 | Decision**

##### **CNOOC Pingyin Wind Farm Phase I**

The applied deviation request is not approved. Along with transition to GS4GG, the project shall undergo design certification renewal in line with the requirements of Annex-B of the [GHG Emission Reductions and Sequestration Product Requirements](#) and [GS Principles and Requirements](#) wherein:

1. The start date for the second crediting period (under GS4GG) shall be 01/09/2021 and the end date shall be 31/08/2026.
2. No issuance can be claimed for the period of delay in renewal (i.e., period from 01/09/2021 till the last day of design review period for renewal of crediting period)
3. The entire duration of the crediting period for the project under GS4GG shall be from 01/09/2021 to 31/08/2031.

4. Claim of emission reductions for a given vintage shall be made only once and under one standard only. The project developer shall provide a declaration, in writing, in the monitoring report (of the relevant monitoring period) to state that the project developer has not or will not issue under both the standards for the same vintage from the project that is registered under GS4GG.

The validating/verifying VVB shall, through appropriate means at its disposal, evaluate the Project's compliance with the above-mentioned conditions and provide its opinion in the Validation/Verification Report. SustainCert shall review both the PD's response and the VVB's assessment/opinion of the same and take appropriate steps.

### **Yandun 8C Wind Farm Project**

The applied deviation request is not approved. Along with transition to GS4GG, the project shall undergo design certification renewal in line with the requirements of Annex-B of the [GHG Emission Reductions and Sequestration Product Requirements](#) and [GS Principles and Requirements](#) wherein:

1. The start date for the second crediting period (under GS4GG) shall be 28/07/2021 and the end date shall be 27/07/2026.
2. No issuance can be claimed for the period of delay in renewal (i.e., period from 28/07/2021 till the last day of design review period for renewal of crediting period)
3. The entire duration of the crediting period for the project under GS4GG shall be from 28/07/2021 to 27/07/2031.
4. Claim of emission reductions for a given vintage shall be made only once and under one standard only. The project developer shall provide a declaration, in writing, in the monitoring report (of the relevant monitoring period) to state that the project developer has not or will not issue under both the standards for the same vintage from the project that is registered under GS4GG.

The validating/verifying VVB shall, through appropriate means at its disposal, evaluate the Project's compliance with the above-mentioned conditions and provide its opinion in the Validation/Verification Report. SustainCert shall review both the PD's response and the VVB's assessment/opinion of the same and take appropriate steps.

## **CNOOC Weifang Binhai Wind Farm Phase II Project**

The applied deviation request is not approved. Along with transition to GS4GG, the project shall undergo design certification renewal in line with the requirements of Annex-B of the [GHG Emission Reductions and Sequestration Product Requirements](#) and [GS Principles and Requirements](#) wherein:

1. The start date for the second crediting period (under GS4GG) shall be 01/07/2021 and the end date shall be 30/06/2026.
2. No issuance can be claimed for the period of delay in renewal (i.e., period from 01/07/2021 till the last day of design review period for renewal of crediting period)
3. The entire duration of the crediting period for the project under GS4GG shall be from 01/07/2021 to 30/06/2031.
4. Claim of emission reductions for a given vintage shall be made only once and under one standard only. The project developer shall provide a declaration, in writing, in the monitoring report (of the relevant monitoring period) to state that the project developer has not or will not issue under both the standards for the same vintage from the project that is registered under GS4GG.

The validating/verifying VVB shall, through appropriate means at its disposal, evaluate the Project's compliance with the above-mentioned conditions and provide its opinion in the Validation/Verification Report. SustainCert shall review both the PD's response and the VVB's assessment/opinion of the same and take appropriate steps.

### **1.3 | Is this decision applicable to other project activities under similar circumstances?**

No

**B. To be completed by the Project Developer/Coordinating and Managing Entity and/or VVB requesting deviation** (Submit deviation request form in Microsoft Word format)

## 2| Background information

Deviation Reference Number	DEV_238	
Date of decision	21/02/2022	
Precedent (YES/NO)	No	
Precedent details	N/A	
Date of submission	11/02/2022	
Project/PoA/VPA	<input type="checkbox"/> Project	ID - GSXXXX
	<input type="checkbox"/> PoA	ID - GSXXXX
	<input type="checkbox"/> VPA	ID - GSXXXX
Project/PoA/VPA title	CNOOC Pingyin Wind Farm Phase I	
Location of project/PoA/VPA	China	
Scale of the project/PoA/VPA	<input type="checkbox"/> Microscale <input type="checkbox"/> Small scale <input checked="" type="checkbox"/> Large scale	
Gold Standard Impact Registry link of the project/PoA/VPA		
Status of the project/PoA/VPA	<input checked="" type="checkbox"/> New <input type="checkbox"/> Listed <input type="checkbox"/> Certified design <input type="checkbox"/> Certified project	
Title/subject of deviation	Deviation in crediting period renewal time	
Specify applicable rule/requirements/methodology and version number	1.GHG EMISSIONS REDUCTION & SEQUESTRATION PRODUCT REQUIREMENTS (V2.0) 2.Gold Standard for The Global Goals Renewable Energy Activity Requirements (Version 1.4) 3. CM-001-V01: Integrated base-line methodology for renewable energy grid connection projects (Version01) <sup>1</sup> , which is the Chinese translation version of CDM methodology: ACM0002: Consolidated baseline methodology for grid-connected electricity generation from renewable sources (Version13.0.0). Now, the applied methodology us CDM methodology ACM0002 (Version 20.0).	
Specify the monitoring period for which the request is valid (if applicable)	Start date 01/09/2016 End date 31/08/2021	
Submitted by	Contact person name: Joanna	
	Email ID: yanan.zhu@profitcarbon.com	
	Organization: Profit Carbon Environmental Energy Technology (Shanghai) Co., Ltd.	
	Project participant: Yes <input checked="" type="checkbox"/> NO <input type="checkbox"/>	
Validation and Verification body (VVB opinion shall be included, where required by the	Yes <input checked="" type="checkbox"/> NO <input type="checkbox"/>	

applicable rules/requirements or request is submitted by the VVB).	If yes; VVB name: Shenzhen CTI International Certification Co., Ltd  Auditor name: Li Ziqi
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<sup>1</sup> <http://www.ccchina.org.cn/archiver/cdmcn/UpFile/Files/Default/20130311164212571089.pdf>

Deviation Reference Number	DEV_238	
Date of decision	21/02/2022	
Precedent (YES/NO)	No	
Precedent details	N/A	
Date of submission	11/02/2022	
Project/PoA/VPA	<input type="checkbox"/> Project	ID - GSXXXX
	<input type="checkbox"/> PoA	ID - GSXXXX
	<input type="checkbox"/> VPA	ID - GSXXXX
Project/PoA/VPA title	Yandun 8C Wind Farm Project	
Location of project/PoA/VPA	China	
Scale of the project/PoA/VPA	<input type="checkbox"/> Microscale <input type="checkbox"/> Small scale <input checked="" type="checkbox"/> Large scale	
Gold Standard Impact Registry link of the project/PoA/VPA		
Status of the project/PoA/VPA	<input checked="" type="checkbox"/> New <input type="checkbox"/> Listed <input type="checkbox"/> Certified design <input type="checkbox"/> Certified project	
Title/subject of deviation	Deviation in crediting period renewal time	
Specify applicable rule/requirements/methodology and version number	1.GHG EMISSIONS REDUCTION & SEQUESTRATION PRODUCT REQUIREMENTS (V2.0) 2.Gold Standard for The Global Goals Renewable Energy Activity Requirements (Version 1.4) 3. CM-001-V01: Integrated base-line methodology for renewable energy grid connection projects (Version01) <sup>2</sup> , which is the Chinese translation version of CDM methodology: ACM0002: Consolidated baseline methodology for grid-connected electricity generation from renewable sources (Version13.0.0)	
Specify the monitoring period for which the request is valid (if applicable)	Start date 28/07/2016 End date 27/07/2021	
Submitted by	Contact person name: Joanna	
	Email ID: yanan.zhu@profitcarbon.com	
	Organization: Profit Carbon Environmental Energy Technology (Shanghai) Co., Ltd.	
	Project participant: Yes <input checked="" type="checkbox"/> NO <input type="checkbox"/>	

<sup>2</sup> <http://www.ccchina.org.cn/archiver/cdmcn/UpFile/Files/Default/20130311164212571089.pdf>

<p>Validation and Verification body (VVB opinion shall be included, where required by the applicable rules/requirements or request is submitted by the VVB).</p>	<p>Yes <input checked="" type="checkbox"/> NO <input type="checkbox"/></p> <p>If yes;</p> <p>VVB name: Shenzhen CTI International Certification Co., Ltd</p> <p>Auditor name: Li Ziqi</p>
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Date of decision	21/02/2022	
Precedent (YES/NO)	No	
Precedent details	N/A	
Date of submission	11/02/2022	
Project/PoA/VPA	<input type="checkbox"/> Project	ID - GSXXXX
	<input type="checkbox"/> PoA	ID - GSXXXX
	<input type="checkbox"/> VPA	ID - GSXXXX
Project/PoA/VPA title	CNOOC Weifang Binhai Wind Farm Phase II Project	
Location of project/PoA/VPA	China	
Scale of the project/PoA/VPA	<input type="checkbox"/> Microscale <input type="checkbox"/> Small scale <input checked="" type="checkbox"/> Large scale	
Gold Standard Impact Registry link of the project/PoA/VPA		
Status of the project/PoA/VPA	<input checked="" type="checkbox"/> New <input type="checkbox"/> Listed <input type="checkbox"/> Certified design <input type="checkbox"/> Certified project	
Title/subject of deviation	Deviation in crediting period renewal time	
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Specify the monitoring period for which the request is valid (if applicable)	Start date 01/07/2016 End date 30/06/2021	
Submitted by	Contact person name: Joanna	
	Email ID: yanan.zhu@profitcarbon.com	
	Organization: Profit Carbon Environmental Energy Technology (Shanghai) Co., Ltd.	
	Project participant: Yes <input checked="" type="checkbox"/> NO <input type="checkbox"/>	

<sup>3</sup> <http://www.ccchina.org.cn/archiver/cdmcn/UpFile/Files/Default/20130311164212571089.pdf>

<p><b>Validation and Verification body</b> (VVB opinion shall be included, where required by the applicable rules/requirements or request is submitted by the VVB).</p>	<p>Yes <input checked="" type="checkbox"/> NO <input type="checkbox"/></p> <p>If yes;</p> <p>VVB name: Shenzhen CTI International Certification Co., Ltd Auditor name: Li Ziqi</p>
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### 3| Deviation detail

#### 3.1 | Description of the deviation:

*\*Guidance\* Use the space below to describe the deviation and substantiate the reason for requesting deviation from applicable rules/requirements. Please include all relevant information in support of the request. You are requested to follow the principles for requesting deviations, given in the [Deviation Approval Procedure/Design Change Requirements](#).*

##### 3.1.1 | Deviation detail (to be completed by Project developer):

According to the paragraph 6.5.2 in "GHG EMISSIONS REDUCTION & SEQUESTRATION PRODUCT REQUIREMENTS" (Version 2.0), the first crediting period renewal under GS4GG takes into account the crediting years that has already been issued with another standard. For example, if a project crediting period start date with standard X is 1st Jan 2019, the project shall renew its crediting period with GS4GG on or before 1<sup>st</sup> Jan 2024, irrespective of date of transition approval with GS4GG.

##### **CNOOC Pingyin Wind Farm Phase I**

The crediting period start date is 01/09/2016, According to the requirements of GS, the project should be renewal its crediting period with GS4GG on or before 31/08/2021. While, as the requirement of CDM<sup>4</sup>, the project first crediting period is 7 years, i.e.,01/09/2016-31/08/2023. Since the project owner has not decided to convert the project to a GS4GG project before 31/08/2021, so there is no need to renewal the period, hence, the 1<sup>st</sup> crediting period of this case ended on 31/08/2023 in the CCER carbon scheme.

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<sup>4</sup> CCER cited relevant standards of CDM

crediting period on or before 31/08/2021 (because it is not time to renew the CCER<sup>5</sup> crediting period yet). Now (after 31/08/2021), the project owner has decided to transfer the project under GS4GG and seeking issued GSVERs, thus it is caused a deviation in the renewal time of the crediting period.

#### **Yandun 8C Wind Farm Project**

For the proposed project, the crediting period start date is 28/07/2016, According to the requirements of GS, the project should be renewal its crediting period with GS4GG on or before 27/07/2021. While, as the requirement of CDM, the project first crediting period is 7 years, i.e., 28/07/2016-27/07/2023. Since the project owner has not decided to convert the project to a GS4GG project before 27/07/2021, so there is no need to renew the crediting period on or before 27/07/2021 (because it is not time to renew the CCER crediting period yet). Now (after 27/07/2021), the project owner has decided to transfer the project under GS4GG and seeking issued GSVERs, thus it is caused a deviation in the renewal time of the crediting period.

#### **CNOOC Weifang Binhai Wind Farm Phase II Project**

For the proposed project, the crediting period start date is 01/07/2016, According to the requirements of GS, the project should be renewal its crediting period with GS4GG on or before 30/06/2021. While, as the requirement of CDM, the project first crediting period is 7 years, i.e., 01/07/2016-30/06/2023. Since the project owner has not decided to convert the project to a GS4GG project before 30/06/2021, so there is no need to renew the crediting period on or before 30/06/2021 (because it is not time to renew the CCER crediting period yet). Now (after 30/06/2021), the project owner has decided to transfer the project under GS4GG and seeking issued GSVERs, thus it is caused a deviation in the renewal time of the crediting period.

3.1.2 | VVB opinion (to be completed by VVB, if applicable):

#### **CNOOC Pingyin Wind Farm Phase I**

Via checking the project dedicated weblink in CCER, CTI confirmed that the crediting period start date of the project is 01/09/2016, based on local expertise of the VVB, it is

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<sup>5</sup> CCER is an abbreviation for China Certified Emission Reduction Exchange Info-Platform, which is the China Voluntary Emission Reduction Trading Mechanism sponsored by the Climate Change Department of the National Development and Reform Commission of China.

confirmed that the CCER carbon scheme cited relevant standards of CDM for crediting period, hence, the 1st crediting period of this case ended on 31/08/2023 in the CCER carbon scheme. Now the PP decided to convert the project to a GS4GG project, but according to paragraph 6.5.2 in "GHG EMISSIONS REDUCTION & SEQUESTRATION PRODUCT REQUIREMENTS" (Version 2.0), the project should be renewal its crediting period with GS4GG on or before 31/08/2021. However, as PP stated, it was not decided to convert the project to a GS4GG project before 31/08/2021, hence it leads to the delay of the renewal time of the crediting period under GS4GG.

#### **Yandun 8C Wind Farm Project**

Via checking the project dedicated weblink in CCER, CTI confirmed that the crediting period start date of the project is 28/07/2016, based on local expertise of the VVB, it is confirmed that the CCER carbon scheme cited relevant standards of CDM for crediting period, hence, the 1st crediting period of this case ended on 28/07/2023 in the CCER carbon scheme. Now the PP decided to convert the project to a GS4GG project, but according to paragraph 6.5.2 in "GHG EMISSIONS REDUCTION & SEQUESTRATION PRODUCT REQUIREMENTS" (Version 2.0), the project should be renewal its crediting period with GS4GG on or before 27/07/2021. However, as PP stated, it was not decided to convert the project to a GS4GG project before 27/07/2021, hence it leads to the delay of the renewal time of the crediting period under GS4GG.

#### **CNOOC Weifang Binhai Wind Farm Phase II Project**

Via checking the project dedicated weblink in CCER, CTI confirmed that the crediting period start date of the project is 01/07/2016, based on local expertise of the VVB, it is confirmed that the CCER carbon scheme cited relevant standards of CDM for crediting period, hence, the 1<sup>st</sup> crediting period of this case ended on 30/06/2023 in the CCER carbon scheme. Now the PP decided to convert the project to a GS4GG project, but according to paragraph 6.5.2 in "GHG EMISSIONS REDUCTION & SEQUESTRATION PRODUCT REQUIREMENTS" (Version 2.0), the project should be renewal its crediting period with GS4GG on or before 30/06/2021. However, as PP stated, it was not decided to convert the project to a GS4GG project before 30/06/2021, hence it leads to the delay of the renewal time of the crediting period under GS4GG.

### 3.2 | Assessment of the deviation:

*\*Guidance\* Use the space below to describe how the deviation complies with the requirements, and, where applicable, the accuracy, completeness and conservativeness is ensured. Please include all relevant information in support of the request.*

#### 3.2.1 | Deviation assessment (to be completed by Project developer):

According to the paragraph 87 in “CDM project standard for project activities” (Version 03.0): the project participants shall select a type (renewable or fixed) and specify the duration of the crediting period for the proposed CDM project activity, taking into account that:

- (a) Each renewable crediting period shall be at most seven years and may be renewed at most two times, for a maximum total length of 21 years;
- (b) A fixed crediting period shall be at most 10 years;

#### **CNOOC Pingyin Wind Farm Phase I**

For the proposed project, the project participants select the crediting period type is (a), the crediting period start date of this project is 01/09/2016, so the first crediting period under CCER is 01/09/2016-31/08/2023, Therefore, 31/08/2021 has not yet reached the crediting period update time and it is reasonable not to renew the crediting period on or before 31/08/2021.

#### **Yandun 8C Wind Farm Project**

For the proposed project, the project participants select the crediting period type is (a), the crediting period start date of this project is 28/07/2016, so the first crediting period under CCER is 28/07/2016-27/07/2023, Therefore, 27/07/2021 has not yet reached the crediting period update time and it is reasonable not to renew the crediting period on or before 27/07/2021.

#### **CNOOC Weifang Binhai Wind Farm Phase II Project**

For the proposed project, the project participants select the crediting period type is (a), the crediting period start date of this project is 01/07/2016, so the first crediting period under CCER is 01/07/2016-30/06/2023, Therefore, 30/06/2021 has not yet reached the crediting period update time and it is reasonable not to renew the crediting period on or before 30/06/2021.

#### 3.2.2 | VVB opinion (to be completed by VVB, if applicable):

See above assessment from VVB for the deviation of GS4GG requirements as defined in GHG EMISSIONS REDUCTION & SEQUESTRATION PRODUCT REQUIREMENTS.

It is confirmed that although the deviation is not fully in line with the GS4GG requirements, but the reason is accepted by VVB and this deviation will not impact the accuracy, completeness of the expected ER value and the SDG impacts in subsequent crediting period. This will be stated in the validation report clearly.

### 3.3 | Impact of the deviation:

*\*Guidance\* Use the space below to describe the impact of the deviation on project design, safeguarding principles assessment, SDG assessment, emissions reductions, monitoring frequency, data quality, potential risk or any other relevant aspect of the project. Please substantiate the impact assessment with relevant and verifiable data/information.*

#### 3.3.1 | Impact assessment (to be completed by Project developer):

The deviation of this project lies in the deviation of the crediting period renewable time, which will not affect the project design, emission reductions, monitoring frequency, data quality and potential risk or any other relevant aspect of the project. As the CCER does not require the safeguarding principles assessment and SDG assessment which only required by GS4GG, so the deviation will not affect the safeguarding principles assessment and SDG assessment which will be described in PDD during the transition period.

#### 3.3.2 | VVB opinion (to be completed by VVB, if applicable):

See above assessment from VVB for the deviation of GS4GG requirements as defined in GHG EMISSIONS REDUCTION & SEQUESTRATION PRODUCT REQUIREMENTS.

It is confirmed that although the deviation is not fully in line with the GS4GG requirements, but the reason is accepted by VVB.

And based on the above assessment of the deviation, it is confirmed that there is no impact on project design, safeguarding principles assessment, SDG assessment, calculation of emissions reductions, monitoring frequency, data quality, potential risk or any other relevant aspect of the project by the deviation. And the VVB will state the assessment of none impacts by this deviation in the validation report with more details.

### 3.4 | Documents:

*\*Guidance\* List of documents provided (note that once a decision has been made by Gold Standard, this deviation form along with supporting documents will be made public on the Gold Standard website. If any of the supporting documents are confidential, please indicate here to ensure they are omitted.)*

List of documents provided includes:

- Notification of Recordation of Voluntary Greenhouse Gas Emission Reduction Projects
- Registered PDD under CCER